

# NORM CLOSED OPERATOR IDEALS IN LORENTZ SEQUENCE SPACES

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ABSTRACT. In this paper, we study the structure of closed algebraic ideals in the algebra of operators acting on a Lorentz sequence space.

## 1. INTRODUCTION

1.1. **Ideals.** This paper is concerned with the study of the structure of closed algebraic ideals in the algebra  $L(X)$  of all bounded linear operators on a Banach space  $X$ .

Throughout the paper, by a **subspace** of a Banach space we mean a closed subspace; a vector subspace of  $X$  which is not necessarily closed will be referred to as **linear subspace**. A (two-sided) **ideal** in  $L(X)$  is a linear subspace  $J$  of  $L(X)$  such that  $ATB \in J$  whenever  $T \in J$  and  $A, B \in L(X)$ . The ideal  $J$  is called **proper** if  $J \neq L(X)$ . The ideal  $J$  is **non-trivial** if  $J$  is proper and  $J \neq \{0\}$ .

The spaces for which the structure of closed ideals in  $L(X)$  is well-understood are very few. It was shown in [7] that the only non-trivial closed ideal in the algebra  $L(\ell_2)$  is the ideal of compact operators. This result was generalized in [13] to the spaces  $\ell_p$  ( $1 \leq p < \infty$ ) and  $c_0$ . A space constructed recently in [5] is another space with this property. In [15] and [16], it was shown that the algebras  $L((\bigoplus_{k=1}^{\infty} \ell_2^k)_{c_0})$  and  $L((\bigoplus_{k=1}^{\infty} \ell_2^k)_{\ell_1})$  have exactly two non-trivial closed ideals. There are no other separable spaces for which the structure of closed ideals in  $L(X)$  is completely known.

Partial results about the structure of closed ideals in  $L(X)$  were obtained in [20, 5.3.9] for  $X = L_p[0, 1]$  ( $1 < p < \infty$ ,  $p \neq 2$ ) and in [22] and [23] for  $L(\ell_p \oplus \ell_q)$  ( $1 \leq p, q < \infty$ ). The purpose of this paper is to investigate the structure of ideals in  $L(d_{w,p})$  where  $d_{w,p}$  is a Lorentz sequence space (see the definition in Subsection 1.3).

For two closed ideals  $J_1$  and  $J_2$  in  $L(X)$ , we will denote by  $J_1 \wedge J_2$  the largest closed ideal  $J$  in  $L(X)$  such that  $J \subseteq J_1$  and  $J \subseteq J_2$  (that is,  $J_1 \wedge J_2 = J_1 \cap J_2$ ), and we will

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denote by  $J_1 \vee J_2$  the smallest closed ideal  $J$  in  $L(X)$  such that  $J_1 \subseteq J$  and  $J_2 \subseteq J$ . We say that  $J_2$  is a **successor** of  $J_1$  if  $J_1 \subsetneq J_2$ . If, in addition, no closed ideal  $J$  in  $L(X)$  satisfies  $J_1 \subsetneq J \subsetneq J_2$ , then we call  $J_2$  an **immediate successor** of  $J_1$ .

It is well-known that if  $X$  is a Banach space then every non-zero ideal in the algebra  $L(X)$  must contain the ideal  $\mathcal{F}(X)$  of all finite-rank operators on  $X$ . It follows that, at least in the presence of the approximation property (in particular, if  $X$  has a Schauder basis), every non-zero closed ideal in  $L(X)$  contains the closed ideal  $\mathcal{K}(X)$  of all compact operators.

Two ideals closely related to  $\mathcal{K}(X)$  are the closed ideal  $\mathcal{SS}(X)$  of strictly singular operators and the closed ideal  $\mathcal{FSS}(X)$  of finitely strictly singular operators on  $X$ . Recall that an operator  $T \in L(X)$  is called **strictly singular** if no restriction  $T|_Z$  of  $T$  to an infinite-dimensional subspace  $Z$  of  $X$  is an isomorphism. An operator  $T$  is **finitely strictly singular** if for any  $\varepsilon > 0$  there is  $N \in \mathbb{N}$  such that any subspace  $Z$  of  $X$  with  $\dim Z \geq N$  contains a vector  $z \in Z$  satisfying  $\|Tz\| < \varepsilon\|z\|$ . It is not hard to show that  $\mathcal{K}(X) \subseteq \mathcal{FSS}(X) \subseteq \mathcal{SS}(X)$  (see [17, 19, 22, 4] for more information about these classes of operators).

If  $X$  is a Banach space and  $T \in L(X)$  then the ideal in  $L(X)$  generated by  $T$  is denoted by  $J_T$ . It is easy to see that  $J_T = \{\sum_{i=1}^n A_i T B_i : A_i, B_i \in L(X)\}$ . It follows that if  $S \in L(X)$  factors through  $T$ , i.e.,  $S = ATB$  for some  $A, B \in L(X)$  then  $J_S \subseteq J_T$ .

**1.2. Basic sequences.** The main tool in this paper is the notion of a basic sequence. In this subsection, we will fix some terminology and remind some classical facts about basic sequences. For a thorough introduction to this topic, we refer the reader to [9] or [12].

If  $(x_n)$  is a sequence in a Banach space  $X$  then its closed span will be denoted by  $[x_n]$ . We say that a basic sequence  $(x_n)$  **dominates** a basic sequence  $(y_n)$  and write  $(x_n) \succeq (y_n)$  if the convergence of a series  $\sum_{n=1}^{\infty} a_n x_n$  implies the convergence of the series  $\sum_{n=1}^{\infty} a_n y_n$ . We say that  $(x_n)$  is **equivalent** to  $(y_n)$  and write  $(x_n) \sim (y_n)$  if  $(x_n) \succeq (y_n)$  and  $(y_n) \succeq (x_n)$ .

**Remark 1.1.** It follows from the Closed Graph Theorem that  $(x_n) \succeq (y_n)$  if and only if the linear map from  $\text{span}\{x_n\}$  to  $\text{span}\{y_n\}$  defined by the formula  $T: x_n \mapsto y_n$  is bounded.

If  $(x_n)$  is a basis in a Banach space  $X$ ,  $z = \sum_{i=1}^{\infty} z_i x_i \in X$ , and  $A \subseteq \mathbb{N}$  then the vector  $\sum_{i \in A} z_i x_i$  will be denoted by  $z|_A$  (provided the series converges; this is always the case when the basis is unconditional). We will refer to  $z|_A$  as the **restriction of**

$z$  **to**  $A$ . The restrictions  $z|_{[n,\infty)\cap\mathbb{N}}$  and  $z|_{(n,\infty)\cap\mathbb{N}}$ , where  $n \in \mathbb{N}$ , will be abbreviated as  $z|_{[n,\infty)}$  and  $z|_{(n,\infty)}$ , respectively. We say that a vector  $v$  is a **restriction** of  $z$  if there exists  $A \subseteq \mathbb{N}$  such that  $v = z|_A$ . The vector  $z = \sum_{i=1}^{\infty} z_i x_i$  will also be denoted by  $z = (z_i)$ . If  $z = \sum_{i=1}^{\infty} z_i x_i$  then the **support** of  $z$  is the set  $\text{supp } z = \{i \in \mathbb{N} : z_i \neq 0\}$ .

Every 1-unconditional basis  $(x_n)$  in a Banach space  $X$  defines a Banach lattice order on  $X$  by  $\sum_{i=1}^{\infty} a_i x_i \geq 0$  if and only if  $a_i \geq 0$  for all  $i \in \mathbb{N}$  (see, e.g., [18, page 2]). For  $x \in X$ , we have  $|x| = x \vee (-x)$ . A Banach lattice is said to have **order continuous norm** if the condition  $x_\alpha \downarrow 0$  implies  $\|x_\alpha\| \rightarrow 0$ . For an introduction to Banach lattices and standard terminology, we refer the reader to [1, §1.2].

If  $(x_n)$  is a basic sequence in a Banach space  $X$ , then a sequence  $(y_n)$  in  $\text{span}\{x_n\}$  is a **block sequence** of  $(x_n)$  if there is a strictly increasing sequence  $(p_n)$  in  $\mathbb{N}$  and a sequence of scalars  $(a_i)$  such that  $y_n = \sum_{i=p_n+1}^{p_{n+1}} a_i x_i$  for all  $n \in \mathbb{N}$ .

The following two facts are classical and will sometimes be used without any references. The first fact is known as the Principle of Small Perturbations (see, e.g., [12, Theorem 4.23]).

**Theorem 1.2.** *Let  $X$  be a Banach space,  $(x_n)$  a basic sequence in  $X$ , and  $(x_n^*)$  the correspondent biorthogonal functionals defined on  $[x_n]$ . If  $(y_n)$  is a sequence such that  $\sum_{n=1}^{\infty} \|x_n^*\| \cdot \|x_n - y_n\| < 1$  then  $(y_n)$  is a basic sequence equivalent to  $(x_n)$ . Moreover, if  $[x_n]$  is complemented in  $X$  then so is  $[y_n]$ . If  $[x_n] = X$  then  $[y_n] = X$ .*

The next fact, which is often called the Bessaga-Pełczyński selection principle, is a result of combining the “gliding hump” argument (see, e.g., [9, Lemma 5.1]) with the Principle of Small Perturbations.

**Theorem 1.3.** *Let  $X$  be a Banach space with a seminormalized basis  $(x_n)$  and let  $(x_n^*)$  be the correspondent biorthogonal functionals. Let  $(y_n)$  be a seminormalized sequence in  $X$  such that  $x_n^*(y_k) \xrightarrow{k \rightarrow \infty} 0$  for all  $n \in \mathbb{N}$ . Then  $(y_n)$  has a subsequence  $(y_{n_k})$  which is basic and equivalent to a block sequence  $(u_k)$  of  $(x_n)$ . Moreover,  $y_{n_k} - u_k \rightarrow 0$ , and  $u_k$  is a restriction of  $y_{n_k}$ .*

**1.3. Lorentz sequence spaces.** Let  $1 \leq p < \infty$  and  $w = (w_n)$  be a sequence in  $\mathbb{R}$  such that  $w_1 = 1$ ,  $w_n \downarrow 0$ , and  $\sum_{i=1}^{\infty} w_i = \infty$ . The Lorentz sequence space  $d_{w,p}$  is a Banach space of all vectors  $x \in c_0$  such that  $\|x\|_{d_{w,p}} < \infty$ , where

$$\|(x_n)\|_{d_{w,p}} = \left( \sum_{n=1}^{\infty} w_n x_n^{*p} \right)^{1/p}$$

is the norm in  $d_{w,p}$ . Here  $(x_n^*)$  is the **non-increasing rearrangement** of the sequence  $(|x_n|)$ . An overview of properties of Lorentz sequence spaces can be found in [17, Section 4.e].

The vectors  $(e_n)$  in  $d_{w,p}$  defined by  $e_n(i) = \delta_{ni}$  ( $n, i \in \mathbb{N}$ ) form a 1-symmetric basis in  $d_{w,p}$ . In particular,  $(e_n)$  is 1-unconditional, hence  $d_{w,p}$  is a Banach lattice. We call  $(e_n)$  the unit vector basis of  $d_{w,p}$ . The unit vector basis of  $\ell_p$  will be denoted by  $(f_n)$  throughout the paper.

**Remark 1.4.** It is proved in [3, Lemma 1] and [10, Lemma 15] that if  $(u_n)$  is a seminormalized block sequence of  $(e_n)$  in  $d_{w,p}$ ,  $u_n = \sum_{i=p_n+1}^{p_{n+1}} a_i e_i$ , such that  $a_i \rightarrow 0$ , then there is a subsequence  $(u_{n_k})$  such that  $(u_{n_k}) \sim (f_n)$  and  $[u_{n_k}]$  is complemented in  $d_{w,p}$ . Further, it was shown in [3, Corollary 3] that if  $(y_n)$  is a seminormalized block sequence of  $(e_n)$  then there is a seminormalized block sequence  $(u_n)$  of  $(y_n)$  such that  $u_n = \sum_{i=p_n+1}^{p_{n+1}} a_i e_i$ , with  $a_i \rightarrow 0$ . Therefore, every infinite dimensional subspace of  $d_{w,p}$  contains a further subspace which is complemented in  $d_{w,p}$  and isomorphic to  $\ell_p$  ([10, Corollary 17]).

**Remark 1.5.** Remark 1.4 yields, in particular, that  $d_{w,p}$  does not contain copies of  $c_0$ . Since the basis  $(e_n)$  of  $d_{w,p}$  is unconditional, the space  $d_{w,p}$  is weakly sequentially complete by [2, Theorem 4.60] (see also [17, Theorem 1.c.10]). Also, [2, Theorem 4.56] guarantees that  $d_{w,p}$  has order continuous norm. In particular, if  $x \in d_{w,p}$  then  $\|x|_{[n,\infty)}\| \rightarrow 0$  as  $n \rightarrow \infty$ .

**Remark 1.6.** It was shown in [14] that if  $p > 1$  then  $d_{w,p}$  is reflexive. This can also be easily obtained from Remark 1.4 (cf. [17, Theorem 1.c.12]).

**Remark 1.7.** The unit vector basis  $(e_n)$  of  $d_{w,p}$  is weakly null. Indeed, by Rosenthal's  $\ell_1$ -theorem (see [21]; also [17, Theorem 2.e.5]),  $(e_n)$  is weakly Cauchy. Since it is symmetric,  $(e_n) \sim (e_{2n} - e_{2n-1})$ .

The next proposition will be used often in this paper.

**Proposition 1.8** ([3, Proposition 5 and Corollary 2]). *If  $(u_n)$  is a seminormalized block sequence of  $(e_n)$  then  $(f_n) \succeq (u_n)$ . If  $(u_n)$  does not contain subsequences equivalent to  $(f_n)$  then also  $(u_n) \succeq (e_n)$ .*

The following lemma is standard.

**Lemma 1.9.** *Let  $(x_n)$  be a block sequence of  $(e_n)$ ,  $x_n = \sum_{i=p_n+1}^{p_{n+1}} a_i e_i$ . If  $(y_n)$  is a basic sequence such that  $y_n = \sum_{i=p_n+1}^{p_{n+1}} b_i e_i$ , where  $|b_i| \leq |a_i|$  for all  $i \in \mathbb{N}$ , then  $(x_n)$  is basic and  $(x_n) \succeq (y_n)$ .*

*Proof.* Let

$$\gamma_i = \begin{cases} \frac{b_i}{a_i}, & \text{if } a_i \neq 0, \\ 0, & \text{if } a_i = 0. \end{cases}$$

Define an operator  $T \in L(d_{w,p})$  by  $T(\sum_{i=1}^{\infty} c_i e_i) = \sum_{i=1}^{\infty} c_i \gamma_i e_i$ . Then  $T$  is, clearly, linear and, since the basis  $(e_n)$  is 1-unconditional,  $T$  is bounded with  $\|T\| \leq 1$ . In particular,  $T|_{[x_n]}$  is bounded. Also,  $T(x_n) = y_n$  for all  $n \in \mathbb{N}$ , hence  $(x_n) \succeq (y_n)$ .  $\square$

**1.4. Outline of the results.** The purpose of the paper is to uncover the structure of ideals in  $L(d_{w,p})$ . We show that (some of) these ideals can be arranged into the following diagram.

$$\begin{array}{ccccccc} & & & \mathcal{SS} & & & \\ & & & \nearrow & \Rightarrow & & \\ \{0\} & \Rightarrow & \mathcal{K} \subsetneq \overline{J^j} & \rightarrow & \overline{J^{\ell_p}} \wedge \mathcal{SS} & \Rightarrow & \overline{J^{\ell_p}} \vee \mathcal{SS} \rightarrow \mathcal{SS}_{d_{w,p}} \Rightarrow L(d_{w,p}) \\ & & & \searrow & \Rightarrow & & \\ & & & \overline{J^{\ell_p}} & & & \end{array}$$

(the notations will be defined throughout the paper). On this diagram, a single arrow between ideals,  $J_1 \rightarrow J_2$ , means that  $J_1 \subseteq J_2$ . A double arrow between ideals,  $J_1 \Rightarrow J_2$ , means that  $J_2$  is the only immediate successor of  $J_1$  (in particular,  $J_1 \neq J_2$ ), whereas a dotted double arrow between ideals,  $J_1 \dashrightarrow J_2$ , only shows that  $J_2$  is an immediate successor for  $J_1$  (in particular,  $J_1$  may have other immediate successors).

While working with the diagram above, we obtain several important characterizations of some ideals in  $L(d_{w,p})$ . In particular, we show that  $\mathcal{FSS}(d_{w,p}) = \mathcal{SS}(d_{w,p})$  (Theorem 3.5). We also characterize the ideal of weakly compact operators (Theorem 3.6) and Dunford-Pettis operators (Theorem 5.7) on  $d_{w,p}$ . We show in Theorem 4.7 that  $\overline{J^j}$  is the only immediate successor of  $\mathcal{K}$  under some assumption on the weights  $w$ . In the last section of the paper, we show that all strictly singular operators from  $\ell_1$  to  $d_{w,1}$  can be approximated by operators factoring through the formal identity operator  $j: \ell_1 \rightarrow d_{w,1}$  (see Section 4 for the definition). We also obtain a result on factoring positive operators from  $\mathcal{SS}(d_{w,p})$  through the formal identity operator (Theorem 6.12).

## 2. OPERATORS FACTORABLE THROUGH $\ell_p$

Let  $X$  and  $Y$  be Banach spaces and  $T \in L(X)$ . We say that  $T$  **factors through**  $Y$  if there are two operators  $A \in L(X, Y)$  and  $B \in L(Y, X)$  such that  $T = BA$ .

The following two lemmas are standard. We present their proofs for the sake of completeness.

**Lemma 2.1.** *Let  $X$  and  $Y$  be Banach spaces and  $T \in L(X, Y)$ ,  $S \in L(Y, X)$  be such that  $ST = \text{id}_X$ . Then  $T$  is an isomorphism and  $\text{Range } T$  is a complemented subspace of  $Y$  isomorphic to  $X$ .*

*Proof.* For all  $x \in X$ , we have  $\|x\| = \|STx\| \leq \|S\| \|Tx\|$ , so  $\|Tx\| \geq \frac{1}{\|S\|} \|x\|$ . This shows that  $T$  is an isomorphism. In particular,  $\text{Range } T$  is a closed subspace of  $Y$  isomorphic to  $X$ .

Put  $P = TS \in L(Y)$ . Then  $P^2 = TSTS = T\text{id}_X S = TS = P$ , hence  $P$  is a projection. Clearly,  $\text{Range } P \subseteq \text{Range } T$ . Also,  $PT = TST = T$ , so  $\text{Range } T \subseteq \text{Range } P$ . Therefore  $\text{Range } P = \text{Range } T$ , and  $\text{Range } T$  is complemented.  $\square$

**Lemma 2.2.** *Let  $X$  and  $Y$  be Banach spaces such that  $Y$  is isomorphic to  $Y \oplus Y$ . Then the set  $J = \{T \in L(X) : T \text{ factors through } Y\}$  is an ideal in  $L(X)$ .*

*Proof.* It is clear that  $J$  is closed under multiplication by operators in  $L(X)$ . In particular,  $J$  is closed under scalar multiplication. Let  $A, B \in J$ . Write  $A = A_1 A_2$  and  $B = B_1 B_2$ , where  $A_1, B_1 \in L(Y, X)$  and  $A_2, B_2 \in L(X, Y)$ . Then  $A + B = UV$  where  $V: x \in X \mapsto (A_2 x, B_2 x) \in Y \oplus Y$  and  $U: (x, y) \in Y \oplus Y \mapsto A_1 x + B_1 y \in Y$ . Clearly,  $UV$  factors through  $Y \oplus Y \simeq Y$ . Hence  $A + B \in J$ .  $\square$

We will denote the set of all operators in  $L(d_{w,p})$  which factor through a Banach space  $Y$  by  $J^Y$ .

**Theorem 2.3.** *The sets  $J^{\ell_p}$  and  $\overline{J^{\ell_p}}$  are proper ideals in  $L(d_{w,p})$ .*

*Proof.* Since  $\ell_p$  is isomorphic to  $\ell_p \oplus \ell_p$ , it follows from Lemma 2.2 that  $J^{\ell_p}$  is an ideal in  $L(d_{w,p})$ . Let us show that  $J^{\ell_p} \neq L(d_{w,p})$ .

Assume that  $J^{\ell_p} = L(d_{w,p})$ , then the identity operator  $I$  on  $d_{w,p}$  belongs to  $J$ . Write  $I = ST$  where  $T \in L(d_{w,p}, \ell_p)$  and  $S \in L(\ell_p, d_{w,p})$ . By Lemma 2.1, the range of  $T$  is complemented in  $\ell_p$  and is isomorphic to  $d_{w,p}$ . This is a contradiction because all complemented infinite-dimensional subspaces of  $\ell_p$  are isomorphic to  $\ell_p$  (see, e.g., [17, Theorem 2.a.3]), while  $d_{w,p}$  is not isomorphic to  $\ell_p$  (see [6] for the case  $p = 1$  and [14] for the case  $1 < p < \infty$ ; see also [17, p. 176]).

Being the closure of a proper ideal,  $\overline{J^{\ell_p}}$  is itself a proper ideal (see, e.g., [11, Corollary VII.2.4]).  $\square$

**Proposition 2.4.** *There exists a projection  $P \in L(d_{w,p})$  such that  $\text{Range } P$  is isomorphic to  $\ell_p$ . For every such  $P$  we have  $J_P = J^{\ell_p}$ .*

*Proof.* Such projections exist by Remark 1.4. Let  $Y = \text{Range } P$ ,  $U: Y \rightarrow \ell_p$  be an isomorphism onto, and  $i: Y \rightarrow d_{w,p}$  be the inclusion map. It is easy to see that  $P = (iU^{-1})(UP)$ , hence  $P \in J^{\ell_p}$ , so that  $J_P \subseteq J^{\ell_p}$ .

On the other hand, if  $T \in J^{\ell_p}$  is arbitrary,  $T = AB$  with  $A \in L(\ell_p, d_{w,p})$ ,  $B \in L(d_{w,p}, \ell_p)$ , then one can write  $T = (AUP)P(iU^{-1}B)$ , so that  $T \in J_P$ . Thus  $J^{\ell_p} \subseteq J_P$ .  $\square$

**Corollary 2.5.** *The ideal  $\overline{J^{\ell_p}}$  properly contains the ideal of compact operators  $\mathcal{K}(d_{w,p})$ .*

*Proof.* It was already mentioned in the introductory section that compact operators form the smallest closed ideal in  $L(d_{w,p})$ . Since a projection onto a subspace isomorphic to  $\ell_p$  is not compact, it follows that  $\mathcal{K}(d_{w,p}) \neq \overline{J^{\ell_p}}$ .  $\square$

### 3. STRICTLY SINGULAR OPERATORS

In this section we will study properties of strictly singular operators in  $L(d_{w,p})$ . Since projections onto the subspaces of  $d_{w,p}$  isomorphic to  $\ell_p$  are clearly not strictly singular, it follows from Proposition 2.4 that  $\mathcal{SS}(d_{w,p}) \neq J^{\ell_p}$ . Moreover,  $\mathcal{SS} \neq \overline{J^{\ell_p}} \vee \mathcal{SS}$  and  $\overline{J^{\ell_p}} \wedge \mathcal{SS} \neq \overline{J^{\ell_p}}$ . So, the ideals we discussed so far can be arranged as follows:

$$\{0\} \implies \mathcal{K} \longrightarrow \overline{J^{\ell_p}} \wedge \mathcal{SS} \begin{array}{l} \nearrow \mathcal{SS} \\ \searrow \neq \end{array} \begin{array}{l} \mathcal{SS} \\ \nearrow \neq \\ \searrow \end{array} \begin{array}{l} \overline{J^{\ell_p}} \vee \mathcal{SS} \\ \nearrow \\ \searrow \end{array} \longrightarrow L(d_{w,p})$$

The following theorem shows that there can be no other closed ideals between  $\mathcal{SS}$  and  $\overline{J^{\ell_p}} \vee \mathcal{SS}$  on this diagram.

**Theorem 3.1.** *Let  $T \in L(d_{w,p})$ . If  $T \notin \mathcal{SS}(d_{w,p})$  then  $J^{\ell_p} \subseteq J_T$ .*

*Proof.* Let  $T \notin \mathcal{SS}(d_{w,p})$ . Then there exists an infinite-dimensional subspace  $Y$  of  $d_{w,p}$  such that  $T|_Y$  is an isomorphism. By Remark 1.4, passing to a subspace, we may assume that  $Y$  is complemented in  $d_{w,p}$  and isomorphic to  $\ell_p$ . Let  $(x_n)$  be a basis of  $Y$  equivalent to the unit vector basis of  $\ell_p$ . Define  $z_n = Tx_n$ , then  $(z_n)$  is also equivalent to the unit vector basis of  $\ell_p$ . By Remark 1.4,  $(z_n)$  has a subsequence  $(z_{n_k})$  such that  $[z_{n_k}]$  is complemented in  $d_{w,p}$  and isomorphic to  $\ell_p$ .

Denote  $W = [x_{n_k}]$ . Then  $W$  and  $T(W)$  are both complemented subspaces of  $d_{w,p}$  isomorphic to  $\ell_p$ . Let  $P$  and  $Q$  be projections onto  $W$  and  $T(W)$ , respectively. Put  $S = (T|_W)^{-1}$ ,  $S \in L(T(W), d_{w,p})$ . Then it is easy to see that  $P = (SQ)TP$ . Since  $SQ$  and  $P$  are in  $L(d_{w,p})$ , we have  $J_P \subseteq J_T$ . By Proposition 2.4,  $J^{\ell_p} \subseteq J_T$ .  $\square$

**Corollary 3.2.**  $\overline{J^{\ell_p}} \vee \mathcal{SS}(d_{w,p})$  is the only immediate successor of  $\mathcal{SS}(d_{w,p})$  and  $\overline{J^{\ell_p}}$  is an immediate successor of  $\overline{J^{\ell_p}} \wedge \mathcal{SS}(d_{w,p})$ .

Now we will investigate the ideal of finitely strictly singular operators on  $d_{w,p}$ . To prove the main statement (Theorem 3.5), we will need the following lemma due to Milman [19] (see also a thorough discussion in [22]). This lemma will be used more than once in the paper.

**Lemma 3.3** ([19]). *If  $F$  is a  $k$ -dimensional subspace of  $c_0$  then there exists a vector  $x \in F$  such that  $x$  attains its sup-norm at at least  $k$  coordinates (that is,  $x^*$  starts with a constant block of length  $k$ ).*

We will also use the following simple lemma.

**Lemma 3.4.** *Let  $s_n = \sum_{i=1}^n w_i$  ( $n \in \mathbb{N}$ ) where  $w = (w_i)$  is the sequence of weights for  $d_{w,p}$ . If  $x \in d_{w,p}$ ,  $y = x^*$ , and  $N \in \mathbb{N}$  then  $0 \leq y_N \leq \frac{\|x\|}{s_N^{1/p}}$ .*

*Proof.*  $\|x\|^p = \|y\|^p = \sum_{i=1}^{\infty} y_i^p w_i \geq y_N^p \sum_{i=1}^N w_i = y_N^p s_N$ . □

**Theorem 3.5.** *Let  $X$  and  $Y$  be subspaces of  $d_{w,p}$ . Then  $\mathcal{FSS}(X, Y) = \mathcal{SS}(X, Y)$ . In particular,  $\mathcal{FSS}(\ell_p, d_{w,p}) = \mathcal{SS}(\ell_p, d_{w,p})$  and  $\mathcal{FSS}(d_{w,p}) = \mathcal{SS}(d_{w,p})$ .*

*Proof.* Let  $T \in L(X, Y)$ . Suppose that  $T$  is not finitely strictly singular. We will show that it is not strictly singular. Since  $T$  is not finitely strictly singular, there exists a constant  $c > 0$  and a sequence  $F_n$  of subspaces of  $X$  with  $\dim F_n \geq n$  such that for each  $n$  and for all  $x \in F_n$  we have  $\|Tx\| \geq c\|x\|$ .

Fix a sequence  $(\varepsilon_k)$  in  $\mathbb{R}$  such that  $1 > \varepsilon_k \downarrow 0$ . We will inductively construct a sequence  $(x_k)$  in  $X$  and two strictly increasing sequences  $(n_k), (m_k)$  in  $\mathbb{N}$  such that:

- (i)  $(x_k)$  and  $(Tx_k)$  are seminormalized; we will denote  $Tx_k$  by  $u_k$ ;
- (ii) for all  $k \in \mathbb{N}$ ,  $\text{supp } x_k \subseteq [n_k, \infty)$  and  $\text{supp } u_k \subseteq [m_k, \infty)$ ;
- (iii) if  $k \geq 2$  then  $\|x_{k-1}|_{[n_k, \infty)}\| < \varepsilon_k$ ,  $\|u_{k-1}|_{[m_k, \infty)}\| < \varepsilon_k$ , and all the coordinates of  $u_{k-1}$  where the sup-norm is attained are less than  $m_k$ ;
- (iv) for each  $k \in \mathbb{N}$ , the vector  $u_k^*$  begins with a constant block of length at least  $k$ .

That is,  $(x_n)$  and  $(u_n)$  are two almost disjoint sequences and  $u_n$ 's have long "flat" sections.

Take  $x_1$  to be any nonzero vector in  $F_1$  and put  $n_1 = m_1 = 1$ . Suppose we have already constructed  $x_1, \dots, x_{k-1}$ ,  $n_1, \dots, n_{k-1}$ , and  $m_1, \dots, m_{k-1}$  such that the conditions (i)–(iv) are satisfied. Choose  $n_k \in \mathbb{N}$  and  $m_k \in \mathbb{N}$  such that  $n_k > n_{k-1}$ ,  $m_k > m_{k-1}$  and the condition (iii) is satisfied.



Consider the space

$$V = \{y = (y_i) \in F_{n_k+m_k+k} : y_i = 0 \text{ for } i < n_k\} \subseteq F_{n_k+m_k+k}.$$

It follows from  $\dim F_{n_k+m_k+k} \geq n_k+m_k+k$  that  $\dim V \geq m_k+k$ . Since  $V \subseteq F_{n_k+m_k+k}$ ,  $\|Ty\| \geq c\|y\|$  for all  $y \in V$ . In particular,  $\dim(TV) \geq m_k+k$ . Define

$$Z = \{z = (z_i) \in TV : z_i = 0 \text{ for } i < m_k\}.$$

It follows that  $\dim Z \geq k$ .

Clearly,  $\text{supp } y \subseteq [n_k, \infty)$  for all  $y \in V$  and  $\text{supp } z \subseteq [m_k, \infty)$  for all  $z \in Z$ . By Lemma 3.3, we can choose  $u_k \in Z$  such that  $u_k$  is normalized and  $u_k^*$  starts with a constant block of length  $k$ . Put  $x_k = (T|_V)^{-1}(u_k) \in Y$ . Since  $x_k \in V$  and  $\|u_k\| = 1$ , it follows that  $\frac{1}{\|T\|} \leq \|x_k\| \leq \frac{1}{c}$ , so the conditions (i)–(iv) are satisfied for  $(x_k)$ .

For each  $k \in \mathbb{N}$ , let  $x'_k = x_k|_{[n_k, n_{k+1})}$  and  $u'_k = u_k|_{[m_k, m_{k+1})}$ . Passing to tails of sequences, if necessary, we may assume that both  $(x'_k)$  and  $(u'_k)$  are seminormalized block sequences of  $(e_n)$ .

Since the non-increasing rearrangement of each  $u'_k$  starts with a constant block of length  $k$  by (iii), the coefficients in  $u'_k$  converge to zero by Lemma 3.4. Therefore, passing to a subsequence, we may assume by Remark 1.4 that  $(u'_k)$  is equivalent to the unit vector basis  $(f_n)$  of  $\ell_p$ . Using Theorem 1.2 and passing to a further subsequence, we may also assume that  $(x_k) \sim (x'_k)$  and  $(u_k) \sim (u'_k)$ .

By Proposition 1.8, the sequence  $(x'_k)$  is dominated by  $(f_n)$ . Notice that the condition  $u_k = Tx_k$  implies  $(x_k) \succeq (u_k)$ . Therefore, we get the following chain of dominations and equivalences of basic sequences:

$$(f_n) \succeq (x'_k) \sim (x_k) \succeq (u_k) \sim (u'_k) \sim (f_n).$$

It follows that all the dominations in this chain are, actually, equivalences. In particular,  $(x_k) \sim (u_k)$ . Thus,  $T$  is an isomorphism on the space  $[x_k]$ , hence  $T$  is not strictly singular.  $\square$

Recall that an operator  $T$  on a Banach space  $X$  is weakly compact if the image of the unit ball of  $X$  under  $T$  is relatively weakly compact. Alternatively,  $T$  is weakly compact if and only if for every bounded sequence  $(x_n)$  in  $X$  there exists a subsequence  $(x_{n_k})$  of  $(x_n)$  such that  $(Tx_{n_k})$  is weakly convergent.

If  $1 < p < \infty$  then  $d_{w,p}$  is reflexive, and, hence, every operator in  $L(d_{w,p})$  is weakly compact. In case  $p = 1$  we have the following.

**Theorem 3.6.** *Let  $T \in L(d_{w,1})$ . Then  $T$  is weakly compact if and only if  $T$  is strictly singular.*

*Proof.* Suppose that  $T$  is strictly singular. We will show that  $T$  is weakly compact.

Let  $(x_n)$  be a bounded sequence in  $X$ . By Rosenthal's  $\ell_1$ -theorem, there is a subsequence  $(x_{n_k})$  of  $(x_n)$  such that  $(x_{n_k})$  is either equivalent to the unit vector basis  $(f_n)$  of  $\ell_1$  or is weakly Cauchy. In the latter case,  $(Tx_{n_k})$  is also weakly Cauchy. If  $(x_{n_k}) \sim (f_n)$  then, since  $T$  is strictly singular,  $(Tx_{n_k})$  cannot have subsequences equivalent to  $(f_n)$ . Hence, using Rosenthal's theorem one more time and passing to a further subsequence, we may assume that, again,  $(Tx_{n_k})$  is weakly Cauchy. Since  $d_{w,1}$  is weakly sequentially complete, the sequence  $(Tx_{n_k})$  is weakly convergent. It follows that  $T$  is weakly compact.

Conversely, let  $J$  be the closed ideal of weakly compact operators in  $L(d_{w,1})$ . By the first part of the proof,  $J$  is a successor of  $\mathcal{SS}(d_{w,1})$ . Suppose that  $J \neq \mathcal{SS}(d_{w,1})$ . By Theorem 3.1,  $J^{\ell_1} \subseteq J$ . This, however, is a contradiction since a projection onto a copy of  $\ell_1$  (which belongs to  $J^{\ell_1}$  by Proposition 2.4) is not weakly compact.  $\square$

#### 4. OPERATORS FACTORABLE THROUGH THE FORMAL IDENTITY

The operator  $j: \ell_p \rightarrow d_{w,p}$  defined by  $j(e_n) = f_n$  is called ***the formal identity operator from  $\ell_p$  to  $d_{w,p}$*** . It follows immediately from the definition of the norm in  $d_{w,p}$  that  $\|j\| = 1$ .

We will denote by the symbol  $J^j$  the set of all operators  $T \in L(d_{w,p})$  which can be factored as  $T = AjB$  where  $A \in L(d_{w,p})$  and  $B \in L(d_{w,p}, \ell_p)$ .

**Proposition 4.1.**  *$J^j$  is an ideal in  $L(d_{w,p})$ .*

*Proof.* It is clear from the definition that the set  $J^j$  is closed under both right and left multiplication by operators from  $L(d_{w,p})$ . We have to show that if  $T_1$  and  $T_2$  are in  $J^j$  then  $T_1 + T_2$  is in  $J^j$ , as well.

Write  $T_1 = A_1jB_1$ ,  $T_2 = A_2jB_2$  with  $A_1, A_2 \in L(d_{w,p})$  and  $B_1, B_2 \in L(d_{w,p}, \ell_p)$ . Let  $A \in L(d_{w,p} \oplus d_{w,p}, d_{w,p})$  and  $B \in L(d_{w,p}, \ell_p \oplus \ell_p)$  be defined by

$$A(x_1, x_2) = A_1x_1 + A_2x_2 \quad \text{and} \quad Bx = (B_1x, B_2x).$$

Define also  $U: \ell_p \rightarrow \ell_p \oplus \ell_p$  and  $V: d_{w,p} \rightarrow d_{w,p} \oplus d_{w,p}$  by

$$U((x_n)) = ((x_{2n-1}), (x_{2n})), \quad \text{and} \quad V((x_n)) = ((x_{2n-1}), (x_{2n})).$$

Since the bases of  $\ell_p$  and  $d_{w,p}$  are both unconditional,  $U$  and  $V$  are bounded.

Now observe that for each  $x = (x_n) \in d_{w,p}$  we can write

$$\begin{aligned} AVjU^{-1}Bx &= AVjU^{-1}(B_1x, B_2x) = \\ &A(jB_1x, jB_2x) = A_1jB_1x + A_2jB_2x = T_1x + T_2x. \end{aligned}$$

This shows that  $T_1 + T_2 = AVjU^{-1}B$  with  $AV \in L(d_{w,p})$  and  $U^{-1}B \in L(d_{w,p}, \ell_p)$ , hence  $T_1 + T_2 \in J^j$ .  $\square$

As we already mentioned before, the space  $d_{w,p}$  contains many complemented copies of  $\ell_p$ . Consider the operator  $jUP \in L(d_{w,p})$  where  $P$  is a projection onto any subspace  $Y$  isomorphic to  $\ell_p$  and  $U: Y \rightarrow \ell_p$  is an isomorphism onto. It turns out that the ideal generated by any such operator does not depend on the choice of  $Y$  and, in fact, coincides with  $J^j$ .

**Proposition 4.2.** *Let  $Y$  be a complemented subspace of  $d_{w,p}$  isomorphic to  $\ell_p$ ,  $P \in L(d_{w,p})$  be a projection with range  $Y$ , and  $U: Y \rightarrow \ell_p$  be an isomorphism onto. If  $T = jUP$  then  $J_T = J^j$ .*

*Proof.* Clearly,  $J_T \subseteq J^j$ . Let  $S \in J^j$ . Then  $S = AjB$  where  $A \in L(d_{w,p})$  and  $B \in L(d_{w,p}, \ell_p)$ . It follows that

$$S = AjB = Aj(UPU^{-1})B = AT(U^{-1}B) \in J_T.$$

$\square$

The next goal is to show that the ideal  $\overline{J^j}$  “sits” between  $\mathcal{K}(X)$  and  $\mathcal{SS}(X) \wedge \overline{J^{\ell_p}}$ .

**Theorem 4.3.** *The formal identity operator  $j: \ell_p \rightarrow d_{w,p}$  is finitely strictly singular.*

*Proof.* Let  $\varepsilon > 0$  be arbitrary. Take  $n \in \mathbb{N}$  such that  $\frac{1}{n} \sum_{i=1}^n w_i < \varepsilon$ ; such  $n$  exists by  $w_n \rightarrow 0$ . Since  $(w_n)$  is also a decreasing sequence, it follows that  $w_i < \varepsilon$  for all  $i \geq n$ .

Let  $Y \subseteq \ell_p$  be a subspace with  $\dim Y \geq n$ . By Lemma 3.3, there exists a vector  $x \in Y$  such that  $\|x\|_{\ell_p} = 1$  and  $x$  attains its sup-norm at at least  $n$  coordinates. Denote  $\delta = \|x\|_{\sup} > 0$ . Then  $\|x\|_{\ell_p} \geq n^{1/p}\delta$ , so  $\delta \leq n^{-1/p}$ .

Observe that the non-increasing rearrangement  $x^*$  of  $x$  satisfies the condition that  $x_i^* = \delta$  for all  $1 \leq i \leq n$ . Therefore

$$\|jx\|_{d_{w,p}}^p = \sum_{i=1}^{\infty} x_i^{*p} w_i \leq \delta^p \sum_{i=1}^n w_i + \varepsilon \sum_{i=n+1}^{\infty} x_i^{*p} \leq \delta^p n \varepsilon + \varepsilon \|x\|_{\ell_p}^p \leq 2\varepsilon.$$

Hence  $\|jx\|_{d_{w,p}} \leq (2\varepsilon)^{1/p}$ .  $\square$

**Corollary 4.4.** *The following inclusions hold:  $\mathcal{K}(d_{w,p}) \subsetneq \overline{J^j}$  and  $J^j \subseteq \mathcal{SS}(d_{w,p}) \wedge J^{\ell_p}$ .*

*Proof.* Let  $Y, P$ , and  $U$  be as in Proposition 4.2. Then  $jUP \in J^j$ . If  $x_n = U^{-1}f_n \in d_{w,p}$  then  $(x_n)$  is seminormalized and  $jUPx_n = e_n$ . Hence the sequence  $(jUPx_n)$  has no convergent subsequences, so that  $jUP$  is not compact.

The inclusion  $J^j \subseteq \mathcal{SS}(d_{w,p}) \wedge J^{\ell_p}$  is obvious since  $j$  is strictly singular.  $\square$

**Conjecture 4.5.** *The ideal  $\overline{J^j}$  is the only immediate successor of  $\mathcal{K}(d_{w,p})$ .*

In [3] and [10] (see also [17]), conditions on the weights  $w = (w_n)$  are given under which  $d_{w,p}$  has exactly two non-equivalent symmetric basic sequences. We will show that the conjecture holds true in this case.

**Lemma 4.6.** *If  $T \in \mathcal{SS}(d_{w,p}) \setminus \mathcal{K}(d_{w,p})$  then there exists a seminormalized basic sequence  $(x_n)$  in  $d_{w,p}$  such that  $(f_n) \succeq (x_n)$  and  $(Tx_n)$  is weakly null and seminormalized.*

*Proof.* Let  $(z_n)$  be a bounded sequence in  $d_{w,p}$  such that  $(Tz_n)$  has no convergent subsequences. Then  $(z_n)$  has no convergent subsequences either. Applying Rosenthal's  $\ell_1$ -theorem and passing to a subsequence, we may assume that  $(z_n)$  is either equivalent to the unit vector basis of  $\ell_1$  or is weakly Cauchy.

*Case:  $(z_n)$  is equivalent to the unit vector basis of  $\ell_1$ .* Since a reflexive space cannot contain a copy of  $\ell_1$ , we conclude that  $p = 1$ , so  $(z_n) \sim (f_n)$ . Again, by Rosenthal's theorem,  $(Tz_n)$  has a subsequence which is either equivalent to  $(f_n)$  or is weakly Cauchy. If  $(Tz_{n_k}) \sim (f_n)$  then  $T$  is an isomorphism on the space  $[z_{n_k}]$ , contrary to the assumption that  $T \in \mathcal{SS}(d_{w,p})$ . Therefore,  $(Tz_{n_k})$  is weakly Cauchy. Put  $x_k = z_{n_{2k}} - z_{n_{2k-1}}$ . Then  $(x_k)$  is basic and  $(Tx_k)$  is weakly null. Passing to a further subsequence of  $(z_{n_k})$  we may assume that  $(Tx_k)$  is seminormalized. Also,  $(x_k)$  is still equivalent to  $(f_n)$ , hence is dominated by  $(f_n)$ .

*Case:  $(z_n)$  is weakly Cauchy.* Clearly,  $(Tz_n)$  is also weakly Cauchy. Consider the sequence  $(u_n)$  in  $d_{w,p}$  defined by  $u_n = z_{2n} - z_{2n-1}$ . Then both  $(u_n)$  and  $(Tu_n)$  are weakly null. Passing to a subsequence of  $(z_n)$ , we may assume that  $(Tu_n)$  and, hence,  $(u_n)$  are seminormalized. Applying Theorem 1.3, we get a subsequence  $(u_{n_k})$  of  $(u_n)$  which is basic and equivalent to a block sequence  $(v_n)$  of  $(e_n)$ . Denote  $x_k = u_{n_k}$ . By Proposition 1.8,  $(f_n)$  dominates  $(v_n)$  and, hence,  $(x_k)$ .  $\square$

**Theorem 4.7.** *If  $d_{w,p}$  has exactly two non-equivalent symmetric basic sequences, then  $\overline{J^j}$  is the only immediate successor of  $\mathcal{K}(d_{w,p})$ .*

*Proof.* Let  $T$  be a non-compact operator on  $d_{w,p}$ . It suffices to show that  $J^j \subseteq J_T$ . We may assume that  $T$  is strictly singular because, otherwise, we have  $J^j \subseteq J^{\ell_p} \subseteq J_T$  by Theorem 3.1.

Let  $(x_n)$  be a sequence as in Lemma 4.6. Passing to a subsequence and using Theorem 1.3, we may assume that  $(Tx_n)$  is basic and equivalent to a block sequence  $(h_n)$  of  $(e_n)$  such that  $Tx_n - h_n \rightarrow 0$ . We claim that  $(h_n)$  has no subsequences equivalent to  $(f_n)$ . Indeed, otherwise, for such a subsequence  $(h_{n_k})$  of  $(h_n)$ , we would have

$(f_n) \sim (f_{n_k}) \succeq (x_{n_k}) \succeq (Tx_{n_k}) \sim (h_{n_k}) \sim (f_n)$ , so  $(x_{n_k}) \sim (Tx_{n_k})$ , contrary to  $T \in \mathcal{SS}(d_{w,p})$ . By [10, Theorem 19],  $(h_n)$  has a subsequence which spans a complemented subspace in  $d_{w,p}$  and is equivalent to  $(e_n)$ . Therefore, by Theorem 1.2, we may assume (by passing to a further subsequence) that  $(Tx_n) \sim (e_n)$  and  $[Tx_n]$  is complemented in  $d_{w,p}$ .

We have proved that there exists a sequence  $(x_n)$  in  $d_{w,p}$  such that  $[Tx_n]$  is complemented in  $d_{w,p}$  and

$$(f_n) \succeq (x_n) \succeq (Tx_n) \sim (e_n).$$

Let  $A \in L(\ell_p, d_{w,p})$  and  $B \in L([Tx_n], d_{w,p})$  be defined by  $Af_n = x_n$  and  $B(Tx_n) = e_n$ . Let  $Q \in L(d_{w,p})$  be a projection onto  $[Tx_n]$ . Then for all  $n \in \mathbb{N}$ , we obtain:  $BQTAf_n = BQTx_n = BTx_n = e_n$ . It follows that  $BQTA = j$ , so that  $J^j \subseteq J_T$ .  $\square$

In order to prove Conjecture 4.5 without additional conditions on  $w$ , it suffices to show that if  $T \in \overline{J^j} \setminus \mathcal{K}(d_{w,p})$  then  $J^j \subseteq \overline{J_T}$ . We will prove a weaker statement: if  $T \in J^j \setminus \mathcal{K}(d_{w,p})$  then  $J^j \subseteq J_T$ .

Recall (see [3, p.148]) that if  $x = (a_n) \in d_{w,p}$  then a block sequence  $(y_n)$  of  $(e_n)$  is called a **block of type I generated by  $x$**  if it is of the form  $y_n = \sum_{i=p_n+1}^{p_{n+1}} a_{i-p_n} e_i$  for all  $n$ . A set  $A \subseteq d_{w,p}$  will be said to be **almost lengthwise bounded** if for each  $\varepsilon > 0$  there exists  $N \in \mathbb{N}$  such that  $\|x^*|_{[N,\infty)}\| < \varepsilon$  for all  $x \in A$ . We will usually use it in the case when  $A = \{x_n\}$  for some sequence  $(x_n)$  in  $d_{w,p}$ . We need the following result, which is a slight extension of [3, Theorem 3]. We include the proof for completeness.

**Theorem 4.8.** *Let  $(x_n)$  be a seminormalized block sequence of  $(e_n)$  in  $d_{w,p}$ .*

- (i) *If  $(x_n)$  is not almost lengthwise bounded then there exists a subsequence  $(x_{n_k})$  such that  $(x_{n_k}) \sim (f_n)$ .*
- (ii) *If  $(x_n)$  is almost lengthwise bounded, then there exists a subsequence  $(x_{n_k})$  equivalent to a block of type I generated by a vector  $u = \sum_{i=1}^{\infty} b_i e_i \in d_{w,p}$  with  $b_i \downarrow 0$ . Moreover, if the sequence  $(x_n)$  is bounded in  $\ell_p$  then  $u$  is in  $\ell_p$ .<sup>1</sup>*

*Proof.* (i) Without loss of generality,  $\|x_n\| \leq 1$  for all  $n \in \mathbb{N}$ . By the assumption, there exists  $\varepsilon > 0$  with the property that for each  $k \in \mathbb{N}$ , there is  $n_k \in \mathbb{N}$  such that  $\|x_{n_k}^*|_{[k,\infty)}\| \geq \varepsilon$ . Let  $u_k$  be a restriction of  $x_{n_k}$  such that  $u_k^* = x_{n_k}^*|_{[1,k]}$  and  $v_k = x_{n_k} - u_k$ .

Clearly, each nonzero entry of  $u_k$  is greater than or equal to the greatest entry of  $v_k$ . By Lemma 3.4, the  $k$ -th coordinate of  $u_k^*$  is less than or equal to  $\frac{1}{s_k^{1/p}}$  where  $s_k = \sum_{i=1}^k w_i$ .

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<sup>1</sup>As a sequence space,  $\ell_p$  is a subset of  $d_{w,p}$ . That is, we can identify  $\ell_p$  with  $\text{Range } j$ . More precisely, we claim here that if  $(j^{-1}x_n)$  is bounded in  $\ell_p$  then  $u$  is in  $\text{Range } j$ . Being a block sequence of  $(e_n)$ ,  $(x_n)$  is contained in  $\text{Range } j$ .

It follows that  $(v_k)$  is a block sequence of  $(e_n)$  such that  $\varepsilon \leq \|v_k\| \leq 1$  and absolute values of the entries of  $v_k$  are all at most  $\frac{1}{s_k^{1/p}}$ . Since  $\lim_k s_k = +\infty$  by the definition of  $d_{w,p}$ , passing to a subsequence and using Remark 1.4 we may assume that  $(v_k)$  is equivalent to  $(f_n)$ . By Proposition 1.8,  $(f_n)$  dominates  $(x_{n_k})$ . Using also Lemma 1.9, we obtain the following diagram:

$$(f_n) \succeq (x_{n_k}) \succeq (v_k) \sim (f_n).$$

Hence  $(x_{n_k})$  is equivalent to  $(f_n)$ .

(ii) Suppose that  $x_n = \sum_{i=p_n+1}^{p_{n+1}} a_i e_i$ . Clearly, the sequence  $(a_i)$  is bounded. Without loss of generality,  $a_{p_{n+1}} \geq \dots \geq a_{p_n+1} \geq 0$  for each  $n$ . Put  $y_n = x_n^*$ . Using a standard diagonalization argument and passing to a subsequence, we may assume that  $(y_n)$  converges coordinate-wise; put  $b_i = \lim_{n \rightarrow \infty} y_{n,i}$ . It is easy to see that  $b_i \geq b_{i+1}$  for all  $i$ . Put  $u = (b_i)$ .

*Case: the sequence  $(p_{n+1} - p_n)$  is bounded.* Passing to a subsequence, we may assume that  $N := p_{n_k+1} - p_{n_k}$  is a constant. Note that  $\text{supp } u \subseteq [1, N]$  and  $\text{supp } y_{n_k} \subseteq [1, N]$  for all  $k$ . Put  $u_k = \sum_{i=p_{n_k}+1}^{p_{n_k+1}} b_{i-p_{n_k}} e_i$ , then  $u = u_k^*$  and  $(u_k)$  as a block of type I generated by  $u$ . By compactness,  $\|x_{n_k} - u_k\| = \|y_{n_k} - u\| \rightarrow 0$ . Therefore, passing to a further subsequence, we have  $(x_{n_k}) \sim (u_k)$ . Being a vector with finite support,  $u$  belongs to  $\ell_p$ .

*Case: the sequence  $(p_{n+1} - p_n)$  is unbounded.* We will construct the required subsequence  $(x_{n_k})$  and a sequence  $(N_k)$  inductively. Put  $n_1 = N_1 = 1$  and let  $k > 1$ . Suppose that  $n_1, \dots, n_{k-1}$  and  $N_1, \dots, N_{k-1}$  have already been selected. Since  $(x_n)$  is almost lengthwise bounded, we can find  $N_k > N_{k-1}$  such that  $\|y_n|_{(N_k, \infty)}\| < \frac{1}{k}$  for all  $n$ . Put  $v_k = u|_{[1, N_k]}$ . Using coordinate-wise convergence, we can find  $n_k > n_{k-1}$  such that  $\|y_{n_k}|_{[1, N_k]} - v_k\|_{\ell_p} < \frac{1}{k}$  and  $p_{n_k} + N_k \leq p_{n_k+1}$ . Put  $u_k = \sum_{i=p_{n_k}+1}^{p_{n_k}+N_k} b_{i-p_{n_k}} e_i$ . Then  $u_k^* = v_k$ , so that

$$(1) \quad \|x_{n_k}|_{(p_{n_k}, p_{n_k}+N_k]} - u_k\|_{\ell_p} = \|y_{n_k}|_{[1, N_k]} - v_k\|_{\ell_p} < \frac{1}{k}$$

and

$$\|x_{n_k}|_{(p_{n_k}+N_k, p_{n_k+1}]}\| = \|y_{n_k}|_{(N_k, \infty)}\| < \frac{1}{k}.$$

It follows that  $\|x_{n_k} - u_k\| \rightarrow 0$ . Passing to a subsequence, we get  $(x_{n_k}) \sim (u_k)$ .

Next, we show that  $u \in d_{w,p}$ . Since  $\|\cdot\| \leq \|\cdot\|_{\ell_p}$ , it follows from (1) that

$$\|v_k\| = \|u_k\| \leq \|x_{n_k}|_{(p_{n_k}, p_{n_k}+N_k]}\| + \frac{1}{k} \leq \|x_{n_k}\| + \frac{1}{k}.$$

Since  $(x_n)$  is bounded, so is  $(v_k)$ . Since  $\text{supp } v_k = N_k \rightarrow \infty$ , we have  $u \in d_{w,p}$ . For the ‘‘moreover’’ part, we argue in a similar way. By (1), we have

$$\|v_k\|_{\ell_p} \leq \|u_k\|_{\ell_p} \leq \|x_{n_k}|_{(p_{n_k}, p_{n_k}+N_k]}\|_{\ell_p} + \frac{1}{k} \leq \|x_{n_k}\|_{\ell_p} + \frac{1}{k}.$$

Therefore, if  $(x_n)$  is bounded in  $\ell_p$  then so is  $(v_k)$ , hence  $u \in \ell_p$ .  $\square$

**Lemma 4.9.** *Suppose that  $(u_n)$  is a block of type I in  $d_{w,p}$  generated by some  $u = \sum_{i=1}^{\infty} b_i e_i$ . If  $b_i \downarrow 0$  and  $u \in \ell_p$  then  $(u_n)$  has a subsequence equivalent to  $(e_n)$*

*Proof.* By Corollary 4 of [3], we may assume that the basic sequence  $(u_n)$  is symmetric. It suffices to show that  $[u_n]$  is isomorphic to  $d_{w,p}$  because all symmetric bases in  $d_{w,p}$  are equivalent; see e.g., Theorem 4 of [3]. Without loss of generality,  $\|u\| = 1$ . Lemma 4 of [3] asserts that  $[u_n]$  is isomorphic to  $d_{w,p}$  iff  $(s_n^{(u)}) \sim (s_n)$ , where  $s_n = \sum_{i=1}^n w_i$ ,  $s_n^{(u)} = \sum_{i=1}^{\infty} b_i^p (s_{ni} - s_{n(i-1)})$ , and  $(\alpha_n) \sim (\beta_n)$  means that there exist positive constants  $A$  and  $B$  such that  $A\alpha_n \leq \beta_n \leq B\alpha_n$  for all  $n$ . Let's verify that this condition is, indeed, satisfied. On one hand, taking only the first term in the definition of  $s_n^{(u)}$ , we get  $s_n^{(u)} \geq b_1^p s_n$ . On the other hand, it follows from  $w_i \downarrow$  that  $s_{ni} - s_{n(i-1)} \leq s_n$  for every  $i$ , hence  $s_n^{(u)} \leq \sum_{i=1}^{\infty} b_i^p s_n = \|u\|_{\ell_p}^p s_n$ .  $\square$

**Lemma 4.10.** *Let  $(x_n)$  be a block sequence of  $(f_n)$  in  $\ell_p$  such that the sequences  $(x_n)$  and  $(jx_n)$  are seminormalized in  $\ell_p$  and  $d_{w,p}$ , respectively. Then there exists a subsequence  $(x_{n_k})$  such that  $(jx_{n_k}) \sim (e_n)$ .*

*Proof.* Clearly,  $(x_n) \sim (f_n)$ . It follows that  $(jx_n) \not\sim (f_n)$  because, otherwise,  $j$  would be an isomorphism on  $[x_n]$ , which is impossible because  $j$  is strictly singular by Theorem 4.3. Applying Theorem 4.8 to  $(jx_n)$  and passing to a subsequence, we may assume that  $(jx_n) \sim (u_n)$ , where  $(u_n)$  is a block of type I generated by some  $u = \sum_{i=1}^{\infty} b_i e_i$  such that  $b_i \downarrow 0$  and  $u \in \ell_p$ . Applying Lemma 4.9 and passing to a subsequence, we get  $(u_n) \sim (e_n)$ .  $\square$

**Theorem 4.11.** *If  $T \in J^j \setminus \mathcal{K}(d_{w,p})$  then  $J^j \subseteq J_T$ .*

*Proof.* Write  $T = AjB$  where  $B: d_{w,p} \rightarrow \ell_p$  and  $A: d_{w,p} \rightarrow d_{w,p}$ . Let  $(x_n)$  be as in Lemma 4.6. The sequence  $(Bx_n)$  is bounded, hence we may assume by passing to a subsequence that it converges coordinate-wise. Since  $(Tx_n)$  is weakly null and seminormalized, it has no convergent subsequences. It follows that, after passing to a subsequence of  $(x_n)$ , we may assume that  $(Tx_n)$  is seminormalized, where  $z_n = x_{2n} - x_{2n-1}$ . In particular,  $(z_n)$ ,  $(Bz_n)$ , and  $(jBz_n)$  are seminormalized. Also,  $(Bz_n)$  converges to zero coordinate-wise. Using Theorem 1.3 and passing to a further subsequence, we may assume that  $(Bz_n)$  is equivalent to a block sequence  $(u_n)$  of  $(f_n)$  and  $Bz_n - u_n \rightarrow 0$ . It follows from  $(f_n) \succeq (x_n)$  that  $(f_n) \succeq (z_n) \succeq (Bz_n) \sim (u_n) \sim (f_n)$ . In particular,  $(z_n) \sim (f_n)$ .

Since  $Bz_n - u_n \rightarrow 0$  and  $(jBz_n)$  is seminormalized, we may assume that the sequence  $(ju_n)$  is seminormalized. By Lemma 4.10, passing to a further subsequence, we may assume that  $(ju_n)$  and, hence,  $(jBz_n)$  are equivalent to  $(e_n)$ .

Passing to a subsequence and using Theorem 1.3, we may assume that  $(Tz_n)$  is equivalent to a block sequence  $(v_n)$  of  $(e_n)$  such that  $Tz_n - v_n \rightarrow 0$ . Since  $T \in \mathcal{SS}(d_{w,p})$ , no subsequence of  $(Tz_n)$  and, therefore, of  $(v_n)$  is equivalent to  $(f_n)$ . By Proposition 1.8,  $(v_n) \succeq (e_n)$ . It follows from  $(jBz_n) \sim (e_n)$  that  $(e_n) \succeq (Tz_n)$ , hence  $(Tz_n) \sim (e_n) \sim (v_n)$ .

Write  $v_n = \sum_{i=p_n+1}^{p_{n+1}} a_n e_n$ . By Remark 1.4,  $a_n \not\rightarrow 0$ . Hence, passing to a subsequence and using [10, Remark 9], we may assume that  $[v_n]$  is complemented. By Theorem 1.3, we may assume that  $[Tz_n]$  is complemented. Let  $P \in L(d_{w,p})$  be a projection onto  $[Tz_n]$  and  $U \in L(\ell_p, d_{w,p})$  and  $V \in L([Tz_n], d_{w,p})$  be defined by  $Uf_n = z_n$  and  $VTz_n = e_n$ . Then we can write  $j = VPTU$ . Therefore  $J^j \subseteq J_T$ .  $\square$

## 5. $d_{w,p}$ -STRICTLY SINGULAR OPERATORS

The ideals in  $L(d_{w,p})$  we have obtained so far can be arranged into the following diagram.

$$\{0\} \implies \mathcal{K} \subsetneq \overline{J^j} \longrightarrow \overline{J^{\ell_p}} \wedge \mathcal{SS} \begin{array}{c} \nearrow \mathcal{SS} \\ \dashrightarrow \overline{J^{\ell_p}} \end{array} \begin{array}{c} \implies \\ \implies \end{array} \overline{J^{\ell_p}} \vee \mathcal{SS} \longrightarrow L(d_{w,p})$$

(see the Introduction for the notations). In this section, we will characterize the greatest ideal in the algebra  $L(d_{w,p})$ , that is, a proper ideal in  $L(d_{w,p})$  that contains all other proper ideals in  $L(d_{w,p})$ .

If  $X$  and  $Y$  are two Banach spaces, then an operator  $T \in L(X)$  is called ***Y-strictly singular*** if for any subspace  $Z$  of  $X$  isomorphic to  $Y$ , the restriction  $T|_Z$  is not an isomorphism. The set of all  $Y$ -strictly singular operators in  $L(d_{w,p})$  will be denoted by  $\mathcal{SS}_Y$ .

According to this notation, the symbol  $\mathcal{SS}_{d_{w,p}}$  stands for the set of all  $d_{w,p}$ -strictly singular operators in  $L(d_{w,p})$  (not to be confused with  $\mathcal{SS}(d_{w,p})$ ).

**Lemma 5.1.** *Suppose that  $T \in \mathcal{SS}_{d_{w,p}}$  and  $(x_n)$  is a basic sequence in  $d_{w,p}$  equivalent to the unit vector basis  $(e_n)$ . Then  $Tx_n \rightarrow 0$ .*

*Proof.* Suppose, by way of contradiction, that  $Tx_n \not\rightarrow 0$ . Then there is a subsequence  $(x_{n_k})$  such that  $(Tx_{n_k})$  is seminormalized. Since  $(x_n)$  is weakly null (Remark 1.7), we



may assume by using Theorem 1.3 and passing to a further subsequence that  $(Tx_{n_k})$  is a basic sequence equivalent to a block sequence  $(z_k)$  of  $(e_n)$ .

By Proposition 1.8, either  $(z_k)$  has a subsequence equivalent to  $(f_n)$  or  $(z_k) \succeq (e_n)$ . Since  $(Tx_{n_k})$  cannot have subsequences equivalent to  $(f_n)$  (this would contradict boundedness of  $T$ ), the former is impossible. Therefore  $(z_k) \succeq (e_n)$ . We obtain the following diagram:

$$(e_n) \sim (x_{n_k}) \succeq (Tx_{n_k}) \sim (z_k) \succeq (e_n).$$

Therefore  $T|_{[x_{n_k}]}$  is an isomorphism. This contradicts  $T$  being in  $\mathcal{SS}_{d_{w,p}}$ .  $\square$

**Corollary 5.2.** *Let  $T \in \mathcal{SS}_{d_{w,p}}$ . If  $Y \subseteq d_{w,p}$  is a subspace isomorphic to  $d_{w,p}$  then there is a subspace  $Z \subseteq Y$  such that  $Z$  is isomorphic to  $d_{w,p}$  and  $T|_Z$  is compact.*

*Proof.* Let  $(x_n)$  be a basis of  $Y$  equivalent to  $(e_n)$ . By Lemma 5.1,  $Tx_n \rightarrow 0$ . There is a subsequence  $(x_{n_k})$  of  $(x_n)$  such that  $\sum_{k=1}^{\infty} \frac{\|Tx_{n_k}\|}{\|x_{n_k}\|}$  is convergent. Let  $Z = [x_{n_k}]$ . It follows that  $Z$  is isomorphic to  $d_{w,p}$  and  $T|_Z$  is compact (see, e.g., [8, Lemma 5.4.10]).  $\square$

**Theorem 5.3.** *The set  $\mathcal{SS}_{d_{w,p}}$  of all  $d_{w,p}$ -strictly singular operators in  $L(d_{w,p})$  is the greatest proper ideal in the algebra  $L(d_{w,p})$ . In particular,  $\mathcal{SS}_{d_{w,p}}$  is closed.*

*Proof.* First, let us show that  $\mathcal{SS}_{d_{w,p}}$  is an ideal. Let  $T \in \mathcal{SS}_{d_{w,p}}$ . If  $A \in L(d_{w,p})$  then, trivially,  $AT \in \mathcal{SS}_{d_{w,p}}$ . If  $TA \notin \mathcal{SS}_{d_{w,p}}$  then there exists a subspace  $Y$  of  $d_{w,p}$  such that  $Y$  and  $TA(Y)$  are both isomorphic to  $d_{w,p}$ . Then  $A|_Y$  is bounded below, hence  $A(Y)$  is isomorphic to  $d_{w,p}$ . It follows that  $T$  is an isomorphism on a copy of  $d_{w,p}$ , contrary to  $T \in \mathcal{SS}_{d_{w,p}}$ . So,  $\mathcal{SS}_{d_{w,p}}$  is closed under two-sided multiplication by bounded operators.

Let  $T, S \in \mathcal{SS}_{d_{w,p}}$ . We will show that  $T + S \in \mathcal{SS}_{d_{w,p}}$ . Let  $Y$  be a subspace of  $d_{w,p}$  isomorphic to  $d_{w,p}$ . By Corollary 5.2, there exists a subspace  $Z$  of  $Y$  such that  $Z$  is isomorphic to  $d_{w,p}$  and  $T|_Z$  is compact. Applying Corollary 5.2 again, we can find a subspace  $V$  of  $Z$  such that  $V$  is isomorphic to  $d_{w,p}$  and  $S|_V$  is compact. Therefore  $(T + S)|_V$  is compact, so that  $(T + S)|_Y$  is not an isomorphism. So,  $\mathcal{SS}_{d_{w,p}}$  is an ideal.

Clearly, the identity operator  $I$  does not belong to  $\mathcal{SS}_{d_{w,p}}$ , so  $\mathcal{SS}_{d_{w,p}}$  is proper. Let us show that  $\mathcal{SS}_{d_{w,p}}$  is the greatest ideal in  $L(d_{w,p})$ .

Let  $T \notin \mathcal{SS}_{d_{w,p}}$ . Then there exists a subspace  $Y$  of  $d_{w,p}$  such that  $Y$  and  $T(Y)$  are isomorphic to  $d_{w,p}$ . By [10, Corollary 12], there exists a complemented (in  $d_{w,p}$ ) subspace  $Z$  of  $T(Y)$  such that  $Z$  is isomorphic to  $d_{w,p}$ . Let  $P \in L(d_{w,p})$  be a projection onto  $Z$ . Put  $H = T^{-1}(Z)$ . It follows that  $H$  is isomorphic to  $d_{w,p}$ . Let  $U: d_{w,p} \rightarrow H$  and  $V: Z \rightarrow d_{w,p}$  be surjective isomorphisms. Then  $S \in L(d_{w,p})$  defined by  $S = (VP)TU$  is an invertible operator. Clearly  $S \in J_T$ , hence  $J_T = L(X)$ .

The fact that  $\mathcal{SS}_{d_{w,p}}$  is closed follows from [11, Corollary VII.2.4].  $\square$

The next theorem provides a convenient characterization of  $d_{w,p}$ -strictly singular operators.

**Lemma 5.4.** *Let  $T \in L(d_{w,p})$  be such that  $Te_n \rightarrow 0$ . Suppose that  $(x_n)$  is a bounded block sequence of  $(e_n)$  in  $d_{w,p}$  such that  $(x_n)$  is almost lengthwise bounded. Then  $Tx_n \rightarrow 0$ .*

*Proof.* Write  $x_n = \sum_{i=p_n+1}^{p_{n+1}} a_i e_i$ . Since  $(x_n)$  is bounded, there is  $C > 0$  such that  $|a_i| \leq C$  for all  $i$  and  $n \in \mathbb{N}$ . Let  $\varepsilon > 0$ . Find  $N \in \mathbb{N}$  such that  $\|x_n^*|_{[N,\infty)}\| < \varepsilon$  for all  $n \in \mathbb{N}$ . Let  $u_n$  be a restriction of  $x_n$  such that  $u_n^* = x_n^*|_{[1,N]}$  and  $v_n = x_n - u_n$ . It is clear that  $\|v_n\| = \|x_n^*|_{[N,\infty)}\| < \varepsilon$ . Also,  $\|Tu_n\| \leq NC \cdot \max_{p_n+1 \leq i \leq p_{n+1}} \|Te_i\|$ .

Pick  $M \in \mathbb{N}$  such that  $\|Te_k\| < \frac{\varepsilon}{N}$  for all  $k \geq M$ . Then

$$\|Tx_n\| \leq \|Tu_n\| + \|Tv_n\| \leq NC \frac{\varepsilon}{N} + \varepsilon \|T\| = \varepsilon(C + \|T\|)$$

for all  $n$  such that  $p_n > M$ . It follows that  $Tx_n \rightarrow 0$ .  $\square$

**Theorem 5.5.** *An operator  $T \in L(d_{w,p})$  is  $d_{w,p}$ -strictly singular if and only if  $Te_n \rightarrow 0$ .*

*Proof.* Suppose that  $Te_n \rightarrow 0$  but  $T \notin \mathcal{SS}_{d_{w,p}}$ . Then there exists a subspace  $Y$  of  $d_{w,p}$  such that  $Y$  is isomorphic to  $d_{w,p}$  and  $T|_Y$  is an isomorphism. Let  $(x_n)$  be a basis of  $Y$  equivalent to  $(e_n)$ . By Remark 1.7,  $x_n \xrightarrow{w} 0$ . Using Theorem 1.3 and passing to a subsequence, we may assume that  $(x_n)$  is equivalent to a block sequence  $(z_n)$  of  $(e_n)$  such that  $x_n - z_n \rightarrow 0$ . Since  $(z_n)$  is equivalent to  $(e_n)$ , it is almost lengthwise bounded by Theorem 4.8. By Lemma 5.4,  $Tz_n \rightarrow 0$ . Since  $x_n - z_n \rightarrow 0$ , we obtain  $Tx_n \rightarrow 0$ . This is a contradiction since  $(x_n)$  is seminormalized and  $T|_{[x_n]}$  is an isomorphism.

The converse implication follows from Lemma 5.1.  $\square$

**Remark 5.6.** In Theorem 5.3 we showed, in particular, that  $\mathcal{SS}_{d_{w,p}}$  is closed under addition. Alternatively, we could have deduced this from Theorem 5.5.

Recall that an operator  $T$  on a Banach space  $X$  is called **Dunford-Pettis** if for any sequence  $(x_n)$  in  $X$ ,  $x_n \xrightarrow{w} 0$  implies  $Tx_n \rightarrow 0$ . If  $1 < p < \infty$  then the class of Dunford-Pettis operators on  $d_{w,p}$  coincides with  $\mathcal{K}(d_{w,p})$  because  $d_{w,p}$  is reflexive. For the case  $p = 1$  we have the following result.

**Theorem 5.7.** *Let  $T \in L(d_{w,1})$ . Then  $T$  is  $d_{w,1}$ -strictly singular if and only if  $T$  is Dunford-Pettis.*

*Proof.* If  $T$  is Dunford-Pettis then  $T$  is  $d_{w,1}$ -strictly singular by Theorem 5.5 because  $(e_n)$  is weakly null.

Conversely, suppose that  $T$  is  $d_{w,1}$ -strictly singular. Let  $(x_n)$  be a weakly null sequence. Suppose that  $(Tx_n)$  does not converge to zero. Then, passing to a subsequence, we may assume that  $(x_n)$  is a seminormalized weakly null basic sequence equivalent to a block sequence  $(u_n)$  of  $(e_n)$  such that  $x_n - u_n \rightarrow 0$ . Clearly,  $(u_n)$  is weakly null. In particular,  $(u_n)$  has no subsequences equivalent to  $(f_n)$ . By Theorem 4.8,  $(u_n)$  is almost lengthwise bounded. Hence, by Lemma 5.4,  $Tu_n \rightarrow 0$ . It follows that  $Tx_n \rightarrow 0$ , contrary to the choice of  $(x_n)$ .  $\square$

### 6. STRICTLY SINGULAR OPERATORS BETWEEN $\ell_p$ AND $d_{w,p}$ .

We do not know whether the ideals  $\overline{J^j}$ ,  $\mathcal{SS} \wedge \overline{J^{\ell_p}}$ , and  $\mathcal{SS}$  are distinct. In this section, we discuss some connections between these ideals.

**Conjecture 6.1.**  $\overline{J^j} = \mathcal{SS} \wedge \overline{J^{\ell_p}}$ . *In particular, every strictly singular operator in  $L(d_{w,p})$  which factors through  $\ell_p$  can be approximated by operators that factor through  $j$ .*

The following statement is a refinement of Lemma 1.9. Recall that  $d_{w,p}$  is a Banach lattice with respect to the coordinate-wise order.

**Lemma 6.2.** *Suppose that  $(x_n)$  and  $(y_n)$  are seminormalized sequences in  $d_{w,p}$  such that  $|x_n| \geq |y_n|$  for all  $n \in \mathbb{N}$  and  $x_n \rightarrow 0$  coordinate-wise. Then there exists an increasing sequence  $(n_k)$  in  $\mathbb{N}$  such that  $(x_{n_k})$  and  $(y_{n_k})$  are basic and  $(x_{n_k}) \succeq (y_{n_k})$ .*

*Proof.* Clearly,  $y_n \rightarrow 0$  coordinate-wise. By Theorem 1.3, we can find a sequence  $(n_k)$  and two block sequences  $(u_k)$  and  $(v_k)$  of  $(e_n)$  such that  $(x_{n_k})$  and  $(y_{n_k})$  are basic,  $(x_{n_k}) \sim (u_k)$ ,  $(y_{n_k}) \sim (v_k)$ ,  $x_{n_k} - u_k \rightarrow 0$ ,  $y_{n_k} - v_k \rightarrow 0$ , and for each  $k \in \mathbb{N}$ , the vector  $u_k$  ( $v_k$ , respectively) is a restriction of  $(x_{n_k})$  (of  $(y_{n_k})$ , respectively).

For each  $k \in \mathbb{N}$ , define  $h_k \in d_{w,p}$  by putting its  $i$ -th coordinate to be equal to  $h_k(i) = \text{sign}(v_k(i)) \cdot (|u_k(i)| \wedge |v_k(i)|)$ . Then  $(h_k)$  is a block sequence of  $(e_n)$  such that  $|h_k| \leq |u_k|$ . A straightforward verification shows that  $|h_k - v_k| \leq |u_k - x_{n_k}|$ . It follows that  $h_k - v_k \rightarrow 0$ . By Theorem 1.2, passing to a subsequence, we may assume that  $(h_k)$  is basic and  $(h_k) \sim (v_k)$ . By Lemma 1.9,  $(u_k) \succeq (h_k)$ . Hence  $(x_{n_k}) \succeq (y_{n_k})$ .  $\square$

The next lemma is a version of Theorem 4.8 for the case  $(x_n)$  is an arbitrary bounded sequence.

**Lemma 6.3.** *If the bounded sequence  $(x_n)$  in  $d_{w,p}$  is not almost lengthwise bounded, then there is a subsequence  $(x_{n_k})$  such that  $(x_{n_{2k}} - x_{n_{2k-1}})$  is equivalent to the unit vector basis  $(f_n)$  of  $\ell_p$ .*

*Proof.* We can assume without loss of generality that no subsequence of  $(x_n)$  is equivalent to the unit vector basis of  $\ell_1$ . Indeed, if  $(x_{n_k})$  is equivalent to the unit vector basis of  $\ell_1$  then  $p = 1$ . It follows that  $(x_{n_k})$  is equivalent to  $(f_n)$  and hence  $(x_{n_{2k}} - x_{n_{2k-1}})$  is equivalent to  $(f_n)$ , as well.

Without loss of generality,  $\sup_n \|x_n\| = 1$ . Since  $(x_n)$  is not almost lengthwise bounded, there exists  $c > 0$  such that

$$(2) \quad \forall N \in \mathbb{N} \quad \exists n \in \mathbb{N} \quad \|x_n^*|_{[N,\infty)}\| > c.$$

Let  $\frac{c}{4} > \varepsilon_k \downarrow 0$ . We will inductively construct increasing sequences  $(n_k)$  and  $(N_k)$  in  $\mathbb{N}$  and a sequence  $(y_k)$  in  $d_{w,p}$  such that the following conditions are satisfied for each  $k$ :

- (i)  $\|x_{n_k}|_{[N_{k+1},\infty)}\| < \varepsilon_k$ ;
- (ii)  $y_k$  is supported on  $[N_k, N_{k+1})$ ;
- (iii)  $y_k$  is a restriction of  $x_{n_k}$ ;
- (iv)  $\|y_k\| > \frac{c}{2}$ ;
- (v)  $\|y_k\|_\infty \leq s_{N_k}^{-1/p}$  where  $s_N$  is as in Lemma 3.4.

For  $k = 1$ , we put  $N_1 = 1$ , and define  $n_1$  to be the first number  $n$  such that  $\|x_n\| > c$ ; such an  $n$  exists by (2). Pick  $N_2 \in \mathbb{N}$  such that  $\|x_{n_1}|_{[N_2,\infty)}\| < \varepsilon_1$ . Put  $y_1 = x_{n_1}|_{[N_1, N_2)}$ . It follows that  $1 \geq \|y_1\| > c - \varepsilon_1 > \frac{c}{2}$ , and the coordinates of  $y_1$  are all at most 1 ( $= s_1^{-1/p}$ ), hence all the conditions (i)–(v) are satisfied for  $k = 1$ .

Suppose that appropriate sequences  $(n_i)_{i=1}^k$ ,  $(N_i)_{i=1}^{k+1}$ , and  $(y_i)_{i=1}^k$  have been constructed. Use (2) to find  $n_{k+1}$  such that  $\|x_{n_{k+1}}^*|_{[2N_{k+1},\infty)}\| > c$ . Let  $z$  be the vector obtained from  $x_{n_{k+1}}$  by replacing its  $N_{k+1}$  largest (in absolute value) entries with zeros. Then  $\|z|_{[N_{k+1},\infty)}\| \geq \|z^*|_{[N_{k+1},\infty)}\| = \|x_{n_{k+1}}^*|_{[2N_{k+1},\infty)}\| > c$ . By Lemma 3.4,  $\|z\|_\infty \leq s_{N_{k+1}}^{-1/p}$ . Choose  $N_{k+2}$  such that  $\|x_{n_{k+1}}|_{[N_{k+2},\infty)}\| < \varepsilon_{k+1}$ . It follows that  $\|z|_{[N_{k+2},\infty)}\| < \varepsilon_{k+1}$ . Put  $y_{k+1} = z|_{[N_{k+1}, N_{k+2})}$ . Then  $\|y_{k+1}\| \geq c - \varepsilon_{k+1} > \frac{c}{2}$ , and the inductive construction is complete.

The sequence  $(y_k)$  constructed above is a seminormalized block sequence of  $(e_n)$  such that the coordinates of  $(y_k)$  converge to zero by condition (v). Using Remark 1.4 and passing to a subsequence, we may assume that  $(y_k)$  is equivalent to the unit vector basis  $(f_n)$  of  $\ell_p$ .

Since  $(x_n)$  contains no subsequences equivalent to the unit vector basis of  $\ell_1$ , using the Rosenthal's  $\ell_1$ -theorem and passing to a further subsequence, we may assume that

$(x_{n_k})$  is weakly Cauchy. For all  $m > k \in \mathbb{N}$ , we have:  $\|x_{n_k}|_{[N_m, \infty)}\| \leq \|x_{n_k}|_{[N_{k+1}, \infty)}\| \leq \varepsilon_k$ . Therefore  $\|x_{n_m} - x_{n_k}\| \geq \|(x_{n_m} - x_{n_k})|_{[N_m, \infty)}\| \geq \|x_{n_m}|_{[N_m, \infty)}\| - \varepsilon_k \geq \|y_m\| - \varepsilon_k \geq \frac{\varepsilon}{2} - \varepsilon_k > \frac{\varepsilon}{4}$ . It follows that the sequence  $(u_k)$  defined by  $u_k = x_{n_{2k}} - x_{n_{2k-1}}$  is seminormalized and weakly null. Passing to a subsequence of  $(x_{n_k})$ , we may assume that  $(u_k)$  is equivalent to a block sequence of  $(e_n)$ . By Proposition 1.8,  $(f_n) \succeq (u_k)$ .

Let  $v_k = x_{n_{2k}} - (x_{n_{2k-1}}|_{[1, N_{2k})})$ . Then  $\|u_k - v_k\| = \|x_{n_{2k-1}}|_{[N_{2k}, \infty)}\| < \varepsilon_{2k-1} \rightarrow 0$ . By Theorem 1.2, passing to a subsequence of  $(x_{n_k})$ , we may assume that  $(v_k)$  is basic and  $(v_k) \sim (u_k)$ . Also,  $(v_k)$  is weakly null. Note that  $|y_{2k}| \leq |v_k|$  for all  $k \in \mathbb{N}$ , since  $\text{supp } y_{2k} \subseteq [N_{2k}, N_{2k+1})$ , so that  $y_{2k}$  is a restriction of  $v_k$ . By Lemma 6.2, passing to a subsequence, we may assume that  $(v_k) \succeq (y_{2k})$ . Therefore we obtain the following diagram:

$$(f_k) \succeq (u_k) \sim (v_k) \succeq (y_{2k}) \sim (f_{2k}) \sim (f_n).$$

It follows that  $(u_k)$  is equivalent to  $(f_k)$ . □

**Corollary 6.4.** *If  $T \in \mathcal{SS}(\ell_p, d_{w,p})$  then the sequence  $(Tf_n)$  is almost lengthwise bounded.*

*Proof.* Suppose that  $(Tf_n)$  is not almost lengthwise bounded. By Lemma 6.3, there is a subsequence  $(f_{n_k})$  such that  $(Tf_{n_{2k}} - Tf_{n_{2k-1}})$  is equivalent to  $(f_n)$ . It follows that  $T|_{[f_{n_{2k}} - f_{n_{2k-1}}]}$  is an isomorphism. □

**Remark 6.5.** If we view  $T$  as an infinite matrix, the vectors  $(Tf_n)$  represent its columns.

**Theorem 6.6.** *If  $T \in L(\ell_1, d_{w,1})$  is such that the sequence  $(Tf_n)$  is almost lengthwise bounded, then for any  $\varepsilon > 0$  there exists  $S \in L(\ell_1)$  such that  $\|T - jS\| < \varepsilon$ , where  $j \in L(\ell_1, d_{w,1})$  is the formal identity operator.*

*Proof.* Let  $\varepsilon > 0$  be fixed. Find  $N \in \mathbb{N}$  such that  $\|(Tf_n)^*|_{[N, \infty)}\| < \varepsilon$  for all  $n$ . Let  $z_n \in d_{w,1}$  be the vector obtained from  $Tf_n$  by keeping its largest  $N$  coordinates and replacing the rest of the coordinates with zeros.

Define  $S: \ell_1 \rightarrow d_{w,1}$  by  $Sf_n = z_n$ . Note that  $\|T - S\| = \sup_n \|(T - S)f_n\| = \sup_n \|Tf_n - z_n\| \leq \varepsilon$ ; in particular,  $S$  is bounded. Let  $F = \text{span}\{e_1, \dots, e_N\}$ . Since  $\dim F < \infty$ , there exists  $C > 0$  such that

$$\frac{1}{C} \|x\|_{\ell_1} \leq \|x\|_{d_{w,1}} \leq C \|x\|_{\ell_1}$$

for all  $x \in F$ . Observe that for each  $n \in \mathbb{N}$ , the non-increasing rearrangement  $(Sf_n)^*$  is in  $F$ . Therefore, for all  $n \in \mathbb{N}$ , we have

$$\|Sf_n\|_{\ell_1} = \|(Sf_n)^*\|_{\ell_1} \leq C \|(Sf_n)^*\|_{d_{w,1}} = C \|Sf_n\|_{d_{w,1}} \leq C \|S\|.$$

It follows that the operator  $\tilde{S}: \ell_1 \rightarrow \ell_1$  defined by  $\tilde{S}f_n = Sf_n$  belongs to  $L(\ell_1)$ . Obviously,  $S = j\tilde{S}$ . So,  $\|T - j\tilde{S}\| < \varepsilon$ .  $\square$

The next corollary follows immediately from Theorem 6.6 and Corollary 6.4. This corollary can be considered as a support for Conjecture 6.1.

**Corollary 6.7.**  $\mathcal{SS}(\ell_1, d_{w,1})$  is contained in the closure of  $\{jS : S \in L(\ell_1, d_{w,1})\}$ .

**Question.** Does Corollary 6.7 remain valid for  $p > 1$ ?

The following fact is standard, we include its proof for convenience of the reader.

**Proposition 6.8.** If  $X$  is a Banach space then  $\mathcal{SS}(X, \ell_1) = \mathcal{K}(X, \ell_1)$ .

*Proof.* Let  $T \notin \mathcal{K}(X, \ell_1)$ . Pick a bounded sequence  $(x_n)$  in  $X$  such that  $(Tx_n)$  has no convergent subsequences. By Schur's theorem,  $(Tx_n)$  and, therefore,  $(x_n)$  have no weakly Cauchy subsequences. Applying Rosenthal's  $\ell_1$ -theorem twice, we find a subsequence  $(x_{n_k})$  such that  $(x_{n_k})$  and  $(Tx_{n_k})$  are both equivalent to the unit vector basis of  $\ell_1$ . It follows that  $T$  is not strictly singular.  $\square$

**Proposition 6.9.** For all  $p \in [1, \infty)$ ,  $\mathcal{SS}(d_{w,p}, \ell_p) = \mathcal{K}(d_{w,p}, \ell_p)$ .

*Proof.* By Proposition 6.8, we only have to consider the case  $p > 1$ . Let  $T \notin \mathcal{K}(X, \ell_p)$ . Pick a bounded sequence  $(x_n)$  in  $X$  such that  $(Tx_n)$  has no convergent subsequences. Since  $d_{w,p}$  contains no copies of  $\ell_1$ , by Rosenthal's  $\ell_1$ -theorem we may assume that  $(x_n)$  is weakly Cauchy. Passing to a further subsequence, we may assume that the sequence  $(Ty_n)$ , where  $y_n = x_{2n} - x_{2n-1}$ , is seminormalized. It follows that  $(y_n)$  is also seminormalized. Also,  $(y_n)$  and, therefore,  $(Ty_n)$  are weakly null. Passing to a subsequence of  $(x_n)$ , we may assume that  $(y_n)$  and  $(Ty_n)$  are both basic, equivalent to block sequences of  $(e_n)$  and  $(f_n)$ , respectively. By [3, Proposition 5] and [17, Proposition 2.a.1],  $(f_n) \succeq (y_n)$  and  $(f_n) \sim (Ty_n)$ . So, we obtain the diagram

$$(f_n) \succeq (y_n) \succeq (Ty_n) \sim (f_n).$$

Hence  $[y_n]$  is isomorphic to  $[Ty_n]$ , so that  $T$  is not strictly singular.  $\square$

The following lemma is standard.

**Lemma 6.10.** Let  $X$  be a Banach space. Every seminormalized basic sequence in  $X$  is dominated by the unit vector basis of  $\ell_1$ .

**Lemma 6.11.** *Let  $(x_n)$  and  $(y_n)$  be two sequences in a Banach space  $X$  such that  $(x_n)$  is equivalent to the unit vector basis of  $\ell_1$  and  $(y_n)$  is convergent. Then the sequence  $(z_n)$  defined by  $z_n = x_n + y_n$  has a subsequence equivalent to the unit vector basis of  $\ell_1$ .*

*Proof.* Observe that  $(z_n)$  cannot have weakly Cauchy subsequences since  $(x_n)$  does not have such subsequences. Since  $(z_n)$  is bounded, the result follows from Rosenthal's  $\ell_1$ -theorem.  $\square$

Recall that an operator  $A$  between two Banach lattices  $X$  and  $Y$  is called **positive** if  $x \geq 0$  entails  $Tx \geq 0$ .

Conjecture 6.1 asserts, in particular, that if  $T \in \mathcal{SS}(d_{w,p})$  and  $T = AB$  for some  $A: d_{w,p} \rightarrow \ell_p$  and  $B: \ell_p \rightarrow d_{w,p}$  then  $T \in \overline{\mathcal{J}^j}$ . In the next theorem, we prove this under the additional assumptions that  $p = 1$  and both  $A$  and  $B$  are positive.

**Theorem 6.12.** *Let  $T \in \mathcal{SS}(d_{w,1})$  be such that  $T = AB$ , where  $A \in L(\ell_1, d_{w,1})$ ,  $B \in L(d_{w,1}, \ell_1)$ , and both  $A$  and  $B$  are positive. Then  $T \in \overline{\mathcal{J}^j}$ .*

*Proof.* Define a sequence  $(A_N)$  of operators in  $L(\ell_1, d_{w,1})$  by the following procedure. For each  $n \in \mathbb{N}$ , let  $A_N f_n$  be obtained from  $A f_n$  by keeping the largest  $N$  coordinates and replacing the rest of the coordinates with zeros. Since  $A f_n \geq 0$  for all  $n \in \mathbb{N}$ , this defines a positive operator  $\ell_1 \rightarrow d_{w,1}$ . Also,  $\|A_N f_n\| \leq \|A f_n\| \leq \|A\|$  for all  $n \in \mathbb{N}$ , hence  $\|A_N\| \leq \|A\|$ .

Define  $A'_N = A - A_N$ . It is clear that  $0 \leq A'_N f_n \leq A f_n$  for all  $n \in \mathbb{N}$ , hence  $A'_N \geq 0$  and  $\|A'_N\| \leq \|A\|$ . We claim that  $A'_N \rightarrow 0$  in the strong operator topology (SOT). Indeed, since  $A'_N f_n$  is obtained from  $A f_n$  by removing the largest  $N$  coordinates, the elements of the matrix of  $A'_N$  are all smaller than  $\frac{\|A\|}{s_N}$  by Lemma 3.4. In particular, if  $0 \leq x \in \ell_1$ , then  $A'_N x \downarrow 0$ ; it follows that  $\|A'_N x\| \rightarrow 0$  because  $d_{w,1}$  has order continuous norm (see Remark 1.5). If  $x \in \ell_1$  is arbitrary then  $\|A'_N x\| \leq \|A'_N |x|\| \rightarrow 0$ .

We will show that  $\|A'_N B\| \rightarrow 0$  as  $N \rightarrow \infty$ , so that  $\|AB - A_N B\| \rightarrow 0$  as  $N \rightarrow \infty$ . Since  $(A_N f_n)_{n=1}^\infty$  is almost lengthwise bounded (in fact, the vectors in the sequence  $(A_N f_n)_{n=1}^\infty$  all have at most  $N$  nonzero entries), the theorem will follow from Theorem 6.6.

Assume, by way of contradiction, that there are  $c > 0$  and a sequence  $(N_k)$  in  $\mathbb{N}$  such that  $\|A'_{N_k} B\| > c$ . Then there exists a normalized positive sequence  $(x_k)$  in  $d_{w,p}$  such that  $\|A'_{N_k} B x_k\| > c$ . By Rosenthal's  $\ell_1$ -theorem, we may assume that  $(x_k)$  is either weakly Cauchy or equivalent to  $(f_n)$ .

Assume that  $(x_k)$  is weakly Cauchy. Then  $(B x_k)$  is weakly Cauchy. Since  $(B x_k)$  is a sequence in  $\ell_1$ , it must converge to some  $z \in \ell_1$  by the Schur property. Then

$\|A'_{N_k} Bx_k - A'_{N_k} z\| \leq \|A'_{N_k}\| \cdot \|Bx_k - z\| \leq \|A\| \cdot \|Bx_k - z\| \rightarrow 0$ . Since  $A'_{N_k} \rightarrow 0$  in SOT, it follows that  $A'_{N_k} Bx_k \rightarrow 0$ , contrary to the assumption. Therefore  $(x_k)$  must be equivalent to  $(f_n)$ .

Since the entries of the matrix of  $A'_N$  are all less than  $\frac{\|A\|}{s_N}$ , the coordinates of the vector  $A'_{N_k} Bx_k$  are all less than  $\frac{\|A\|}{s_{N_k}} \|B\| \rightarrow 0$ . Hence, passing to a subsequence, we may assume that  $(A'_{N_k} Bx_k)$  is equivalent to a block sequence  $(u_k)$  of  $(e_n)$  such that each  $u_k$  is a restriction of  $A'_{N_k} Bx_k$ . In particular, the coordinates of  $(u_k)$  converge to zero. Passing to a further subsequence, we may assume by Remark 1.4 that  $(A'_{N_k} Bx_k) \sim (f_n)$ .

The sequence  $(Tx_k)$  cannot have subsequences equivalent to  $(f_n)$  since  $T$  is strictly singular. Therefore, by Rosenthal's  $\ell_1$ -theorem, we may assume that  $(Tx_k)$  is weakly Cauchy. Since  $d_{w,1}$  is weakly sequentially complete (Remark 1.5), the sequence  $(Tx_k)$  weakly converges to a vector  $y \in d_{w,1}$ . Since the positive cone in a Banach lattice is weakly closed,  $y \geq 0$ .

Note that  $Tx_k \geq A'_{N_k} Bx_k \geq u_k \geq 0$  for every  $k$ . Since  $(u_k)$  is a seminormalized block sequence of  $(e_n)$ , it follows that  $(Tx_k)$  is not norm convergent. Write  $Tx_k = y + h_k$ ; then  $(h_k)$  converges to zero weakly but not in norm. Therefore, passing to a subsequence, we may assume that  $(h_k)$  is seminormalized and basic (but not, necessarily, positive).

Let  $r_k = A'_{N_k} Bx_k - (A'_{N_k} Bx_k \wedge y) \geq 0$ ,  $k \in \mathbb{N}$ . Observe that  $A'_{N_k} Bx_k \wedge y \in [0, y]$  for all  $k$ . Since  $d_{w,1}$  has order continuous norm and the order in  $d_{w,1}$  is defined by a 1-unconditional basis, order intervals in  $d_{w,1}$  are compact (see, e.g., [24, Theorem 6.1]). Therefore, passing to a subsequence of  $(x_{n_k})$ , we may assume that  $(A'_{N_k} Bx_k \wedge y)$  is convergent, hence, passing to a further subsequence,  $(r_k)$  is equivalent to  $(f_n)$  by Lemma 6.11 and Theorem 1.2.

It follows from  $y + h_k \geq A'_{N_k} Bx_k \geq 0$  that  $|h_k| \geq r_k$  for all  $k$ . Passing to a subsequence, we may assume by Lemma 6.2 that  $(h_k) \succeq (r_k) \sim (f_n)$ . By Lemma 6.10, in fact  $(h_k) \sim (f_n)$ , and, hence, by Lemma 6.11,  $(ABx_k) \sim (f_n)$ . Since also  $(x_k) \sim (f_n)$ , this contradicts to  $T = AB \in \mathcal{SS}(d_{w,1})$ .  $\square$

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