

# ON THE GERSTEN CONJECTURE FOR HERMITIAN WITT GROUPS

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ABSTRACT. We prove that the hermitian Gersten-Witt complex is exact for Azumaya algebras with involution of the first- or second kind over a regular local ring, which is essentially smooth over a field, or over a discrete valuation ring.

## 1. INTRODCUTION

Let  $R$  be a regular integral domain of finite Krull dimension with fraction field  $K$  of characteristic not two, and  $(A, \tau)$  an Azumaya algebra with involution of the first- or second kind over  $R$ . In [10, 11, 12] the first named author has constructed a complex, the so called  $\epsilon$ -hermitian Gersten-Witt complex of  $(A, \tau)$ ,  $\epsilon \in \{\pm 1\}$ :

$$0 \longrightarrow W_\epsilon(A, \tau) \longrightarrow W_\epsilon(K \otimes_R (A, \tau)) \longrightarrow \bigoplus_{\text{ht } q=1} W_\epsilon(k(q) \otimes_R (A, \tau)) \longrightarrow \dots$$

$$\dots \longrightarrow \bigoplus_{\text{ht } q=\dim R} W_\epsilon(k(q) \otimes_R (A, \tau)) \longrightarrow 0,$$

where  $k(q)$  denotes the residue field of  $q \in \text{Spec } R$ , and  $W_\epsilon(k(q) \otimes_R (A, \tau))$ ,  $\epsilon \in \{\pm 1\}$ , denotes the  $\epsilon$ -hermitian Witt group of the central simple  $k(q)$ -algebra  $k(q) \otimes_R A$  with involution  $\text{id}_{k(q)} \otimes \tau$ . This construction is the natural generalization of the one of Balmer and Walter [4] for Witt groups of symmetric forms.

The Gersten conjecture claims that if  $R$  is a regular local ring then this complex is exact. In the symmetric case, *i.e.*  $(A, \tau) = (R, \text{id}_R)$ , this conjecture has been verified in many instances, *e.g.* for regular local rings of dimension  $\leq 4$  by Balmer and Walter [4], or for regular local rings  $R$  which contain a field (of characteristic not 2), by Balmer, Walter, and the authors [3]. In the hermitian case the first named author has given a proof if  $R$  is regular local and essentially smooth over a field, and  $(A, \tau)$  is extended from the base field in [11, 12]. These papers claim the conjecture also in the non constant case, *i.e.* if  $(A, \tau)$  is not coming from the base field, but the proof is flawed, see our Remark 9.7 (we fix this gap here). Recently Bayer-Fluckiger, First, and Parimala [5] have verified the conjecture if  $\dim R \leq 2$ , and if  $\dim R \leq 4$  and  $A$  is of odd index.

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In this article we prove the conjecture for regular local rings, which are essential smooth over a discrete valuation ring.

**Theorem.** *Let  $R$  be a integral domain, which is smooth over a discrete valuation ring, or over a field,  $\tilde{R}$  a localization of  $R$  at a prime ideal, and  $(\tilde{A}, \tilde{\tau})$  an Azumaya algebra with involution of the first- or second kind over  $\tilde{R}$ . Then the Gersten conjecture holds for  $(\tilde{A}, \tilde{\tau})$ .*

Note that this theorem is new even in the symmetric case, i.e.  $(\tilde{A}, \tilde{\tau}) = (\tilde{R}, \text{id}_{\tilde{R}})$ . Using Popescu's desingularization theorem [18, 19] our result implies the conjecture also for Azumaya algebras with involution over a regular local ring, which either contains a field, or which is geometrically regular over a discrete valuation ring.

We give now a short sketch of the proof of the main theorem, which is in its essence an adaption of Quillen's [20] proof of the Gersten conjecture in  $K$ -theory to hermitian Witt groups.

By assumption we have  $\tilde{R} = R_P$  for some prime ideal  $P$  of  $R$ , and replacing  $R$  by a localization we can assume that  $(\tilde{A}, \tilde{\tau}) = \tilde{R} \otimes_R (A, \tau)$  for some Azumaya algebra with involution  $(A, \tau)$  over  $R$ . Denote by  $D_c^b(\mathcal{M}_{qc}(\tilde{A}))$  the bounded derived category of complexes of  $\tilde{A}$ -modules with finitely generated homology modules, and by  $D_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p)}$ ,  $p \geq 0$  an integer, the full subcategory consisting of complexes  $M_\bullet$  with  $\text{codim}_{\text{Spec } R} \text{supp } M_\bullet \geq p$ . A finite injective resolution of  $\tilde{R}$  considered as an element in the bounded derived category  $D_c^b(\mathcal{M}_{qc}(\tilde{R}))$  is a dualizing complex and so induces a duality on  $D_c^b(\mathcal{M}_{qc}(\tilde{A}))$  as well as on  $D_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p)}$  giving these categories the structure of triangulated categories with duality in the sense of Balmer [1].

By construction the Gersten conjecture is equivalent to the assertion that the natural functor  $D_c^b(\mathcal{M}_{qc}(A))^{(p+1)} \rightarrow D_c^b(\mathcal{M}_{qc}(A))^{(p)}$  induces the zero map on the associated triangular Witt groups for all  $p \geq 0$ . In Section 8 we show that this follows in turn from the following result (see Lemma 8.3 for a precise formulation including in particular the involved dualizing complexes):

*Let  $t \in R$  be a non zero divisor, such that  $R' := R/Rt$  is flat over the base ring,  $\pi : R \rightarrow R'$  the quotient map, and  $\gamma : R \rightarrow \tilde{R} = R_P$  the localization morphism. Then*

$$\gamma^* \text{tr}_\pi(x) = 0 \quad \text{in } W^i(D_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p)})$$

*for all  $x \in W^i(D_c^b(\mathcal{M}_{qc}(R' \otimes_R A))^{(p)})$ .*

Here  $\text{tr}_\pi$  stands for the transfer map along  $\pi$  and  $W^i$  for the  $i$ th triangular Witt group.

As this is merely an outline of the idea of proof we do not mention for simplicity here and in the following the involved dualities, see Section 9 for this.

The main geometric ingredient in the proof of above claim is the normalization lemma of Quillen [20], respectively its generalization by Gillet and Levine [14] in case the base ring is a discrete valuation ring. This result coupled with Zariski's

main theorem provides us with a commutative diagram

$$\begin{array}{ccccc}
 & & & & R'_P \\
 & & & & \uparrow \gamma' \\
 & & C' & \xleftarrow{u} & R' \\
 & \nearrow \alpha' & & & \uparrow \pi \\
 D & & & & R \\
 & \searrow \delta & & & \leftarrow \gamma \\
 & & \tilde{R} & & 
 \end{array}$$

where  $u$  is essentially smooth (and so  $C'$  is Gorenstein),  $\gamma'$  is the localization morphism,  $s$  a regular immersion of codimension one,  $\delta$  finite, the by  $\alpha'$  induced morphism  $\text{Spec } C' \rightarrow \text{Spec } D$  an open immersion, and  $s \circ \alpha'$  is surjective.

Set  $(A', \tau') := R' \otimes (A, \tau)$ . In general the Azumaya algebras with involution  $u^*(A', \tau')$  and  $(\alpha' \circ \delta)^*(\tilde{A}, \tilde{\tau})$  are not isomorphic. In particular, there are two transfer maps along  $s : C' \rightarrow R'_P$ :

$$\text{tr}_s^1 : W^i(\mathbb{D}_c^b(\mathcal{M}_{qc}(\tilde{A}/\tilde{A}t))^{(p)}) \rightarrow W^i(\mathbb{D}_c^b(\mathcal{M}_{qc}(u^*(A)))^{(p)})$$

and

$$\text{tr}_s^2 : W^i(\mathbb{D}_c^b(\mathcal{M}_{qc}(\tilde{A}/\tilde{A}t))^{(p)}) \rightarrow W^i(\mathbb{D}_c^b(\mathcal{M}_{qc}((\alpha' \circ \delta)^*(\tilde{A})))^{(p)}).$$

Now by the zero theorem for the transfer [12, Thm. 6.3] we have  $\text{tr}_s^1(\gamma'^*(x)) = 0$ , and using an excision lemma we show that

$$\gamma^*(\text{tr}_\pi(x)) = \text{tr}_\delta \left[ (\alpha'^*)^{-1}(\text{tr}_s^2(\gamma'^*(x))) \right].$$

Hence if  $u^*(A', \tau') \simeq (\alpha' \circ \delta)^*(\tilde{A}, \tilde{\tau})$ , which is for instance the case if  $(\tilde{A}, \tilde{\tau})$  is extended from the base ring, this concludes the proof. However – as already mentioned – these algebras with involutions are in general not isomorphic. In this case we remedy this obstruction using a construction of Ojanguren and the second named author [17]: There exists a smooth morphism of relative dimension zero  $\kappa : C' \rightarrow \tilde{C}$ , such that

$$\kappa^*(u^*(A', \tau')) \simeq \kappa^*((\alpha' \circ \delta)^*(\tilde{A}, \tilde{\tau})),$$

and satisfying another technical property, which is crucial since it implies that above morphism  $s : C' \rightarrow R'_P$  factors via  $\kappa$  and a regular immersion  $\beta' : \tilde{C} \rightarrow R'_P$ .

This is done in the last Section 9 of the paper. The content of the rest of the article is as follows. In Sections 2 and 3 we recall the basic definitions of triangulated and derived (hermitian) Witt theory. Section 4 fixes some notations and recalls dualizing complexes. The following Section 5 is a jog through coherent hermitian Witt theory of algebras with involutions over (commutative) rings with dualizing complexes.

In Section 6 we prove the above mentioned excision lemma for the transfer (for simplicity only in the special situation we use it), and in Section 7 we recall the construction of the hermitian Gersten-Witt complex as a well as the formulation of the Gersten conjecture and two of its consequences.

## 2. REVIEW OF WITT THEORY OF CATEGORIES WITH DUALITY

**2.1. Exact categories with duality.** Throughout this work we assume that the Hom-groups of additive categories are uniquely 2-divisible. In particular we assume that schemes have  $1/2$  in their global sections.

An *exact category with duality* is a triple  $(\mathcal{E}, \vee, \varpi)$ , where  $\vee : \mathcal{E} \rightarrow \mathcal{E}$  is a contravariant exact functor and  $\varpi$  a natural isomorphism  $\text{id}_{\mathcal{E}} \xrightarrow{\sim} \vee \circ \vee$  satisfying  $\varpi_M^\vee = \varpi_{M^\vee}^{-1}$  for all  $M \in \mathcal{E}$ .

A  $\epsilon$ -*symmetric space*,  $\epsilon \in \{\pm 1\}$ , is a pair  $(M, \varphi)$ , where  $\varphi : M \rightarrow M^\vee$  is an isomorphism in  $\mathcal{E}$ , such that  $\varphi^\vee \circ \varpi_M = \epsilon \cdot \varphi$ . Two  $\epsilon$ -symmetric spaces  $(M_1, \varphi_1)$  and  $(M_2, \varphi_2)$  are called *isometric* if there exists an isomorphism  $\theta : M_1 \xrightarrow{\sim} M_2$ , such that  $\varphi_1 = \theta^\vee \circ \varphi_2 \circ \theta$ . The associated *Witt group of  $\epsilon$ -symmetric spaces* will be denoted  $W_\epsilon(\mathcal{E}, \vee)$ . This is the Grothendieck group of the isometry classes of  $\epsilon$ -symmetric spaces with the orthogonal sum as addition modulo the so called *metabolic spaces*.

**2.2. Triangulated categories with duality.** We refer to the works [1, 2] of Balmer for details and more information.

A *triangulated category with  $\delta$ -exact duality*,  $\delta \in \{\pm 1\}$ , is a triple  $(\mathcal{T}, \vee, \varpi)$  consisting of a triangulated category  $\mathcal{T}$ , a  $\delta$ -exact duality  $\vee$ , and an isomorphism  $\varpi$  to the bidual satisfying the same axioms as the one for exact categories with duality. If the isomorphism to the bidual  $\varpi$  is clear from the context we also say that the pair  $(\mathcal{T}, \vee)$ , is a triangulated category with duality.

Denote by  $T$  the translation functor of  $\mathcal{T}$ . Then  $T^i \circ \vee$  is a  $(-1)^i \delta$ -exact duality, and  $(\mathcal{T}, T^i \circ \vee, (-1)^{\frac{i(i+1)}{2}} \varpi)$  is a triangulated category with duality. A  *$i$ -symmetric space* is a symmetric space in  $(\mathcal{T}, T^i \circ \vee, (-1)^{\frac{i(i+1)}{2}} \varpi)$ . Isometry and the orthogonal sum of spaces are defined as for exact categories with duality. The  *$i$ th triangular Witt group* of  $(\mathcal{T}, \vee, \varpi)$ , denoted  $W^i(\mathcal{T}, \vee, \varpi)$ ,  $i \in \mathbb{Z}$ , or  $W^i(\mathcal{T}, \vee)$ , respectively, is the Grothendieck-Witt group of the isometry classes of  $i$ -symmetric spaces with orthogonal sum as addition modulo the so called *neutral spaces*. These groups are 4-periodic:  $W^i(\mathcal{T}, \vee) \simeq W^{i+4}(\mathcal{T}, \vee)$ .

A *duality preserving functor* from  $\mathcal{T}$  to another triangulated category with  $\delta_1$ -exact duality  $(\mathcal{T}_1, \vee_1, \varpi_1)$  is a pair  $(F, \eta)$ , where  $F : \mathcal{T} \rightarrow \mathcal{T}_1$  is an exact functor and  $\eta$  is a natural isomorphism  $F \circ \vee \xrightarrow{\sim} \vee_1 \circ F$  satisfying

$$\eta_{M^\vee} \circ F(\varpi_M) = (\eta_M)^{\vee_1} \circ \varpi_{1FM}$$

and the equation  $T_1^{-1}(\eta_M) = (\delta_1 \delta) \cdot \eta_{TM}$ , where  $T_1$  denotes the translation functor in  $\mathcal{T}_1$ . The duality preserving functor  $(F, \eta)$  induces a homomorphism of triangular Witt groups, see [8, Thm. 2.7]: If  $(M, \varphi)$  is a  $i$ -symmetric space in  $\mathcal{T}$  then

$$(F, \eta)_*(M, \varphi) := (F(M), (\delta \delta_1)^i \cdot T_1^i(\eta_M) \circ \varphi)$$

is a  $i$ -symmetric space in  $\mathcal{T}_1$ , which is neutral in  $(\mathcal{T}_1, \vee_1, \varpi_1)$  if  $(M, \varphi)$  is neutral in the triangulated category with duality  $(\mathcal{T}, \vee, \varpi)$ .

Duality preserving functors can be composed, see [9, Sect. 1]. Let  $(G, \theta) : (\mathcal{T}_1, \vee_1, \varpi_1) \rightarrow (\mathcal{T}_2, \vee_2, \varpi_2)$  be another duality preserving functor. The *composition* of  $(F, \eta)$  and  $(G, \theta)$  is defined as follows:

$$(G, \theta) \circ (F, \eta) := (G \circ F, \theta_F \circ G(\eta)) : (\mathcal{T}, \vee, \varpi) \rightarrow (\mathcal{T}_2, \vee_2, \varpi_2). \quad (1)$$

We have then

$$[(G, \theta) \circ (F, \eta)]_*(M, \varphi) \simeq (G, \theta)_*((F, \eta)_*(M, \varphi))$$

for all  $i$ -symmetric spaces  $(M, \varphi)$  in  $(\mathcal{T}, \vee, \varpi)$  and all  $i \in \mathbb{Z}$ .

Another important definition is the following: Two duality preserving functors

$$(F, \eta), (G, \theta) : (\mathcal{T}, \vee, \varpi) \longrightarrow (\mathcal{T}_1, \vee_1, \varpi_1)$$

are called *isometric* if there exists an isomorphism of functors  $s : F \xrightarrow{\sim} G$ , called *isometry*, which commutes with the respective translation functors and satisfies

$$(s_M)^{\vee_1} \circ \theta_M \circ s_{M^\vee} = \eta_M$$

for all  $M \in \mathcal{T}$ . Then  $s_M$  is an isometry  $(F, \eta)_*(M, \varphi) \simeq (G, \theta)_*(M, \varphi)$ .

**2.3. Derived Witt groups.** The main example of a triangulated category with duality is the following. Let  $(\mathcal{E}, \vee, \varpi)$  be an exact category with duality. The derived functor of  $\vee$ , which we denote (by some abuse of notation) also by  $\vee$ , is a duality on the bounded derived category  $D^b(\mathcal{E})$ , giving this triangulated category the structure of a triangulated category with duality. (The isomorphism to the bidual is given in degree  $i$  by  $\varpi_{M_i} : M_i \longrightarrow M_i^{\vee\vee}$  for all  $M_\bullet \in D^b(\mathcal{E})$ .) The associated triangular Witt groups are denoted  $W^i(\mathcal{E}, \vee)$ ,  $i \in \mathbb{Z}$ , and called the *derived Witt groups* of  $(\mathcal{E}, \vee, \varpi)$ .

As usual in derived and coherent Witt theory we work with homological complexes.

Note that by the main result of Balmer [2] we have an isomorphism

$$W_\epsilon(\mathcal{E}, \vee) \xrightarrow{\cong} W^{1-\epsilon}(\mathcal{E}, \vee)$$

for all  $\epsilon \in \{\pm 1\}$ .

### 3. AZUMAYA ALGEBRAS WITH INVOLUTIONS AND DERIVED WITT GROUPS

**3.1. Notations and conventions.** Let  $X$  be a noetherian scheme. We denote the structure sheaf of  $X$  by  $\mathcal{O}_X$ , the local ring at  $x \in X$  by  $\mathcal{O}_{X,x}$ , the maximal ideal of  $\mathcal{O}_{X,x}$  by  $\mathfrak{m}_x$ , and set  $k(x) := \mathcal{O}_{X,x}/\mathfrak{m}_x$ .

Given an  $\mathcal{O}_X$ -algebra  $\mathcal{A}$  we use the following notations for categories of  $\mathcal{A}$ -modules, by which we mean – if not otherwise said – left  $\mathcal{A}$ -modules:  $\mathcal{M}_{qc}(\mathcal{A})$  the category of quasi-coherent  $\mathcal{A}$ -modules, and  $\mathcal{M}_c(\mathcal{A})$  the category of coherent  $\mathcal{A}$ -modules. We use also affine notations: If  $X = \text{Spec } R$  we denote by  $A$  the global sections of  $\mathcal{A}$  and write  $\mathcal{M}_c(A)$  and  $\mathcal{M}_{qc}(A)$  instead of  $\mathcal{M}_c(\mathcal{A})$  and  $\mathcal{M}_{qc}(\mathcal{A})$ , respectively.

**3.2. Azumaya algebras with involutions.** By an *involution* of an  $\mathcal{O}_X$ -algebra  $\mathcal{A}$  we understand a  $\mathcal{O}_X$ -linear homomorphism  $\tau : \mathcal{A} \longrightarrow \mathcal{A}$  satisfying (i)  $\tau \circ \tau = \text{id}_{\mathcal{A}}$ , and (ii)  $\tau_U(a \cdot b) = \tau_U(b) \cdot \tau_U(a)$  for all  $a, b \in \mathcal{A}(U)$  and all open  $U \subseteq X$ .

Given an involution  $\tau$  on an  $\mathcal{O}_X$ -algebra  $\mathcal{A}$  we can turn a right  $\mathcal{A}$ -module  $\mathcal{F}$  into a left one as follows:  $a \cdot x := x \cdot \tau_U(a)$  for all  $a \in \mathcal{A}(U)$  and  $x \in \mathcal{F}(U)$ ,  $U \subseteq X$  open. We denoted this left  $\mathcal{A}$ -module by  $\overline{\mathcal{F}}$ , or  $\overline{\mathcal{F}}^\tau$ , if we have to specify the involution. Analogous we can turn a left  $\mathcal{A}$ -module into a right one.

Let  $R$  be a commutative ring and  $(A, \tau)$  an  $R$ -algebra with involution. We say that the pair  $(A, \tau)$  is an *Azumaya algebra with involution* over  $R$  if  $A$  is a separable  $R$ -algebra, which is finitely generated and projective as  $R$ -module, and the centre  $Z(A)$  of  $A$  is either  $R$ , in which case  $\tau$  is called of the *first kind*, or  $Z(A)$  is a quadratic étale extension of  $R$  and  $R$  is the fix ring of  $\tau$ , in which case  $\tau$  is said to be of the *second kind*.

Given a scheme  $X$  and an  $\mathcal{O}_X$ -algebra  $\mathcal{A}$  with involution  $\tau$  we say that the pair  $(\mathcal{A}, \tau)$  is an *Azumaya algebra with involution of the first- or second kind over  $X$*  if it is locally an Azumaya algebra with involution of this kind.

**3.3. Derived hermitian Witt groups.** Let  $(\mathcal{A}, \tau)$  be an Azumaya algebra with involution (of first- or second kind) over the scheme  $X$ , and  $\mathcal{P}(\mathcal{A})$  the full subcategory of  $\mathcal{M}_c(\mathcal{A})$  consisting of coherent  $\mathcal{A}$ -modules, which are locally free as  $\mathcal{O}_X$ -modules. The contravariant functor

$$\mathfrak{D}^{\mathcal{A}, \tau} : \mathcal{F} \longmapsto \overline{\mathcal{H}om_{\mathcal{A}}(\mathcal{F}, \mathcal{A})} = \overline{\mathcal{H}om_{\mathcal{A}}(\mathcal{F}, \mathcal{A})}^{\tau}$$

is a duality on  $\mathcal{P}(\mathcal{A})$  making this an exact category with duality. Associated with this data we have the 'classical' (skew-)hermitian Witt groups  $W_{\epsilon}(\mathcal{A}, \tau)$ ,  $\epsilon = \pm 1$ , and the derived Witt groups, denoted  $W^i(\mathcal{A}, \tau)$ ,  $i \in \mathbb{Z}$ , called the *derived hermitian Witt groups* of  $(\mathcal{A}, \tau)$ .

Let  $f : Y \rightarrow X$  be a morphism of schemes. The natural isomorphism of left  $f^*\mathcal{A}$ -modules  $f^*\overline{\mathcal{H}om_{\mathcal{A}}(\mathcal{F}, \mathcal{A})} \xrightarrow{\cong} \overline{\mathcal{H}om_{f^*\mathcal{A}}(f^*\mathcal{F}, f^*\mathcal{A})}$  makes the pull-back functor  $f^* : D^b(\mathcal{P}(\mathcal{A})) \rightarrow D^b(\mathcal{P}(f^*\mathcal{A}))$  duality preserving and therefore we have a homomorphism  $f^* : W^i(\mathcal{A}, \tau) \rightarrow W^i(f^*(\mathcal{A}, \tau))$  for all  $i \in \mathbb{Z}$ .

There are also Witt groups with support. Recall first that the support  $\text{supp } \mathcal{F}_{\bullet}$  of a complex  $\mathcal{F}_{\bullet}$  of  $\mathcal{O}_X$ -modules is the set of all  $x \in X$  with  $H_i(\mathcal{F}_{\bullet, x}) \neq 0$  for at least one  $i \in \mathbb{Z}$ . Here  $H_i(\mathcal{F}_{\bullet, x})$  denotes the  $i$ th homology group of the at  $x \in X$  localized complex  $\mathcal{F}_{\bullet, x}$ .

Let  $D_Z^b(\mathcal{P}(\mathcal{A}))$  be the full triangulated subcategory of  $D^b(\mathcal{P}(\mathcal{A}))$  consisting of complexes  $\mathcal{F}_{\bullet}$  with support in the closed subscheme  $Z \subseteq X$ . The restriction of  $\mathfrak{D}^{\mathcal{A}, \tau}$  to this category makes  $D_Z^b(\mathcal{P}(\mathcal{A}))$  a triangulated category with duality. Its associated triangular Witt groups are the so called *derived hermitian Witt groups with support* in  $Z$ , denoted  $W_Z^i(\mathcal{A}, \tau)$ ,  $i \in \mathbb{Z}$ .

As usual if  $X = \text{Spec } R$  and  $Z$  is defined by an ideal  $\mathfrak{a}$  we set  $A := \Gamma(X, \mathcal{A})$  and use affine notations  $D_{\mathfrak{a}}^b(\mathcal{P}(A))$  instead of  $D_Z^b(\mathcal{P}(\mathcal{A}))$ , and  $W_{\mathfrak{a}}^i(A, \tau)$  instead of  $W_Z^i(\mathcal{A}, \tau)$ .

## 4. DERIVED CATEGORIES AND DUALIZING COMPLEXES

**4.1. Some (derived) categories of modules.** Let  $R$  be a commutative noetherian ring,  $\mathfrak{a} \subset R$  an ideal, and  $A$  an  $R$ -algebra. We denote by  $\mathcal{M}_{qc, \mathfrak{a}}(A)$  the category of  $A$ -modules with support in the closed subscheme  $\text{Spec}(R/\mathfrak{a}) \subseteq \text{Spec } R$ , and set  $\mathcal{M}_{c, \mathfrak{a}}(A) = \mathcal{M}_c(A) \cap \mathcal{M}_{qc, \mathfrak{a}}(A)$ .

We denote further by  $D_c^b(\mathcal{M}_{qc}(A))$  the full subcategory of the bounded derived category  $D^b(\mathcal{M}_{qc}(A))$  consisting of complexes with coherent homology, and by  $D_{c, \mathfrak{a}}^b(\mathcal{M}_{qc}(A))$  the full subcategory of  $D_c^b(\mathcal{M}_{qc}(A))$  consisting of complexes with support in  $\text{Spec}(R/\mathfrak{a}) \subseteq \text{Spec } R$ .

For  $p \in \mathbb{Z}$  we denote by  $D_c^b(\mathcal{M}_{qc}(A))^{(p)}$  the full subcategory of  $D_c^b(\mathcal{M}_{qc}(A))$  consisting of complexes  $M_\bullet$  with  $\text{codim}_{\text{Spec } R} \text{supp } M_\bullet \geq p$ , and set  $D_{c,\mathfrak{a}}^b(\mathcal{M}_{qc}(A))^{(p)} := D_{c,\mathfrak{a}}^b(\mathcal{M}_{qc}(A)) \cap D_c^b(\mathcal{M}_{qc}(A))^{(p)}$ .

We have the following well known equivalence of derived categories, which follows from a result due to Grothendieck, which is proven in Verdier's thesis [23, pp 169–170], see [12, Sect. 4.2] for details.

**4.2. Lemma.** *Let  $R$  be a commutative noetherian ring with ideal  $\mathfrak{a}$  and  $A$  a coherent  $R$ -algebra. Then the natural functor  $D^b(\mathcal{M}_{c,\mathfrak{a}}(A)) \rightarrow D_{c,\mathfrak{a}}^b(\mathcal{M}_{qc}(A))$  is an equivalence.*

**4.3. Sign conventions.** Let  $R$  and  $A$  be as above, and  $I_\bullet \in D_c^b(\mathcal{M}_{qc}(R))$  a bounded complex of injective  $R$ -modules.

If  $M$  is a left  $A$ -module and  $N$  an arbitrary  $R$ -module then  $\text{Hom}_R(M, N)$  becomes a right  $A$ -module by setting  $(f \cdot a)(m) := f(am)$  for  $f \in \text{Hom}_R(M, N)$ ,  $a \in A$ , and  $m \in M$ . Analogous if  $M$  is a right  $A$ -module then  $\text{Hom}_R(M, N)$  has a left  $A$ -module structure:  $(a \cdot f)(m) := f(ma)$ .

For  $M_\bullet \in D_c^b(\mathcal{M}_{qc}(R))$  the complex  $\text{Hom}_R(M_\bullet, I_\bullet)$  is given in degree  $l$  by

$$\text{Hom}_R(M_\bullet, I_\bullet)_l = \bigoplus_{r \in \mathbb{Z}} \text{Hom}_R(M_{-l-r}, I_{-r}),$$

and the  $r$ -component of the differential  $\text{Hom}_R(M_\bullet, I_\bullet)_l \rightarrow \text{Hom}_R(M_\bullet, I_\bullet)_{l-1}$  maps  $g \in \text{Hom}_R(M_{-l-r}, I_{-r})$  onto  $g \circ d_{-l-r+1}^M + (-1)^{l+1} d_{-r}^I \circ g$ , where  $d_\bullet^M$  and  $d_\bullet^I$  denote the differentials of  $M_\bullet$  and  $I_\bullet$ , respectively.

The natural homomorphism  $\varpi_M^I : M_\bullet \rightarrow \text{Hom}_R(\text{Hom}_R(M_\bullet, I_\bullet), I_\bullet)$  is defined as follows: The  $(r, s)$ -component of

$$(\varpi_M^I)_l : M_l \rightarrow \bigoplus_{r,s \in \mathbb{Z}} \text{Hom}_R(\text{Hom}_R(M_{l+s-r}, I_{-r}), I_{-s})$$

is 0 if  $r \neq s$ , and otherwise equal  $(-1)^{\frac{s(s+1)}{2}}$  times the evaluation map  $M_l \rightarrow \text{Hom}_R(\text{Hom}_R(M_l, I_{-s}), I_{-s})$ . Note that the evaluation map is (left-)  $A$ -linear if  $M_\bullet$  is a complex of (left-)  $A$ -modules.

**4.4. Definition.** The complex  $I_\bullet$  is called a *dualizing complex* of  $R$  if  $\varpi_M^I$  is an isomorphism in  $D_c^b(\mathcal{M}_{qc}(R))$  for all  $M_\bullet \in D_c^b(\mathcal{M}_{qc}(R))$ .

#### 4.5. Examples.

- (i) Let  $R$  be a Gorenstein ring of finite Krull dimension. Then a finite injective resolution  $I_0 \rightarrow I_{-1} \rightarrow \dots \rightarrow I_{-\dim R}$  of an invertible  $R$ -module considered as an element of  $D_c^b(\mathcal{M}_{qc}(R))$  with  $I_l$  in degree  $l$  is a dualizing complex of  $R$ .
- (ii) Let  $\alpha : R \rightarrow S$  be a finite morphism of noetherian rings and assume  $R$  has a dualizing complex  $I_\bullet$ . Then

$$\alpha^\sharp(I_\bullet) := \text{Hom}_R(S, I_\bullet) \in D_c^b(\mathcal{M}_{qc}(S))$$

is a dualizing complex of  $S$ . This can be seen as follows. The morphism of complexes  $\text{Hom}_R(S, I_\bullet) \rightarrow I_\bullet$  given in degree  $l$  by  $h \mapsto (-1)^l h(1)$ , induces a natural isomorphism in  $D_c^b(\mathcal{M}_{qc}(R))$

$$\vartheta_M : \text{Hom}_S(M_\bullet, \text{Hom}_R(S, I_\bullet)) \xrightarrow{\cong} \text{Hom}_R(M_\bullet, I_\bullet),$$

which implies that  $\varpi_M^{\alpha^{\natural}(I)} : M_{\bullet} \rightarrow \mathrm{Hom}_S(\mathrm{Hom}_S(M_{\bullet}, \alpha^{\natural}(I_{\bullet})), \alpha^{\natural}(I_{\bullet}))$  is an isomorphism in  $\mathrm{D}_c^b(\mathcal{M}_{qc}(S))$  for all  $M_{\bullet} \in \mathrm{D}_c^b(\mathcal{M}_{qc}(S))$ .

In case  $I_{\bullet} : I_0 \rightarrow I_{-1} \rightarrow \dots$  is a finite injective resolution of the  $R$ -module  $R$ ,  $t \in R$  a non unit and non zero divisor, and  $\alpha : R \rightarrow S := R/Rt$  the quotient morphism then  $\alpha^{\natural}(I_{\bullet})$  is an injective resolution of  $R/Rt$  living in degrees  $-1, \dots, 1 - \dim R$ . This can be seen using the long exact  $\mathrm{Ext}_R(-, R)$ -sequence associated with the short exact sequence  $0 \rightarrow R \xrightarrow{t} R \rightarrow R/Rt \rightarrow 0$ . (In this case  $R$  and  $S = R/Rt$  are necessarily Gorenstein rings of finite Krull dimension.)

**4.6. The codimension function of a dualizing complex.** Let  $I_{\bullet}$  be a dualizing complex of the ring  $R$ . Then given  $P \in \mathrm{Spec} R$  there exists precisely one integer  $l = l(P)$ , such that  $\mathrm{H}_d(\mathrm{Hom}_{R_P}(k(P), I_{\bullet, P})) = 0$  for all  $d \neq l$  and  $\mathrm{H}_l(\mathrm{Hom}_{R_P}(k(P), I_{\bullet, P})) \simeq k(P)$ , where  $k(P)$  is the residue field of  $P$ , see [16, Chap. V, Prop. 3.4]. This integer will be denoted  $-\mu_I(P)$  (for the minus sign, note that we use homological complexes). We get a function

$$\mu_I : \mathrm{Spec} R \rightarrow \mathbb{Z}, P \mapsto \mu_I(P),$$

the *codimension function* of the dualizing complex  $I_{\bullet}$ . For instance, if  $R$  is a Gorenstein ring of finite Krull dimension and  $I_{\bullet} : I_0 \rightarrow I_{-1} \rightarrow \dots$  is an injective resolution of a rank one projective  $R$ -module with  $I_0$  in degree 0 then  $\mu_I(P) = \dim R_P$  for all  $P \in \mathrm{Spec} R$ , see e.g. [6, Sect. 3.3].

**4.7. Lemma.** *Let  $R$  be a Gorenstein domain of finite Krull dimension and  $I_{\bullet} : I_0 \rightarrow I_{-1} \rightarrow \dots \rightarrow I_{-\dim R}$  a injective resolution of an invertible  $R$ -module  $L$  considered as an element of  $\mathrm{D}_c^b(\mathcal{M}_{qc}(R))$  with  $I_0$  in degree 0. If  $\alpha : R \rightarrow S$  is a finite morphism of rings with  $\dim S = \dim R$  then  $\mu_{\alpha^{\natural}(I)}(Q) = \dim S_Q$  for all  $Q \in \mathrm{Spec} S$ .*

*Proof.* Since  $R$  and  $S$  have the same Krull dimension, and since  $\dim R/J < \dim R$  for all non zero ideals  $J$  of  $R$  the morphism  $\alpha$  is injective. Let  $Q$  be a prime ideal of  $S$  and  $P = \alpha^{-1}(Q)$ . Replacing  $R$  by  $R_P$  we can assume that  $R$  is a local ring with maximal ideal  $P$ , and so  $Q$  is a maximal ideal of  $S$  as well.

We have then  $\dim S_Q = \dim R = \mu_I(P)$ , and  $S/Q \simeq (R/P)^{\oplus m}$  for some integer  $m \geq 1$ . As seen above, see Example 4.5 (ii), we have quasi-isomorphisms of complexes of  $R$ -modules

$$\mathrm{Hom}_S(S/Q, \alpha^{\natural}(I_{\bullet})) \simeq \mathrm{Hom}_R(S/Q, I_{\bullet}) \simeq \mathrm{Hom}_R(R/P, I_{\bullet})^{\oplus m}.$$

Since  $\mathrm{H}_l(\mathrm{Hom}_R(R/P, I_{\bullet})) \neq 0$  if and only if  $l = -\dim R$  it follows that  $\mu_{\alpha^{\natural}(I)}(Q) = \dim R = \dim S_Q$ . We are done.  $\square$

## 5. COHERENT HERMITIAN WITT GROUPS

**5.1. Definition of coherent hermitian Witt groups.** We refer to [10, 12] for details and more information.

Let  $R$  be a commutative ring with dualizing complex  $I_{\bullet} \in \mathrm{D}_c^b(\mathcal{M}_{qc}(R))$ , and  $(A, \tau)$  a coherent  $R$ -algebra with involution  $\tau$ . The derived functor

$$\mathfrak{D}_I^{A, \tau} : M_{\bullet} \mapsto \overline{\mathrm{Hom}_R(M_{\bullet}, I_{\bullet})}^{\tau} = \overline{\mathrm{Hom}_R(M_{\bullet}, I_{\bullet})}^{\tau}$$



is a duality on  $D_c^b(\mathcal{M}_{qc}(A))$  making this a triangulated category with duality. The isomorphism to the bidual is given by  $\varpi^I$ , which is a quasi-isomorphism of complexes of  $A$ -modules.

The associated triangular Witt groups are denoted  $\tilde{W}^i(A, \tau, I_\bullet)$ ,  $i \in \mathbb{Z}$ , and called *coherent hermitian Witt groups* of  $(A, \tau)$ . There are also Witt groups with support. If  $\mathfrak{a} \subseteq R$  is an ideal then  $D_{c, \mathfrak{a}}^b(\mathcal{M}_{qc}(A))$  is also a triangulated category with duality  $\mathfrak{D}_I^{A, \tau}$ . The associated triangular Witt groups  $\tilde{W}_{\mathfrak{a}}^i(A, \tau, I_\bullet)$ ,  $i \in \mathbb{Z}$ , are called *coherent hermitian Witt groups of  $(A, \tau)$  with support in the ideal  $\mathfrak{a}$* .

**5.2. Derived and coherent Witt groups.** Assume now that  $(A, \tau)$  is an Azumaya algebra with involution of first- or second kind, and that  $R$  is a regular ring of finite Krull dimension. Then a (finite) injective resolution  $I_\bullet : I_0 \rightarrow I_{-1} \rightarrow \dots \rightarrow I_{-\dim R}$  of  $R$  considered as an element of  $D_c^b(\mathcal{M}_{qc}(R))$  with  $I_{-i}$  in degree  $-i$  is a dualizing complex of  $R$ . Under these assumptions the natural functor  $D^b(\mathcal{P}(A)) \rightarrow D_c^b(\mathcal{M}_{qc}(A))$  is an equivalence, which becomes duality preserving via

$$\mathrm{Hom}_A(-, A) \xrightarrow{\cong} \mathrm{Hom}_R(-, R) \xrightarrow{\cong} \mathrm{Hom}_R(-, I_\bullet),$$

where the isomorphism of functors on the left hand side is induced by the reduced trace composed with the standard trace of a quadratic étale extension if  $\tau$  is of the second kind, and the other one by a quasi-isomorphism  $R \xrightarrow{\cong} I_\bullet$ . We refer to [11, App.] for proofs and details.

In particular, we have then isomorphisms  $W^i(A, \tau) \xrightarrow{\cong} \tilde{W}^i(A, \tau, I_\bullet)$  for all  $i \in \mathbb{Z}$ , and analogous for the Witt groups with support.

**5.3. The transfer map.** Let  $\alpha : R \rightarrow S$  be a finite homomorphism of commutative noetherian rings, and  $I_\bullet$  a dualizing complex of  $R$ . Let further  $(A, \tau)$  be a coherent  $R$ -algebra with involutions and  $(B, \nu)$  a coherent  $S$ -algebra with involution. Assume that there exists a  $R$ -algebra homomorphism  $\xi : A \rightarrow B$ , which is compatible with the involutions, i.e. we have  $\xi \circ \tau = \nu \circ \xi$ . We indicate this situation by writing

$$(\alpha, \xi) : (R, (A, \tau)) \rightarrow (S, (B, \nu)). \quad (2)$$

Then  $\alpha^\natural(I_\bullet) := \mathrm{Hom}_R(S, I_\bullet)$  is a dualizing complex of  $S$  and the morphism of functors  $\eta$  introduced in Example 4.5 (ii) induces a natural quasi-isomorphism of complexes of  $R$ -modules:

$$\vartheta_M^\xi : \alpha_*(\mathfrak{D}_{\alpha^\natural(I)}^{B, \nu}(M_\bullet)) = \overline{\mathrm{Hom}_S(M_\bullet, \alpha^\natural(I_\bullet))} \rightarrow \overline{\mathrm{Hom}_R(M_\bullet, I_\bullet)} = \mathfrak{D}_I^{A, \tau}(\alpha_*(M_\bullet)).$$

Since  $\xi : A \rightarrow B$  is  $R$ -linear and compatible with the involutions, the quasi-isomorphism of complexes  $\vartheta_M^\xi$  is a morphism of complexes of  $A$ -modules, i.e. an isomorphism in  $D_c^b(\mathcal{M}_{qc}(A))$  for all  $M_\bullet \in D_c^b(\mathcal{M}_{qc}(B))$ .

A straightforward verification shows that  $\vartheta^\xi$  is a duality transformation for the push-forward  $\alpha_* : D_c^b(\mathcal{M}_{qc}(B)) \rightarrow D_c^b(\mathcal{M}_{qc}(A))$ . Therefore given a  $i$ -symmetric space  $(M_\bullet, \varphi)$  in  $(D_c^b(\mathcal{M}_{qc}(B)), \mathfrak{D}_{\alpha^\natural(I)}^{B, \nu})$  then

$$\mathrm{tr}_{(\alpha, \xi)}(M_\bullet, \varphi) := (\alpha_*, \vartheta^\xi)_*(M_\bullet, \varphi)$$

is a  $i$ -symmetric space in  $(D_c^b(\mathcal{M}_{qc}(A)), \mathfrak{D}_I^{A, \tau})$ .

Let now  $(\beta, \xi_1) : (S, (B, \nu)) \longrightarrow (S_1, (B_1, \nu_1))$  be another morphism, where  $\beta$  is a finite morphism and  $(B_1, \nu_1)$  is a coherent  $S_1$ -algebra with involution. Then we define

$$(\beta, \xi_1) \circ (\alpha, \xi) := (\beta \circ \alpha, \xi_1 \circ \xi) : (R, (A, \tau)) \longrightarrow (S_1, (B_1, \nu_1)).$$

Identifying  $(\beta \circ \alpha)^\natural(I_\bullet) = \alpha^\natural(\beta^\natural(I_\bullet))$  the identity  $(\beta \circ \alpha)_* = \alpha_* \circ \beta_*$  is an isometry of duality preserving functors

$$((\beta \circ \alpha)_*, \vartheta^{\xi_1 \circ \xi}) \xrightarrow{\simeq} (\alpha_*, \vartheta^\xi) \circ (\beta_*, \vartheta^{\xi_1}),$$

and so we have an isometry

$$\mathrm{tr}_{(\beta \circ \alpha, \xi_1 \circ \xi)}(M_\bullet, \varphi) \simeq \mathrm{tr}_{(\alpha, \xi)}(\mathrm{tr}_{(\beta, \xi_1)}(M_\bullet, \varphi)) \quad (3)$$

in  $(D_c^b(\mathcal{M}_{qc}(A)), \mathfrak{D}_I^{A, \tau})$  for all  $i$ -symmetric spaces  $(M_\bullet, \varphi)$  in the triangulated category with duality  $(D_c^b(\mathcal{M}_{qc}(B_1)), \mathfrak{D}_{(\beta \circ \alpha)^\natural(I)}^{B_1, \nu_1})$ .

**Example.** Let as above  $\alpha : R \longrightarrow S$  be a finite homomorphism of noetherian rings, where  $R$  has a dualizing complex  $I_\bullet$ , and  $(A, \tau)$  a coherent  $R$ -algebra with involution. Then  $(B, \nu) := S \otimes_R (A, \tau)$  is a coherent  $S$ -algebra with involution and we have a natural morphism of  $R$ -algebras

$$\xi : A \longrightarrow B, a \longmapsto 1 \otimes a,$$

which is compatible with the involutions. We get a duality preserving functor  $(\alpha_*, \vartheta^\xi)$  and a transfer map  $\mathrm{tr}_{(\alpha, \xi)}$ , which we denote also  $\mathrm{tr}_\alpha$  only as there is a canonical choice for the duality transformation.

**5.4. Dévissage.** Let  $R$  be a Gorenstein ring of finite Krull dimension,  $I_\bullet : I_0 \longrightarrow I_{-1} \longrightarrow \dots \in D_c^b(\mathcal{M}_{qc}(R))$  a finite injective resolution of the  $R$ -module  $R$  living in the indicated degrees and  $(A, \tau)$  a coherent  $R$ -algebra with involution. Let further  $t \in R$  be a non unit and non zero divisor, and  $\pi : R \longrightarrow R/Rt$  the quotient morphism. We set  $(A', \tau') := R/Rt \otimes_R (A, \tau)$ .

Then it is shown in [10, Sect. 5] that mapping an  $i$ -symmetric space  $(M_\bullet, \varphi)$  in  $(D_c^b(\mathcal{M}_{qc}(A'))^{(p-1)}, \mathfrak{D}_{\pi^\natural I}^{A', \tau'})$  onto  $\mathrm{tr}_\pi(M_\bullet, \varphi)$  induces an isomorphism

$$W^i(D_c^b(\mathcal{M}_{qc}(A'))^{(p)}, \mathfrak{D}_{\pi^\natural I}^{A', \tau'}) \xrightarrow{\simeq} W^i(D_c^b(\mathcal{M}_{qc}(A))^{(p+1)}, \mathfrak{D}_I^{A, \tau})$$

for all  $i \in \mathbb{Z}$  and integers  $p \geq 0$ . (Note here that in [10] the filtrations on the bounded derived categories are defined using the codimension functions associated with the respective dualizing complexes. But we have  $\mu_{\pi^\natural(I_\bullet)}(P/Rt) = \mathrm{ht} P$  for all prime ideals  $P \supseteq Rt$ , see Example 4.5 (ii).)

**5.5. Pull-backs.** Let  $\alpha : R \longrightarrow S$  be a flat morphism of commutative noetherian rings with dualizing complexes  $I_\bullet \in D_c^b(\mathcal{M}_{qc}(R))$  and  $J_\bullet \in D_c^b(\mathcal{M}_{qc}(S))$ , respectively, and  $(A, \tau)$  be a coherent  $R$ -algebra with involution. We set  $(B, \nu) := S \otimes_R (A, \tau)$ .

Assume that there exists a quasi-isomorphism of complexes of  $S$ -modules

$$\rho : S \otimes_R I_\bullet \longrightarrow J_\bullet.$$

This quasi-isomorphism induces a natural (in  $M_\bullet$ ) quasi-isomorphism  $c_\rho M$ :

$$\begin{aligned} S \otimes_R \mathfrak{D}_I^{A, \tau}(M_\bullet) &= S \otimes_R \overline{\mathrm{Hom}_R(M_\bullet, I_\bullet)} \xrightarrow{\simeq} \overline{\mathrm{Hom}_S(S \otimes_R M_\bullet, S \otimes_R I_\bullet)} \\ &\xrightarrow{\overline{\mathrm{Hom}_S(S \otimes_R M_\bullet, \rho)}} \overline{\mathrm{Hom}_S(S \otimes_R M_\bullet, J_\bullet)} = \mathfrak{D}_J^{B, \nu}(S \otimes_R M_\bullet) \end{aligned}$$

for all  $M_\bullet \in \mathcal{D}_c^b(\mathcal{M}_{qc}(A))$ , which is a duality transformation for the pull-back  $\alpha^*$ . We get a duality preserving functor

$$(\alpha^*, c_\rho) : (\mathcal{D}_c^b(\mathcal{M}_{qc}(A)), \mathfrak{D}_I^{A,\tau}) \longrightarrow (\mathcal{D}_c^b(\mathcal{M}_{qc}(B)), \mathfrak{D}_J^{B,\nu}).$$

**Example.** Let  $R$  be a commutative noetherian ring,  $I_\bullet$  a dualizing complex of  $R$ ,  $(A, \tau)$  a coherent  $R$ -algebra with involution, and  $\alpha : R \rightarrow S$  an open immersion, i.e. the induced morphism of affine schemes  $\text{Spec } S \rightarrow \text{Spec } R$  is an open immersion, or a localization at some multiplicative closed subset of  $R$ .

Then  $\alpha^*(I_\bullet) = S \otimes_R I_\bullet$  is a dualizing complex of  $S$ , and we have a canonical pull-back

$$(\alpha^*, c_{\text{id}_{S \otimes I}}) : (\mathcal{D}_c^b(\mathcal{M}_{qc}(A)), \mathfrak{D}_I^{A,\tau}) \longrightarrow (\mathcal{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A)), \mathfrak{D}_{S \otimes I}^{S \otimes (A,\tau)}),$$

which we denote by  $\alpha^*$  only.

The proof of the following result, which generalizes [12, Lem. 3.5], is straightforward.

**5.6. Lemma.** *Let  $\alpha : R \rightarrow S$  be a flat morphism of commutative noetherian rings with dualizing complexes  $I_\bullet \in \mathcal{D}_c^b(\mathcal{M}_{qc}(R))$  and  $J_\bullet \in \mathcal{D}_c^b(\mathcal{M}_{qc}(S))$ , respectively,  $\pi_R : R \rightarrow \bar{R}$  a finite morphism, and*

$$\begin{array}{ccc} \bar{R} & \xrightarrow{\bar{\alpha}} & \bar{S} \\ \pi_R \uparrow & & \uparrow \pi_S \\ R & \xrightarrow{\alpha} & S \end{array},$$

the corresponding cartesian square, i.e.  $\bar{S} = \bar{R} \otimes_R S$ . Let further  $(A, \tau)$  be an Azumaya algebra with involution over  $R$ .

If there exists a quasi-isomorphism of complexes of  $S$ -modules  $\rho : S \otimes_R I_\bullet \rightarrow J_\bullet$  then

(i) the morphism of complexes of  $\bar{S}$ -modules  $\bar{\rho}$ :

$$\begin{aligned} \bar{S} \otimes_{\bar{R}} \pi_R^\sharp(I_\bullet) &= S \otimes_R \bar{R} \otimes_{\bar{R}} \text{Hom}_R(\bar{R}, I_\bullet) \xrightarrow{\cong} \text{Hom}_S(S \otimes_R \bar{R}, S \otimes_R I_\bullet) \\ &\xrightarrow{\text{Hom}_S(\bar{S}, \rho)} \text{Hom}_S(\bar{S}, J_\bullet) = \pi_S^\sharp(J_\bullet) \end{aligned}$$

is a quasi-isomorphism, and

(ii) the natural isomorphism of functors  $\alpha^* \circ \pi_{R*} \xrightarrow{\cong} \pi_{S*} \circ \bar{\alpha}^*$  is an isometry of duality preserving functors

$$(\alpha^*, c_\rho) \circ (\pi_{R*}, \vartheta^{\xi_R}) \xrightarrow{\cong} (\pi_{S*}, \vartheta^{\xi_S}) \circ (\bar{\alpha}^*, c_{\bar{\rho}}),$$

where  $\xi_R : A \rightarrow \bar{R} \otimes_R A$  and  $\xi_S : S \otimes_R A \rightarrow \bar{S} \otimes_R A$  are the natural morphisms of  $R$ - respectively  $S$ -algebras.

**5.7. The zero theorem.** Let

$$\begin{array}{ccc} & & \tilde{R} \\ & \nearrow \beta & \uparrow \gamma \\ S & \xleftarrow{u} & R \end{array}$$

be a commutative diagram of Gorenstein rings of finite Krull dimension, where  $u$  is flat,  $\gamma$  a localization morphism, i.e.  $\tilde{R} = U^{-1}R$  for some multiplicative closed

subset  $U$  of  $R$ , and  $\beta$  is a surjective morphism with kernel generated by a non zero divisor  $y \in S$ . Let further  $(A, \tau)$  be an Azumaya algebra with involution of the first- or second kind over  $R$ ,  $(B, \nu) := u^*(A, \tau)$ , and  $R \rightarrow I_0 \rightarrow I_{-1} \rightarrow \dots$  and  $S \rightarrow J_0 \rightarrow J_{-1} \rightarrow \dots$  finite injective resolutions of  $R$  and  $S$ , respectively, living in the indicated degrees in  $D_c^b(\mathcal{M}_{qc}(R))$  and  $D_c^b(\mathcal{M}_{qc}(S))$ , respectively.

With this notation we have the (so called) zero theorem:

**Theorem** ([12, Thm. 6.3]). *Given a  $i$ -symmetric space  $(M_\bullet, \varphi)$  in the triangulated category with duality  $(D_c^b(\mathcal{M}_{qc}(A))^{(p)}, \mathfrak{D}_{T^{-1}I}^{A, \tau})$ ,  $p \geq 0$ , then the transfer  $\mathrm{tr}_\beta(\gamma^*(M_\bullet, \varphi))$  is neutral in  $(D_c^b(\mathcal{M}_{qc}(B))^{(p)}, \mathfrak{D}_J^{B, \nu})$ .*

## 6. A TECHNICAL LEMMA

**6.1.** Let  $R$  be a commutative noetherian ring with dualizing complex  $I_\bullet$  and  $U \subset R$  a multiplicative closed subset. We denote  $\alpha : R \rightarrow U^{-1}R$  the localization morphism. Let further  $\beta : U^{-1}R \rightarrow S$  be a morphism, such that  $\beta \circ \alpha : R \rightarrow S$  is onto. Set  $\mathfrak{J} := \mathrm{Ker}(\beta \circ \alpha)$ . Then we have an isomorphism  $R/\mathfrak{J} \xrightarrow{\simeq} U^{-1}(R/\mathfrak{J}) \simeq U^{-1}R/U^{-1}\mathfrak{J} \simeq S$ .

Let further  $A$  be a coherent  $R$ -algebra.

**6.2. Lemma.** *Let  $M \in \mathcal{M}_{c, \mathfrak{J}}(A)$  and  $N \in \mathcal{M}_{c, U^{-1}\mathfrak{J}}(U^{-1}A)$ . Then:*

- (i)  *$M$  is a  $U^{-1}A$ -module and the localization homomorphism  $\iota^M : M \rightarrow U^{-1}M$  is an isomorphism of  $U^{-1}A$ -modules.*
- (ii)  *$N$  is finitely generated as  $A$ -module.*
- (iii) *The pull-back  $\alpha^* : \mathcal{M}_{c, \mathfrak{J}}(A) \rightarrow \mathcal{M}_{c, U^{-1}\mathfrak{J}}(U^{-1}A)$  is an equivalence with inverse the push-forward  $\alpha_*$ .*

*Proof.* (iii) is a consequence of (i) and (ii). To prove (i) we observe that every  $u \in U$  is invertible modulo  $\mathfrak{J}$  and so also modulo  $\mathfrak{J}^m$  for all  $m \geq 1$ . In fact, if  $ur + x = 1$  for some  $r \in R$  and  $x \in \mathfrak{J}$  then  $1 = (ur + x)^m = u \cdot s + x^m$  for some  $s \in R$  by the binomial formula. Hence given a  $A$ -module  $M$  with support in  $\mathrm{Spec} R/\mathfrak{J}$  then  $M$  is a  $U^{-1}A$ -module and the natural homomorphism  $\iota^M : M \rightarrow U^{-1}M$  is an isomorphism of  $U^{-1}A$ -modules.

Finally, we prove (ii). By assumption there exists an integer  $l \geq 1$ , such that  $\mathfrak{J}^l N = 0$ . If  $l = 1$  then  $N$  is a finitely generated  $R/\mathfrak{J}$ -module and so also finitely generated as  $A$ -module. If  $l \geq 2$  we conclude by induction using the exact sequence  $0 \rightarrow \mathfrak{J}^{l-1}N \rightarrow N \rightarrow N/\mathfrak{J}^{l-1}N \rightarrow 0$ .  $\square$

By Lemma 4.2 this has the following implication.

**Corollary.** *The pull-back*

$$\alpha^* : D_{c, \mathfrak{J}}^b(\mathcal{M}_{qc}(A)) \rightarrow D_{c, U^{-1}\mathfrak{J}}^b(\mathcal{M}_{qc}(U^{-1}A))$$

*is an equivalence with inverse the push-forward  $\alpha_*$ .*

**6.3.** Assume now that  $(A, \tau)$  is an Azumaya algebra with involution over  $R$ .

The equivalence  $\alpha^*$  is duality preserving with duality transformation the natural isomorphism

$$\mathrm{c}_{\mathrm{id}_{U^{-1}I}} : U^{-1}\overline{\mathrm{Hom}_R(-, I_\bullet)} \xrightarrow{\simeq} \overline{\mathrm{Hom}_{U^{-1}R}(U^{-1}-, U^{-1}I_\bullet)},$$

see the example in 5.5.

Therefore by Balmer and Walter [4, Lem. 4.3 (d)] the inverse equivalence  $\alpha_*$  is duality preserving as well. A duality transformation for  $\alpha_*$

$$\theta : \overline{\mathrm{Hom}_{U^{-1}R}(-, U^{-1}I_\bullet)} \xrightarrow{\cong} \overline{\mathrm{Hom}_R(-, I_\bullet)},$$

is defined as the inverse of the isomorphism of complexes of  $A$ -modules

$$\begin{aligned} \eta_N : \overline{\mathrm{Hom}_R(N_\bullet, I_\bullet)} &\xrightarrow{\iota^{\mathrm{Hom}_R(N, I)}} U^{-1}\overline{\mathrm{Hom}_R(N_\bullet, I_\bullet)} \xrightarrow{\mathrm{c}_{\mathrm{id}_{U^{-1}I}}} \\ &\overline{\mathrm{Hom}_{U^{-1}R}(U^{-1}N_\bullet, U^{-1}I_\bullet)} \xrightarrow{\mathrm{Hom}_{U^{-1}R}(\iota^N, U^{-1}I)} \overline{\mathrm{Hom}_{U^{-1}R}(N_\bullet, U^{-1}I_\bullet)}. \end{aligned} \quad (4)$$

for all  $N_\bullet \in \mathrm{D}_{c, U^{-1}\mathfrak{J}}^b(\mathcal{M}_{qc}(U^{-1}A))$ . Here  $A$  acts on the  $U^{-1}A$ -module  $N_i$ ,  $i \in \mathbb{Z}$ , via the natural homomorphism of  $R$ -algebras  $\xi : A \rightarrow U^{-1}A$ , and the first and last morphism of complexes is an isomorphism by Lemma 6.2 (i) above.

We observe that the quasi-isomorphism  $\eta_N$  has in degree  $l$  the  $r$ -component

$$\overline{\mathrm{Hom}_R(N_{-l-r}, I_{-r})} \rightarrow \overline{\mathrm{Hom}_{U^{-1}R}(N_{-l-r}, U^{-1}I_{-r})}, \quad h \mapsto \iota^{I_{-r}} \circ h.$$

**6.4.** We have the two dualizing complexes  $(\beta \circ \alpha)^\natural(I_\bullet)$  and  $\beta^\natural(\alpha^*) = \beta^\natural(U^{-1}I_\bullet)$  on  $S$ . As seen above the natural  $S$ -linear morphism

$$(\beta \circ \alpha)^\natural(I_\bullet) = \mathrm{Hom}_R(S, I_\bullet) \rightarrow \mathrm{Hom}_{U^{-1}R}(S, U^{-1}I_\bullet) = \beta^\natural(U^{-1}I_\bullet),$$

given by  $h \mapsto \iota^{I_l} \circ h$  in degree  $l$ , is an isomorphism of  $S$ -modules. This isomorphism induces an isomorphism of functors

$$\hat{\gamma}(\alpha, \beta) : \mathfrak{D}_{(\beta \circ \alpha)^\natural(I)}^{S \otimes (A, \tau)} \xrightarrow{\cong} \mathfrak{D}_{\beta^\natural(U^{-1}I)}^{S \otimes (A, \tau)},$$

which is a duality transformation for the identity functor, i.e. we have a duality preserving isomorphism

$$(\mathrm{id}_{\mathrm{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A))}, \hat{\gamma}(\alpha, \beta)):$$

$$(\mathrm{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A)), \mathfrak{D}_{(\beta \circ \alpha)^\natural(I)}^{S \otimes (A, \tau)}) \rightarrow (\mathrm{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A)), \mathfrak{D}_{\beta^\natural(U^{-1}I)}^{S \otimes (A, \tau)}).$$

**6.5. Lemma.** *Denote  $\xi : A \rightarrow U^{-1}A$  and  $\xi_1 : U^{-1}A \rightarrow S \otimes_{U^{-1}R} U^{-1}A \simeq S \otimes_R A$  the natural  $R$ -algebra respectively  $U^{-1}R$ -algebra morphisms. Then*

$$((\beta \circ \alpha)_*, \vartheta^{\xi_1 \circ \xi}) = (\alpha_*, \theta) \circ (\beta_*, \vartheta^{\xi_1}) \circ (\mathrm{id}_{\mathrm{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A))}, \hat{\gamma}(\alpha, \beta)).$$

*Proof.* The duality preserving functor  $((\beta \circ \alpha)_*, \vartheta^{\xi_1 \circ \xi})$  on the left hand side maps  $M_\bullet \in \mathrm{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A))$  onto

$$(\beta \circ \alpha)_*(M_\bullet) = \alpha_*(\beta_*(M_\bullet)) \in \mathrm{D}_{c, \mathfrak{J}}^b(\mathcal{M}_{qc}(A)),$$

where the  $S \otimes_R A$ -module  $M_i$  becomes an  $A$ -module via the homomorphism of  $R$ -algebras  $\xi_1 \circ \xi : A \rightarrow S \otimes_R A$  for all  $i \in \mathbb{Z}$ . The same holds for the duality preserving functor on the right hand side.

Hence we are left to show that the duality transformation of both sides coincide for all  $M_\bullet \in \mathrm{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A))$ .

By the definition of the composition of duality preserving functors, see (1), the duality transformation for the functor  $\alpha_* \circ \beta_* \circ \mathrm{id}_{\mathrm{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A))}$  on the right hand side is given by

$$\theta_{\beta_* M} \circ \alpha_* (\vartheta_M^{\xi_1} \circ \beta_*(\hat{\gamma}(\alpha, \beta)_M))$$

for  $M_\bullet \in \mathrm{D}_c^b(\mathcal{M}_{qc}(S \otimes_R A))$ . We have to show that this is equal to  $\vartheta_M^{\xi_1 \circ \xi}$ , or equivalently since  $\theta_{\beta_* M}^{-1} = \eta_{\beta_* M}$ , that

$$\eta_{\beta_* M} \circ \vartheta_M^{\xi_1 \circ \xi} = \alpha_* (\vartheta_M^{\xi_1} \circ \beta_* (\hat{\gamma}(\alpha, \beta)_M)),$$

see (4). Now the duality transformation  $\vartheta_M^{\xi_1 \circ \xi}$  has in degree  $l$  the  $r$ -component

$$\begin{aligned} \overline{\mathrm{Hom}_S(M_{-l-r}, \mathrm{Hom}_R(S, I_{-r}))} &\longrightarrow \overline{\mathrm{Hom}_R(M_{-l-r}, I_{-r})}, \\ h &\longmapsto \{ m \mapsto (-1)^r h(m)(1) \}. \end{aligned}$$

Composing this map with the  $r$ -component in degree  $l$  of  $\eta_{\beta_* M}$  we get

$$\begin{aligned} \overline{\mathrm{Hom}_S(M_{-l-r}, \mathrm{Hom}_R(S, I_{-r}))} &\longrightarrow \overline{\mathrm{Hom}_{U^{-1}R}(M_{-l-r}, U^{-1}I_{-r})} \\ h &\longmapsto \left\{ m \mapsto (-1)^r \iota^{l-r} (h(m)(1)) \right\}, \end{aligned}$$

where  $\iota^{l-r} : I_{-r} \rightarrow U^{-1}I_{-r}$  is the localization morphism.

But this is the  $r$ -component in degree  $l$  of  $\alpha_* (\vartheta_M^{\xi_1} \circ \beta_* (\hat{\gamma}(\alpha, \beta)_M))$ .  $\square$

## 7. THE HERMITIAN GERSTEN-WITT COMPLEX

**7.1. The codimension by support filtration.** We refer to [10, 11, 12] for proofs, details and more information on the hermitian Gersten-Witt spectral sequence.

Throughout this section  $X$  denotes a regular and noetherian scheme, and  $(\mathcal{A}, \tau)$  an Azumaya algebra with involution of the first- or second kind over  $X$ .

On  $\mathrm{D}^b(\mathcal{P}(\mathcal{A}))$  we have the filtration by codimension of support:

$$\mathrm{D}^b(\mathcal{P}(\mathcal{A})) = \mathrm{D}^b(\mathcal{P}(\mathcal{A}))^{(0)} \supseteq \mathrm{D}^b(\mathcal{P}(\mathcal{A}))^{(1)} \supseteq \mathrm{D}^b(\mathcal{P}(\mathcal{A}))^{(2)} \supseteq \dots,$$

where

$$\mathrm{D}^b(\mathcal{P}(\mathcal{A}))^{(p)} := \left\{ \mathcal{F}_\bullet \in \mathrm{D}^b(\mathcal{P}(\mathcal{A})) \mid \mathrm{codim}_X \mathrm{supp} \mathcal{F}_\bullet \geq p \right\}$$

for  $p \geq 0$ . This is a thick saturated triangulated subcategory of  $\mathrm{D}^b(\mathcal{P}(\mathcal{A}))$  and the duality  $\mathfrak{D}^{\mathcal{A}, \tau}$  maps it into itself. Balmer's [1] localization sequence gives long exact sequences of Witt groups:

$$\dots \rightarrow \mathrm{W}^i(D_{\mathcal{A}}^p) \rightarrow \mathrm{W}^i(D_{\mathcal{A}}^p/D_{\mathcal{A}}^{p+1}) \xrightarrow{\partial} \mathrm{W}^{i+1}(D_{\mathcal{A}}^{p+1}) \rightarrow \mathrm{W}^{i+1}(D_{\mathcal{A}}^p) \rightarrow \dots,$$

where we have set  $D_{\mathcal{A}}^p := \mathrm{D}^b(\mathcal{P}(\mathcal{A}))^{(p)}$  for all  $p \geq 0$ , and the triangular Witt groups are with respect to the duality (induced by)  $\mathfrak{D}^{\mathcal{A}, \tau}$ .

**7.2. The hermitian spectral sequence.** By Massey's method of exact couples we get from the exact sequences above a spectral sequence

$$E_1^{p,q}(\mathcal{A}, \tau) := \mathrm{W}^{p+q}(\mathrm{D}^b(\mathcal{P}(\mathcal{A}))^{(p)}/\mathrm{D}^b(\mathcal{P}(\mathcal{A}))^{(p+1)}),$$

the *hermitian Gersten-Witt spectral sequence* of  $(\mathcal{A}, \tau)$ , which converges to the derived hermitian Witt theory of  $(\mathcal{A}, \tau)$  if  $\dim X < \infty$ .

In [10, 11] it is proven that the odd lines of the hermitian Gersten-Witt spectral sequence are zero, the lines  $E_1^{p, 4m}(\mathcal{A}, \tau)$ ,  $m \in \mathbb{Z}$ , are all isomorphic to the *hermitian Gersten-Witt complex* of  $(\mathcal{A}, \tau)$ , denoted  $\mathrm{GW}_1(\mathcal{A}, \tau)$ :

$$\bigoplus_{x \in X^{(0)}} \mathrm{W}_1(\mathcal{A}(x), \tau(x)) \rightarrow \bigoplus_{x \in X^{(1)}} \mathrm{W}_1(\mathcal{A}(x), \tau(x)) \rightarrow \bigoplus_{x \in X^{(2)}} \mathrm{W}_1(\mathcal{A}(x), \tau(x)) \rightarrow \dots,$$

and the lines  $E_1^{p,4m+2}(\mathcal{A}, \tau)$ ,  $m \in \mathbb{Z}$ , are all isomorphic to the *skew-hermitian Gersten-Witt complex* of  $(\mathcal{A}, \tau)$ , denoted  $\mathrm{GW}_{-1}(\mathcal{A}, \tau)$ :

$$\bigoplus_{x \in X^{(0)}} W_{-1}(\mathcal{A}(x), \tau(x)) \longrightarrow \bigoplus_{x \in X^{(1)}} W_{-1}(\mathcal{A}(x), \tau(x)) \longrightarrow \bigoplus_{x \in X^{(2)}} W_{-1}(\mathcal{A}(x), \tau(x)) \longrightarrow \dots$$

Here  $X^{(p)} \subseteq X$  denotes the set of points of codimension  $p$  for  $p \geq 0$ , and we have set  $(\mathcal{A}(x), \tau(x)) := k(x) \otimes_{\mathcal{O}_{X,x}} (\mathcal{A}_x, \tau_x)$ . We consider  $\mathrm{GW}_\epsilon(\mathcal{A}, \tau)$  as a cohomological complex with  $\bigoplus_{x \in X^{(p)}} W_\epsilon(\mathcal{A}(x), \tau(x))$  in degree  $p$  and denote the  $p$ th cohomology group of  $\mathrm{GW}_\epsilon(\mathcal{A}, \tau)$  by  $H_\epsilon^p(\mathcal{A}, \tau)$ ,  $\epsilon \in \{\pm 1\}$ . As usual if  $X = \mathrm{Spec} R$  we use 'affine' notations.

**7.3. The Gersten conjecture.** Let now  $X = \mathrm{Spec} R$  be an affine scheme associated with a regular integral domain  $R$  of finite Krull dimension with fraction field  $K$ , and set  $A := \Gamma(X, \mathcal{A})$ .

The pull-back along the embedding  $\iota : R \hookrightarrow K$  induces a homomorphism

$$\iota^* : W_\epsilon(A, \tau) \longrightarrow W_\epsilon(K \otimes_R (A, \tau))$$

for  $\epsilon = \pm 1$ . Extending the  $\epsilon$ -hermitian Gersten-Witt complex by this map on the left hand side we get the (so called) *augmented  $\epsilon$ -hermitian Gersten-Witt complex*:

$$\begin{aligned} 0 \longrightarrow W_\epsilon(A, \tau) \longrightarrow W_\epsilon(K \otimes_R (A, \tau)) \longrightarrow \bigoplus_{\mathrm{ht} q=1} W_\epsilon(k(q) \otimes_R (A, \tau)) \longrightarrow \dots \\ \dots \longrightarrow \bigoplus_{\mathrm{ht} q=\dim R} W_\epsilon(k(q) \otimes_R (A, \tau)) \longrightarrow 0, \end{aligned}$$

$\epsilon = \pm 1$ . The *Gersten conjecture* claims that these complexes are exact if  $R$  is a regular local ring. By construction this is equivalent to the assertion that the homomorphism

$$W^i(\mathrm{D}^b(\mathcal{P}(A))^{(p+1)}, \mathfrak{D}^{A,\tau}) \longrightarrow W^i(\mathrm{D}^b(\mathcal{P}(A))^{(p)}, \mathfrak{D}^{A,\tau})$$

is the zero map for all  $i \in \mathbb{Z}$  and integers  $p \geq 0$ .

**7.4. A consequence of the Gersten conjecture.** Given a regular scheme  $X$  and Azumaya algebra  $(\mathcal{A}, \tau)$  with involution of the first- or second kind over  $X$  we denote by  $\mathcal{W}_{\mathcal{A},\tau}^\epsilon$ ,  $\epsilon \in \{\pm 1\}$ , the Zariski sheaf (on  $X$ ) associated with the presheaf

$$U \longmapsto W_\epsilon(\mathcal{A}|_U, \tau|_U),$$

where  $U \subseteq X$  is an open subscheme. If the Gersten conjecture holds for the Azumaya algebras with involution of the first- or second kind  $(\mathcal{A}_x, \tau_x)$  over  $\mathcal{O}_{X,x}$  for all  $x \in X$  then the  $\epsilon$ -hermitian Gersten-Witt complex is a flasque resolution of  $\mathcal{W}_{\mathcal{A},\tau}^\epsilon$ . In particular, we have then  $H_{\mathrm{Zar}}^i(X, \mathcal{W}_{\mathcal{A},\tau}^\epsilon) \simeq H_\epsilon^i(\mathcal{A}, \tau)$  for all integers  $i \geq 0$  and all  $\epsilon \in \{\pm 1\}$ .

Another consequence of the Gersten conjecture is the following lemma, see e.g. [12, Proof of Cor. 7.5] for a proof.

**7.5. Lemma.** *Let  $R$  be a regular local ring,  $t \in R$ , such that  $R/Rt$  is regular as well, and  $(A, \tau)$  an Azumaya algebra with involution of the first- or second kind over  $R$ . Assume that the Gersten conjecture holds for  $(A, \tau)$ . Then*

- (i)  $W^{2i+1}(A, \tau) = 0$  for all  $i \in \mathbb{Z}$ ; and
- (ii)  $H_{\mathrm{Zar}}^i(R_t, \mathcal{W}_{A,\tau}^\epsilon|_{\mathrm{Spec} R_t}) = 0$  for all  $i \geq 1$  and  $\epsilon \in \{\pm 1\}$ , if the Gersten conjecture holds also for  $R/Rt \otimes_R (A, \tau)$ .

## 8. THE MAIN THEOREM

**8.1.** Let  $V$  be a field or a discrete valuation ring,  $V \rightarrow R$  a smooth morphism of relative dimension  $d$  with  $R$  an integral domain, and  $P$  a prime ideal of  $R$ . Set  $\tilde{R} := R_P$ . This is a regular local ring *essentially smooth over  $V$* . Denote by  $\gamma : R \rightarrow \tilde{R}$  the localization morphism, and by  $\iota : \tilde{R} \hookrightarrow K$  the embedding of  $\tilde{R}$  into its fraction field.

Let further  $(\tilde{A}, \tilde{\tau})$  be an Azumaya algebra with involution of the first- or second kind over  $\tilde{R}$ . Replacing  $R$  by a localization we can assume that  $(\tilde{A}, \tilde{\tau}) = R \otimes_R (A, \tau)$  for an Azumaya algebra with involution of the same kind  $(A, \tau)$  over  $R$ .

We denote  $I_\bullet : I_0 \rightarrow I_{-1} \rightarrow \dots \rightarrow I_{-\dim R} \in \mathbf{D}_c^b(\mathcal{M}_{qc}(R))$  a minimal injective resolution of  $R$  and set  $\tilde{I}_\bullet := I_{\bullet, P} \in \mathbf{D}_c^b(\mathcal{M}_{qc}(\tilde{R}))$ .

**8.2. Theorem.** *The Gersten conjecture holds for the Azumaya algebra with involutions  $(\tilde{A}, \tilde{\tau})$  over  $\tilde{R}$ .*

Using the desingularization theorem of Popescu [18, 19] we get the following more general case of the Gersten conjecture.

**Corollary.** *Let  $S$  be a regular local ring, which either contains a field, or which is geometrically regular over a discrete valuation ring. Let further  $(B, \nu)$  be an Azumaya algebra with involution of the first- or second kind over  $S$ . Then the Gersten conjecture holds for  $(B, \nu)$ .*

*Proof.* In case  $S$  contains a field it is shown in [12, Sect. 7] that Theorem 8.2 implies this corollary. Essentially the same arguments work if  $S$  is geometrically regular over a discrete valuation ring  $V$ . We briefly recall the details.

Let  $f$  be a uniformizer of  $V$ . Then since  $S$  is geometrically regular over  $V$  the quotient  $S/Sf$  is regular. It contains the residue field of  $V$ , and so the Gersten conjecture holds for  $(B', \nu') := S/Sf \otimes_S (B, \nu)$  by the already proven case that the regular local ring contains a field. Analogous since the localization  $S_f$  contains the fraction field of  $V$  the Gersten conjecture holds for  $(B_Q, \nu_Q)$  for all  $Q \in \text{Spec } S_f$ . Hence, see 7.4, we have

$$\mathbf{H}_{\text{Zar}}^i(S_f, \mathcal{W}_{B_f, \nu_f}^\epsilon) \simeq \mathbf{H}_\epsilon^i(B_f, \nu_f) \quad (5)$$

for all integers  $i \geq 0$  and  $\epsilon \in \{\pm 1\}$ .

We use now a consequence of Popescu's desingularization theorem, see [22, Cor. 1.3]: The ring  $S$  is a filtered colimit of regular local rings  $S_\omega$ ,  $\omega \in \Omega$ , which are essentially smooth over  $V$ :  $S = \varinjlim_{\omega \in \Omega} S_\omega$ . By shrinking the index set  $\Omega$  if necessary

we can assume that there exists Azumaya algebras with involution  $(B_\omega, \nu_\omega)$  of the same kind as  $(B, \nu)$ , such that  $(B, \nu) = S \otimes_{S_\omega} (B_\omega, \nu_\omega)$  for all  $\omega \in \Omega$ .

By our main result, Theorem 8.2, the Gersten conjecture holds for  $(B_\omega, \nu_\omega)$ ,  $S_\omega \otimes_{S_\omega} (B_\omega, \nu_\omega)$  for all  $Q \in \text{Spec } S_\omega$ , and also for  $S_\omega/S_\omega f \otimes_{S_\omega} (B_\omega, \nu_\omega)$  since  $S_\omega/S_\omega f$  is essentially smooth over the residue field of  $V$ . Therefore by 7.4 and Lemma 7.5 we have  $\mathbf{W}^{2i+1}(B_\omega, \nu_\omega) = 0$  for all  $i \in \mathbb{Z}$ , and

$$\mathbf{H}_\epsilon^i((B_\omega)_f, (\nu_\omega)_f) \simeq \mathbf{H}_{\text{Zar}}^i((S_\omega)_f, \mathcal{W}_{B_\omega, \nu_\omega}^\epsilon|_{\text{Spec } S_\omega f}) = 0$$



for all  $\omega \in \Omega$ ,  $i \geq 1$ , and  $\epsilon \in \{\pm 1\}$ . Now, see e.g. [12, Sect. 7.1], we have

$$\lim_{\substack{\longrightarrow \\ w \in \Omega}} \mathrm{H}_{\mathrm{Zar}}^i((S_\omega)_f, \mathcal{W}_{B_\omega, \nu_\omega}^\epsilon |_{\mathrm{Spec}(S_\omega)_f}) \simeq \mathrm{H}_{\mathrm{Zar}}^i(S_f, \mathcal{W}_{B, \nu}^\epsilon |_{\mathrm{Spec} S_f}),$$

for all integers  $i \geq 0$  and  $\epsilon \in \{\pm 1\}$ , and by [9, Thm. 1.7]

$$\lim_{\substack{\longrightarrow \\ w \in \Omega}} \mathrm{W}^j(B_\omega, \nu_\omega) \simeq \mathrm{W}^j(B, \nu)$$

for all  $j \in \mathbb{Z}$ . We conclude from these considerations taking (5) into account that

$$\mathrm{W}^{2j+1}(B, \nu) = \mathrm{H}_\epsilon^i(B_f, \nu_f) = 0$$

for all  $j \in \mathbb{Z}$ , integers  $i \geq 1$ , and  $\epsilon \in \{\pm 1\}$ .

Since the Gersten conjecture holds for  $(B', \nu') = S/S_f \otimes_S (B, \nu)$  we also have  $\mathrm{H}_\epsilon^i(B', \nu') = 0$  for all  $i \geq 1$  and  $\epsilon \in \{\pm 1\}$

We apply this to the exact cohomology sequence associated with the short exact sequence of complexes

$$0 \longrightarrow \mathrm{GW}_\epsilon(B', \nu')[-1] \longrightarrow \mathrm{GW}_\epsilon(B, \nu) \longrightarrow \mathrm{GW}_\epsilon(B_f, \nu_f) \longrightarrow 0,$$

$\epsilon \in \{\pm 1\}$ , where  $\mathrm{GW}_\epsilon(B', \nu')[-1]$  is the complex  $\mathrm{GW}_\epsilon(B', \nu')$  shifted by one, i.e. starting in degree 1, and get  $\mathrm{H}_\epsilon^i(B, \nu) = 0$  for all  $i \geq 2$  and  $\epsilon \in \{\pm 1\}$ .

Since  $E_1^{p,q}(B, \nu) \implies \mathrm{W}^{p+q}(B, \nu)$  and  $E_1^{p,2m+1}(B, \nu) = 0$  for all  $m \in \mathbb{Z}$ , see 7.2, we deduce moreover  $\mathrm{H}_\epsilon^1(B, \nu) = 0$ , and that the natural homomorphism

$$\mathrm{W}^{1-\epsilon}(B, \nu) \longrightarrow \mathrm{H}_\epsilon^0(B, \nu)$$

is an isomorphism for all  $\epsilon \in \{\pm 1\}$ . By the main result of Balmer [2] we have  $\mathrm{W}_\epsilon(B, \nu) \simeq \mathrm{W}^{1-\epsilon}(B, \nu)$ , and we are done.  $\square$

The proof of Theorem 8.2 will follow from the following technical result, which we prove in Section 9.

**8.3. Lemma.** *Let  $t \in R$  be a non zero divisor and non unit, such that  $R/Rt$  is flat over  $V$  (which is automatic if  $V$  is a field). We denote by  $\pi : R \longrightarrow R/Rt =: R'$  the quotient morphism, and set  $(A', \tau') := R' \otimes_R (A, \tau)$ .*

*Let  $p \geq 0$  be a natural number and  $(M_\bullet, \varphi)$  a  $i$ -symmetric space in the triangulated category with duality  $(\mathrm{D}_c^b(\mathcal{M}_{qc}(A'))^{(p)}, \mathfrak{D}_{\pi^!(I)}^{A', \tau'})$ . Then  $\gamma^*(\mathrm{tr}_\pi(M_\bullet, \varphi))$  is neutral in  $(\mathrm{D}_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p)}, \mathfrak{D}_{\tilde{I}}^{\tilde{A}, \tilde{\tau}})$ .*

Before showing that Theorem 8.2 follows from this lemma we record a consequence of it.

**8.4. Corollary.** *Let  $t \in \tilde{R}$ , such that  $\tilde{R}/\tilde{R}t$  is flat over  $V$ . Then*

$$\mathrm{W}^i(\tilde{A}, \tilde{\tau}) \longrightarrow \mathrm{W}^i(\tilde{A}_t, \tilde{\tau}_t)$$

*is injective for all  $i \in \mathbb{Z}$ .*

*Proof.* By Balmer's [1] localization sequence we have an exact sequence

$$\mathrm{W}_{\tilde{R}t}^i(\tilde{A}, \tilde{\tau}) \longrightarrow \mathrm{W}^i(\tilde{A}, \tilde{\tau}) \longrightarrow \mathrm{W}^i(\tilde{A}_t, \tilde{\tau}_t),$$

and so it is enough to show that  $W_{Rt}^i(\tilde{A}, \tilde{\tau}) \longrightarrow W^i(\tilde{A}, \tilde{\tau})$  is the zero map for all  $i \in \mathbb{Z}$ . By the identification of derived and coherent Witt groups this is equivalent to show that  $\tilde{W}_{Rt}^i(\tilde{A}, \tilde{\tau}, \tilde{I}_\bullet) \longrightarrow \tilde{W}^i(\tilde{A}, \tilde{\tau}, \tilde{I}_\bullet)$  is trivial for all  $i \in \mathbb{Z}$ .

Let  $(\tilde{N}_\bullet, \tilde{\phi})$  be a  $i$ -symmetric space representing an element of  $\tilde{W}_{Rt}^i(\tilde{A}, \tilde{\tau}, \tilde{I}_\bullet)$ . Replacing  $R$  by a localization we can assume that  $t \in R$ ,  $R' := R/Rt$  is flat over  $V$ , and  $(\tilde{N}_\bullet, \tilde{\phi}) = \gamma^*(N_\bullet, \phi)$  for some  $i$ -symmetric space  $(N_\bullet, \phi)$  in  $D_{c,Rt}^b(\mathcal{M}_{qc}(A))$  for the duality  $\mathfrak{D}_I^{A,\tau}$ , where  $\gamma : R \longrightarrow \tilde{R}$  is the localization morphism.

By the dévissage theorem [10, Thm. 5.2] we can assume that  $(N_\bullet, \phi) = \text{tr}_\pi(M_\bullet, \varphi)$  for some  $i$ -symmetric space in  $D_c^b(\mathcal{M}_{qc}(A'))$  for the duality  $\mathfrak{D}_{\pi^i(I)}^{A',\tau'}$ , where  $\pi : R \longrightarrow R/Rt$  is the quotient morphism and  $(A', \tau') := R' \otimes_R (A, \tau)$ .

Now Lemma 8.3 gives  $(\tilde{N}_\bullet, \tilde{\phi}) = \gamma^*(N_\bullet, \phi) = \gamma^*(\text{tr}_\pi(M_\bullet, \varphi))$  is neutral in the triangulated category with duality  $(D_c^b(\mathcal{M}(\tilde{A}))^{(0)}, \mathfrak{D}_{\tilde{I}}^{\tilde{A},\tilde{\tau}})$ . But the  $i$ th triangular Witt group of this category with duality is  $\tilde{W}^i(\tilde{A}, \tilde{\tau}, \tilde{I})$ , and we are done.  $\square$

**8.5. Proof of Theorem 8.2.** Modulo some technical details we follow essentially Gillet and Levine [14, Proof of Cor. 6].

By the construction of the hermitian Gersten-Witt complex we have to show that  $W^i(D^b(\mathcal{P}(\tilde{A}))^{(p+1)}) \longrightarrow W^i(D^b(\mathcal{P}(\tilde{A}))^{(p)})$ , or equivalently (using the identification of coherent and derived hermitian Witt groups, see 5.2), that

$$W^i(D_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p+1)}, \mathfrak{D}_{\tilde{I}}^{\tilde{A},\tilde{\tau}}) \longrightarrow W^i(D_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p)}, \mathfrak{D}_{\tilde{I}}^{\tilde{A},\tilde{\tau}})$$

is the zero homomorphism for all  $p \geq 0$  and  $i \in \mathbb{Z}$ . For this we distinguish the cases  $p \geq 1$  and  $p = 0$  if  $V$  is not a field.

*Case  $p \geq 1$ , or  $p \geq 0$  and  $V$  is a field.*

Let  $\tilde{x}$  be an element of  $W^i(D_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p+1)})$ . Replacing  $\text{Spec } R$  by a smaller affine neighbourhood of  $P$  if necessary we can assume that  $\tilde{x}$  is in the image of

$$W^i(D_c^b(\mathcal{M}_{qc}(A))^{(p+1)}) \longrightarrow W^i(D_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p+1)}),$$

say  $\tilde{x} = \gamma^*(N_\bullet, \phi)$  for some  $i$ -symmetric space  $(N_\bullet, \phi)$  in  $(D_c^b(\mathcal{M}_{qc}(A))^{(p+1)}, \mathfrak{D}_I^{A,\tau})$ . Since the support of  $N_\bullet$  has codimension  $\geq 2$  if  $V$  is not a field there exists  $t \in R$  with  $R' := R/Rt$  flat over  $V$  and  $\text{supp } N_\bullet \subseteq \text{Spec } R'$ . By dévissage, see 5.4, we have  $(N_\bullet, \phi) = \text{tr}_\pi(M_\bullet, \varphi)$  for some  $i$ -symmetric space

$$(M_\bullet, \varphi) \text{ in } (D_c^b(\mathcal{M}_{qc}(A'))^{(p)}, \mathfrak{D}_{\pi^i(I)}^{A',\tau'}),$$

where  $\pi : R \longrightarrow R'$  is the quotient morphism, and  $(A', \tau') = R' \otimes_R (A, \tau)$ .

We conclude by Lemma 8.3 above.

*Case  $p = 0$  and  $V$  is a discrete valuation ring.*

Let  $f \in V$  be a uniformizer. The ring  $\tilde{R}/\tilde{R}f$  is essentially smooth over the residue field  $V/Vf$  of  $V$  and so a regular local ring. It follows that  $P_0 := \tilde{R}f$  is a prime ideal of height one and consequently  $\tilde{R}_{P_0}$  is a discrete valuation ring.

By the main result of [13] the homomorphism

$$W^i(\tilde{A}_{P_0}, \tilde{\tau}_{P_0}) \longrightarrow W^i(K \otimes_{\tilde{R}} A, \text{id}_K \otimes \tilde{\tau})$$

is injective. On the other hand,  $\tilde{R}_{P_0}$  is the localization of  $\tilde{R}$  at the multiplicative closed subset of  $\tilde{R}$  consisting of all  $t \in \tilde{R}$  with  $\tilde{R}/\tilde{R}t$  flat over  $V$ . Hence by Corollary 8.4 also  $W^i(\tilde{A}, \tilde{\tau}) \rightarrow W^i(\tilde{A}_{P_0}, \tilde{\tau}_{P_0})$  is injective, and therefore

$$\iota^* : W^i(\tilde{A}, \tilde{\tau}) \rightarrow W^i(K \otimes_{\tilde{R}} \tilde{A}, \text{id}_K \otimes \tilde{\tau})$$

is a monomorphism for all  $i \in \mathbb{Z}$  as well. In other notations, this means that

$$W^i(\mathbb{D}^b(\mathcal{P}(\tilde{A}))^{(0)}) \rightarrow W^i(\mathbb{D}^b(\mathcal{P}(\tilde{A}))^{(0)}/\mathbb{D}^b(\mathcal{P}(\tilde{A}))^{(1)}),$$

where the Witt groups are with respect to the duality  $\mathfrak{D}^{A, \tau}$ , respectively with respect to the by  $\mathfrak{D}^{A, \tau}$  induced duality, is injective and therefore by Balmer's [1] localization sequence we get that

$$W^i(\mathbb{D}^b(\mathcal{P}(\tilde{A}))^{(1)}) \rightarrow W^i(\mathbb{D}^b(\mathcal{P}(\tilde{A}))^{(0)})$$

is the zero map for all  $i \in \mathbb{Z}$ . We are done.

## 9. PROOF OF LEMMA 8.3.

**9.1. Quillen's normalization lemma and a generalization.** We continue with the notation of the last section, see 8.1 as well as Lemma 8.3.

By Quillen [20, §7, Lem. 5.12] if  $V$  is a field, respectively by Gillet-Levine [14, Lem. 1] otherwise, there exists an open immersion  $\theta : R \rightarrow R_0$  with  $P \in \text{Spec } R_0$  and a smooth morphism  $\iota_0 : \Gamma := V[T_1, \dots, T_{d-1}] \rightarrow R_0$  of relative dimension one, where  $d$  is the relative dimension of  $R$  over  $V$ , such that the composition of this morphism with the quotient map  $\pi_0 : R_0 \rightarrow R_0/R_0t$  is quasi-finite, respectively finite if  $V$  is a field.

Using Lemma 5.6 we can replace  $R$  by  $R_0$  to prove Lemma 8.3, and get a commutative (ignoring the morphism  $\tilde{\Delta}$ ) diagram:

$$\begin{array}{ccccc}
 & & & & \tilde{R}/\tilde{R}t & (6) \\
 & & & \nearrow \bar{s} & \uparrow \gamma' \\
 \tilde{R} \otimes_{\Gamma} R' & \longleftarrow & R \otimes_{\Gamma} R' & \longleftarrow & R' \\
 \uparrow \text{id}_{\tilde{R}} \otimes \pi & & \uparrow \text{id}_R \otimes \pi & & \uparrow \pi \\
 \tilde{R} \otimes_{\Gamma} R & \longleftarrow & R \otimes_{\Gamma} R & \longleftarrow & R \\
 \uparrow \bar{q} & \searrow \tilde{\Delta} & \uparrow q & & \uparrow \iota \\
 \tilde{R} & \longleftarrow & R & \longleftarrow & \Gamma
 \end{array}$$

where all squares are cartesian, and:

- $\gamma' : R' = R/Rt \rightarrow \tilde{R}/\tilde{R}t =: \tilde{R}'$  is the localization morphism;

- $\tilde{s} : \tilde{R} \otimes_{\Gamma} R' \longrightarrow \tilde{R}'$ ,  $\tilde{r} \otimes x \mapsto \tilde{r} \cdot \gamma'(x) = \tilde{\pi}(\tilde{r}) \cdot \gamma'(x)$ , where  $\tilde{\pi} : \tilde{R} \longrightarrow \tilde{R}' = \tilde{R}/\tilde{R}t$  is the quotient morphism;
- $\tilde{\Delta} : \tilde{R} \otimes_{\Gamma} R \longrightarrow \tilde{R}$ ,  $\tilde{r} \otimes r \mapsto \tilde{r} \cdot \gamma(r)$  is the 'diagonal';
- $p : R \longrightarrow R \otimes_{\Gamma} R$ ,  $r \mapsto 1 \otimes r$ ,  $q : R \longrightarrow R \otimes_{\Gamma} R$ ,  $r \mapsto r \otimes 1$ , and  $\tilde{q} : \tilde{R} \longrightarrow \tilde{R} \otimes_{\Gamma} R$ ,  $\tilde{r} \mapsto \tilde{r} \otimes 1$  are the 'projections'; and
- $(\text{id}_{\tilde{R}} \otimes \pi) \circ \tilde{q}$  is quasi-finite, respectively finite if  $V$  is a field.

The morphisms  $\iota$ ,  $p$ ,  $q$  and  $\tilde{q}$  are smooth of relative dimension one, and so  $u$  is also smooth of relative dimension one. Therefore  $\tilde{R} \otimes_{\Gamma} R$  is a regular ring of dimension  $1 + \dim \tilde{R}$ . Since  $\iota : \Gamma \longrightarrow R$  is flat the element  $1 \otimes t \in \tilde{R} \otimes_{\Gamma} R$  is a non zero divisor, and therefore  $\tilde{R} \otimes_{\Gamma} R'$  is a Gorenstein ring of dimension  $\dim \tilde{R}$ .

It follows now from [15, Chap. II, Thm. 4.15] that  $\tilde{s} : \tilde{R} \otimes_{\Gamma} R' \longrightarrow \tilde{R}'$  and  $\tilde{\Delta} : \tilde{R} \otimes_{\Gamma} R \longrightarrow \tilde{R}$  are regular embeddings of codimension one.

**9.2.** Set  $\tilde{p} := (\gamma \otimes \text{id}_R) \circ p$  and  $q_1 := (\text{id}_{\tilde{R}} \otimes \pi) \circ \tilde{q}$ .

If  $\tilde{q}^*(\tilde{A}, \tilde{\tau}) \simeq \tilde{p}^*(A, \tau)$  and  $q_1$  is finite we can now finish the proof of Lemma 8.3 as explained in the introduction. However in general  $q_1$  is quasi-finite only and it is possible that  $\tilde{q}^*(\tilde{A}, \tilde{\tau}) \not\simeq \tilde{p}^*(A, \tau)$ .

The first obstacle can be resolved using Zariski's main theorem, and for the latter we use a construction due to Ojanguren and the second named author [17, Sects. 7 and 8] to get a smooth morphism of relative dimension zero  $\tilde{R} \otimes_{\Gamma} R \xrightarrow{\kappa} C$ , such that there exists an isomorphism of  $C$ -algebras with involution

$$\kappa^*(\tilde{p}^*(A, \tau)) \xrightarrow{\simeq} \kappa^*(\tilde{q}^*(\tilde{A}, \tilde{\tau})).$$

The result of this construction is the following technical lemma. Before we state the result we note that the algebras with involutions (of the first- or second kind)  $\tilde{q}^*(\tilde{A}, \tilde{\tau})$  and  $\tilde{p}^*(A, \tau)$  become naturally isomorphic after pull-back along  $\tilde{\Delta} : \tilde{R} \otimes_{\Gamma} R \longrightarrow \tilde{R}$ . More precisely, we have a natural isomorphism

$$\rho : \tilde{\Delta}^*(\tilde{p}^*(A, \tau)) \xrightarrow{\simeq} \tilde{\Delta}^*(\tilde{q}^*(\tilde{A}, \tilde{\tau}))$$

of algebras with involutions, which fits into the commutative diagram

$$\begin{array}{ccc} \tilde{R} \otimes_{\tilde{R} \otimes_{\Gamma} R} (\tilde{R} \otimes_{\Gamma} R) \otimes_R A & \xrightarrow[\simeq]{\rho} & \tilde{R} \otimes_{\tilde{R} \otimes_{\Gamma} R} (\tilde{R} \otimes_{\Gamma} R) \otimes_{\tilde{R}} \tilde{R} \otimes_R A \\ & \searrow \simeq & \swarrow \simeq \\ & \tilde{R} \otimes_R A & \end{array},$$

(7)

where the diagonal arrows are the natural identifications. Note here that on the left hand side  $R$  acts on  $\tilde{R} \otimes_{\Gamma} R$  via the right factor, i.e. via  $\tilde{p} = (\gamma \otimes \text{id}_R) \circ p$ .

**9.3. Lemma.** *There exists a commutative diagram*

$$\begin{array}{ccccccc}
 & & & & & & \tilde{R}' \\
 & & & & & \beta & \nearrow \\
 & & & & & \beta' & \nearrow \\
 & & & & & \tilde{s} & \nearrow \\
 & & & & & \tilde{u} & \nearrow \\
 & & & & & \tilde{R}' \otimes_{\Gamma} R' & \leftarrow \\
 & & & & & \tilde{u} & \leftarrow \\
 & & & & & R' & \leftarrow \\
 & & & & & \pi & \leftarrow \\
 & & & & & R & \leftarrow \\
 & & & & & \tilde{p} & \leftarrow \\
 & & & & & \tilde{R} \otimes_{\Gamma} R & \leftarrow \\
 & & & & & \tilde{\kappa} & \leftarrow \\
 & & & & & C & \leftarrow \\
 & & & & & \pi_C & \leftarrow \\
 & & & & & C' & \leftarrow \\
 & & & & & \kappa' & \leftarrow \\
 & & & & & \tilde{R} \otimes_{\Gamma} R' & \leftarrow \\
 & & & & & \tilde{\kappa} & \leftarrow \\
 & & & & & \tilde{R} & \leftarrow \\
 & & & & & \tilde{q} & \leftarrow \\
 & & & & & \tilde{R} & \leftarrow \\
 & & & & & \tilde{\delta} & \leftarrow \\
 & & & & & D & \leftarrow \\
 & & & & & \alpha & \leftarrow \\
 & & & & & \tilde{C} & \leftarrow \\
 & & & & & l & \leftarrow \\
 & & & & & C' & \leftarrow \\
 & & & & & \alpha' & \leftarrow \\
 & & & & & C & \leftarrow \\
 & & & & & j & \leftarrow \\
 & & & & & \tilde{R} & \leftarrow \\
 & & & & & \delta & \leftarrow \\
 & & & & & D & \leftarrow
 \end{array}
 \tag{8}$$

where  $\tilde{u} = (\gamma \otimes \text{id}_{R'}) \circ u$ ,  $C' = C \otimes_R R' = C \otimes_{\tilde{R} \otimes_{\Gamma} R} (\tilde{R} \otimes_{\Gamma} R')$ , and  $l : C' \rightarrow \tilde{C} := C'_Q$  is the localization homomorphism at  $Q := (\beta')^{-1}(P\tilde{R}')$  (recall that  $\tilde{R} = R_P$  and so  $P\tilde{R}$  is the maximal ideal of  $\tilde{R}$ .)

These rings and morphisms satisfy the following:

- (a)  $\kappa$  is a smooth morphism of relative dimension zero, and so the same holds for  $\kappa'$ ;
- (b)  $C'$  is a Gorenstein ring and  $\dim \tilde{C} = \dim \tilde{R}$ ;
- (c)  $\beta'$  is a regular immersion of codimension one, and so the kernel of  $\beta$  is generated by a non unit and non zero divisor;
- (d) the by  $\alpha'$  induced morphism of affine schemes  $\text{Spec } C' \rightarrow \text{Spec } D$  is an open immersion,  $\delta$  is a finite morphism, and we have

$$\tilde{\pi} = \beta \circ \alpha \circ \delta = \beta' \circ \pi_C \circ j = \tilde{s} \circ (\text{id}_{\tilde{R}} \otimes \pi) \circ \tilde{q},$$

where  $\tilde{\pi} : \tilde{R} \rightarrow \tilde{R}' = \tilde{R}/\tilde{R}t$  is the quotient morphism;

- (e) the morphism  $j = \kappa \circ \tilde{q}$  is smooth of relative dimension one, and has a splitting  $\Delta_C : C \rightarrow \tilde{R}$  with  $\Delta_C \circ \kappa = \tilde{\Delta}$ ; and
- (f) there is an isomorphism of  $C$ -algebras with involution

$$\chi : C \otimes_R (A, \tau) \xrightarrow{\cong} C \otimes_{\tilde{R}} (\tilde{A}, \tilde{\tau}),$$

such that  $\Delta_C^*(\chi)$  coincides with the natural isomorphism

$$\Delta_C^*(\kappa^*(\tilde{p}^*A)) \xrightarrow{\cong} \tilde{\Delta}^*(\tilde{p}^*A) \xrightarrow{\rho} \tilde{\Delta}^*(\tilde{q}^*\tilde{A}) \xrightarrow{\cong} \Delta_C^*(\kappa^*(q^*\tilde{A})).$$

*Proof.* Let  $\mathfrak{m} := (\tilde{s} \circ (\text{id}_{\tilde{R}} \otimes \pi))^{-1}(P\tilde{R}')$ . We have  $\mathfrak{m} \supseteq \text{Ker } \tilde{\Delta}$ , and  $\tilde{q}^{-1}(\mathfrak{m}) = P\tilde{R}$  is the maximal ideal of  $\tilde{R}$ . Hence  $\mathfrak{m}$  is the unique maximal ideal of  $\tilde{R} \otimes_{\Gamma} R$ , which contains  $\text{Ker } \tilde{\Delta}$ , and  $\tilde{\Delta}$  factors via the regular local ring  $\tilde{S} := (\tilde{R} \otimes_{\Gamma} R)_{\mathfrak{m}}$ . It follows

$$\dim \tilde{S} = \dim(\tilde{R} \otimes_{\Gamma} R) = 1 + \dim \tilde{R} \tag{9}$$

since  $\mathfrak{m}$  contains the kernel of  $\tilde{\Delta} : \tilde{R} \otimes_{\Gamma} R \longrightarrow \tilde{R}$ , which is a regular embedding of codimension one.

We get a diagram

$$\begin{array}{ccc} \tilde{S} & \xleftarrow{\tilde{\iota}} & \tilde{R} \otimes_{\Gamma} R \\ & \searrow \Delta_{\tilde{S}} & \uparrow \tilde{q} \\ & & \tilde{R} \end{array} \quad \begin{array}{c} \tilde{\Delta} \\ \curvearrowright \end{array}$$

where  $\tilde{\iota} : \tilde{R} \otimes_{\Gamma} R \longrightarrow \tilde{S}$  is the localization morphism and  $\Delta_{\tilde{S}} \circ \tilde{\iota} = \tilde{\Delta}$ . In particular, we have  $\Delta_{\tilde{S}} \circ (\tilde{\iota} \circ \tilde{q}) = \text{id}_{\tilde{R}}$ , and so there is an isomorphism of  $\tilde{R}$ -algebras with involutions

$$\rho_{\tilde{S}} : \Delta_{\tilde{S}}^*(\tilde{\iota}^*(\tilde{p}^*(A, \tau))) \xrightarrow{\cong} \tilde{\Delta}^*(\tilde{p}^*(A, \tau)) \xrightarrow{\rho} \tilde{\Delta}^*(\tilde{q}^*(\tilde{A}, \tilde{\tau})) \xrightarrow{\cong} \Delta_{\tilde{S}}^*(\tilde{\iota}^*(\tilde{q}^*(\tilde{A}, \tilde{\tau}))).$$

Now by the theorem [17, Prop. 7.1] of Ojanguren and the second named author there exists a finite étale morphism  $\tilde{h} : \tilde{S} \longrightarrow \tilde{C}$ , such that

- there is an isomorphism of  $\tilde{C}$ -algebras with involutions

$$\tilde{\chi} : (\tilde{h} \circ \tilde{\iota})^*(\tilde{p}^*(A, \tau)) \xrightarrow{\cong} (\tilde{h} \circ \tilde{\iota})^*(\tilde{q}^*(\tilde{A}, \tilde{\tau}));$$

and

- a splitting  $\Delta_{\tilde{C}}^* : \tilde{C} \longrightarrow \tilde{R}$  of  $\tilde{h} \circ \tilde{\iota} \circ \tilde{q}$ , such that  $\Delta_{\tilde{C}}^*(\tilde{\chi}) = \rho_{\tilde{S}}$ .

We can extend these data to an open neighbourhood of the maximal ideal  $\mathfrak{m}$ : There exists  $b \in (\tilde{R} \otimes_{\Gamma} R) \setminus \mathfrak{m}$  and a diagram

$$\begin{array}{ccc} & \xrightarrow{\kappa} & \\ C & \xleftarrow{h} (\tilde{R} \otimes_{\Gamma} R)_b \xleftarrow{\iota} & \tilde{R} \otimes_{\Gamma} R \\ & \searrow \Delta_C & \uparrow \tilde{q} \\ & & \tilde{R} \end{array} \quad \begin{array}{c} \tilde{\Delta} \\ \curvearrowright \end{array}$$

where  $\iota$  is the localization morphism,  $\kappa = h \circ \iota$ ,  $\Delta_C \circ \kappa = \tilde{\Delta}$ , and such that there exists an isomorphism of  $C$ -algebras with involutions

$$\chi : \kappa^*(\tilde{p}^*(A, \tau)) \xrightarrow{\cong} \kappa^*(\tilde{q}^*(\tilde{A}, \tilde{\tau})),$$

such that  $\Delta_C^*(\chi)$  coincides with the natural isomorphism of  $\tilde{R}$ -algebras with involutions

$$\rho : \tilde{\Delta}^*(\tilde{p}^*(A, \tau)) \xrightarrow{\cong} \tilde{\Delta}^*(\tilde{q}^*(\tilde{A}, \tilde{\tau}))$$

introduced in (7). Note that by construction  $\mathfrak{m} \in \text{Spec}(\tilde{R} \otimes_{\Gamma} R)_b$  and therefore since  $h$  is étale and finite we get tacking (9) into account

$$\dim C = \dim(\tilde{R} \otimes_{\Gamma} R)_b = \dim(\tilde{R} \otimes_{\Gamma} R)_{\mathfrak{m}} = \dim \tilde{R} \otimes_{\Gamma} R = 1 + \dim \tilde{R}. \quad (10)$$

We have constructed the following commutative diagram:

$$\begin{array}{ccc}
 & & \tilde{R}' \\
 & & \uparrow \tilde{s} \\
 C' & \xleftarrow{\kappa'} & \tilde{R} \otimes_{\Gamma} R' \\
 \uparrow \pi_C & & \uparrow \text{id}_{\tilde{R}} \otimes \pi \\
 C & \xleftarrow{\kappa} & \tilde{R} \otimes_{\Gamma} R \\
 \uparrow j & \nearrow \tilde{q} & \\
 \tilde{R} & & 
 \end{array} \tag{11}$$

where  $C' := C \otimes_{\tilde{R} \otimes_{\Gamma} R} (\tilde{R} \otimes_{\Gamma} R')$ , i.e. the middle square is cartesian, and  $j := \kappa \circ \tilde{q}$ , which is smooth of constant relative dimension one. By construction

$$\kappa' : \tilde{R} \otimes_{\Gamma} R' \longrightarrow (\tilde{R} \otimes_{\Gamma} R')_b \longrightarrow C'$$

is the composition of a localization map followed by a finite étale morphism, and so quasi-finite and smooth of relative dimension zero. It follows that  $\pi_C \circ j = \kappa' \circ (\text{id}_{\tilde{R}} \otimes \pi) \circ \tilde{q}$  is quasi-finite as well since  $(\text{id}_{\tilde{R}} \otimes \pi) \circ \tilde{q}$  is, and that  $C'$  is a Gorenstein ring, see [6, Cor. 3.3.15] for the latter claim. Moreover, since the non zero divisor  $1 \otimes t$  is in  $\mathfrak{m}$  and  $b \notin \mathfrak{m}$  we have

$$\dim(\tilde{R} \otimes_{\Gamma} R')_b = \dim(\tilde{R} \otimes_{\Gamma} R')_{\mathfrak{m}} = \dim(\tilde{R} \otimes_{\Gamma} R) - 1,$$

which by (10) implies  $\dim(\tilde{R} \otimes_{\Gamma} R')_b = \dim \tilde{R}$ , and hence  $\dim C' = \dim \tilde{R}$ .

We are done except for the existence of  $\beta'$  and the factorization of the quasi-finite morphism  $\pi_C \circ j$ . For the later we use a version of Zariski's main theorem, see e.g. [21, p. 42, Cor. 2]. By this result the quasi-finite morphism  $\pi_C \circ j$  factors  $\tilde{R} \xrightarrow{\delta} D \xrightarrow{\alpha'} C'$  with  $\delta$  finite and the by  $\alpha'$  induced morphism of affine schemes  $\text{Spec } C' \longrightarrow \text{Spec } D$  an open immersion.

We are left to show that there exists a regular embedding of codimension one  $\beta' : C' \longrightarrow \tilde{R}'$ , such that  $\beta' \circ \kappa' = \tilde{s}$ . As  $C' = C \otimes_{\tilde{R} \otimes_{\Gamma} R} (\tilde{R} \otimes_{\Gamma} R')$  it is for the existence enough to show that there exists a morphism  $\beta_C : C \longrightarrow \tilde{R}'$ , such that

$$\beta_C \circ \kappa = \tilde{s} \circ (\text{id}_{\tilde{R}} \otimes \pi).$$

We claim that  $\beta_C := \tilde{\pi} \circ \Delta_C$  does the job. In fact, by construction of (11) we have

$$\tilde{\pi} \circ \Delta_C \circ \kappa = \tilde{s} \circ (\text{id}_{\tilde{R}} \otimes \pi) \circ \tilde{q} \circ \Delta_C \circ \kappa = \tilde{s} \circ (\text{id}_{\tilde{R}} \otimes \pi) \circ \tilde{q} \circ \tilde{\Delta}.$$

Now observe that for  $\tilde{r} \in \tilde{R}$  and  $r \in R$  we have

$$\begin{aligned}
 \tilde{s} \left[ (\text{id}_{\tilde{R}} \otimes \pi) \left( (\tilde{q} \circ \tilde{\Delta})(\tilde{r} \otimes r) \right) \right] &= \tilde{s} \left( (\text{id}_{\tilde{R}} \otimes \pi) \left( (\tilde{r} \cdot \gamma(r)) \otimes 1 \right) \right) \\
 &= \tilde{\pi}(\tilde{r} \cdot \gamma(r)) \\
 &= \tilde{s} \left( (\text{id}_{\tilde{R}} \otimes \pi)(\tilde{r} \otimes r) \right).
 \end{aligned}$$

Finally, since  $\kappa'$  is smooth of relative dimension 0 and  $\tilde{s}$  is a regular immersion of codimension one, also  $\beta'$  is a regular immersion of codimension one, see e.g. [7, Chap. IV, Prop. 3.9]. We are done.  $\square$

**9.4. The dualizing complexes.** We have on  $D$  the dualizing complex  $E_\bullet := \delta^\natural(\tilde{I}_\bullet)$ , on  $\tilde{C}$  the dualizing complex  $\alpha^*(E_\bullet)$ , and on  $\tilde{R}'$  the two dualizing complexes  $\tilde{\pi}^\natural(\tilde{I}_\bullet) = (\beta \circ \alpha)^\natural(E_\bullet)$  and  $\beta^\natural(\alpha^*(E_\bullet))$ , which are isomorphic to each other, see 6.4.

Since

$$\tilde{I}_\bullet : \tilde{I}_0 \longrightarrow \tilde{I}_{-1} \longrightarrow \dots \longrightarrow \tilde{I}_{-\dim \tilde{R}} \in D_c^b(\mathcal{M}_{qc}(\tilde{R}))$$

is a (minimal) injective resolution of  $\tilde{R}$  living in the indicated degrees and  $\dim D = \dim \tilde{R}$  by Lemma 9.3 (b) and (d) we know by Lemma 4.7 that  $\mu_E(P) = \dim D_P$  for all  $P \in \text{Spec } D$ . Therefore the same holds for the restriction to the localization  $\text{Spec } \tilde{C}$ , i.e.  $\mu_{\alpha^*(E)}(P) = \dim(\tilde{C})_P$  for all  $P \in \text{Spec } \tilde{C}$ . Since  $\tilde{C}$  is a local Gorenstein ring it follows from the uniqueness of dualizing complexes, see [16, Chap. V, Thm. 3.1], that  $\alpha^*(E_\bullet)$  is an injective resolution of the  $\tilde{C}$ -module  $\tilde{C}$ .

**9.5. Two transfer maps.** Along the morphism  $\beta : \tilde{C} \longrightarrow \tilde{R}'$  we have the following two two duality preserving functors

$$(D_c^b(\mathcal{M}_{qc}(\tilde{A}')), \mathfrak{D}_{\beta^\natural(\alpha^*(E))}^{\tilde{A}', \tilde{\tau}'}) \longrightarrow (D_c^b(\mathcal{M}_{qc}(\tilde{C} \otimes_{R'} A')), \mathfrak{D}_{\alpha^*(E)}^{\tilde{C} \otimes (A', \tau')})$$

and

$$(D_c^b(\mathcal{M}_{qc}(\tilde{A}')), \mathfrak{D}_{\beta^\natural(\alpha^*(E))}^{\tilde{A}', \tilde{\tau}'}) \longrightarrow (D_c^b(\mathcal{M}_{qc}(\tilde{C} \otimes_{\tilde{R}} \tilde{A})), \mathfrak{D}_{\alpha^*(E)}^{\tilde{C} \otimes (\tilde{A}, \tilde{\tau})}),$$

where we have set  $(\tilde{A}', \tilde{\tau}') := \tilde{R}' \otimes_{\tilde{R}} (\tilde{A}, \tilde{\tau})$ . These correspond to (cf. 5.3 for notation)

$$(\beta, \zeta) : (\tilde{C}, \tilde{C} \otimes_{R'} (A', \tau')) \longrightarrow (\tilde{R}', \tilde{R}' \otimes_R (A, \tau)),$$

where  $\zeta$  is the  $\tilde{C}$ -algebra homomorphism

$$\begin{aligned} \tilde{C} \otimes_{R'} A' &= \tilde{C} \otimes_{R'} R' \otimes_R A \longrightarrow \tilde{R}' \otimes_{\tilde{C}} \tilde{C} \otimes_{R'} R' \otimes_R A \xrightarrow{\cong} \tilde{R}' \otimes_R A, \\ \tilde{c} \otimes r' \otimes a &\longmapsto (\beta(\tilde{c}) \cdot \gamma'(r')) \otimes a, \end{aligned}$$

and

$$(\beta, \xi) : (\tilde{C}, \tilde{C} \otimes_{\tilde{R}} (\tilde{A}, \tilde{\tau})) \longrightarrow (\tilde{R}', \tilde{R}' \otimes_R (A, \tau)),$$

where  $\xi$  is the  $\tilde{C}$ -algebra homomorphism

$$\begin{aligned} \tilde{C} \otimes_{\tilde{R}} \tilde{A} &= \tilde{C} \otimes_{\tilde{R}} \tilde{R} \otimes_R A \longrightarrow \tilde{R}' \otimes_{\tilde{C}} \tilde{C} \otimes_{\tilde{R}} \tilde{R} \otimes_R A \xrightarrow{\cong} \tilde{R}' \otimes_R A, \\ \tilde{c} \otimes \tilde{r} \otimes a &\longmapsto (\beta(\tilde{c}) \cdot \tilde{\pi}(\tilde{r})) \otimes a, \end{aligned}$$

see 5.3 for notation.

By Lemma 9.3 (f) there exists an isomorphism of  $\tilde{C}$ -algebras with involutions

$$\begin{aligned} \text{id}_{\tilde{C}} \otimes \chi : \tilde{C} \otimes_C (C \otimes_R A) &\longrightarrow \tilde{C} \otimes_C (C \otimes_{\tilde{R}} \tilde{R} \otimes_R A), \\ \tilde{c} \otimes c \otimes a &\longmapsto c \otimes \chi(c \otimes a) = (\tilde{c} \cdot (l \circ \pi_C)(c)) \otimes \chi(1 \otimes a). \end{aligned}$$

Here  $C$  on the left hand side is an  $R$ -algebra via  $R \xrightarrow{\tilde{l}} C$  and on the right hand side it is considered as  $\tilde{R}$ -algebra via  $\tilde{R} \xrightarrow{\tilde{j}} C$ .

These three morphisms of  $\tilde{C}$ -algebras with involutions are related as follows.



**Lemma.** *We have a commutative diagram of  $\tilde{C}$ -algebra morphisms:*

$$\begin{array}{ccc}
\tilde{C} \otimes_C (C \otimes_R A) & \xrightarrow{\text{id}_{\tilde{C}} \otimes \chi} & \tilde{C} \otimes_C (C \otimes_{\tilde{R}} \tilde{R} \otimes_R A) \\
\downarrow g & & \downarrow \text{id}_{\tilde{C}} \otimes g_1 \\
\tilde{C} \otimes_{R'} R' \otimes_R A & & \tilde{C} \otimes_{\tilde{R}} \tilde{R} \otimes_C (C \otimes_{\tilde{R}} \tilde{R} \otimes_R A) \\
\downarrow \zeta & & \downarrow \text{id}_{\tilde{C}} \otimes g_2 \\
\tilde{C} \otimes_{R'} R' \otimes_R A & & \tilde{C} \otimes_{\tilde{R}} (\tilde{R} \otimes_R A) \\
& \searrow \zeta & \swarrow \xi \\
& \tilde{R}' \otimes_R A &
\end{array}$$

where  $g, g_1$ , and  $g_2$  are the canonical isomorphisms. Setting  $\ell := \text{id}_{\tilde{C}} \otimes (g_2 \circ g_1)$  we have therefore an isometry

$$\text{tr}_{(\text{id}_{\tilde{C}}, g)} \left( \text{tr}_{(\beta, \zeta)}(N_\bullet, \psi) \right) \simeq \text{tr}_{(\text{id}_{\tilde{C}}, \text{id}_{\tilde{C}} \otimes \chi)} \left[ \text{tr}_{(\text{id}_{\tilde{C}}, \ell)} \left( \text{tr}_{(\beta, \xi)}(N_\bullet, \psi) \right) \right]$$

in  $(\mathbb{D}_c^b(\mathcal{M}_{qc}(\tilde{C} \otimes_R A)), \mathfrak{D}_{\alpha^*(E)}^{\tilde{C} \otimes (A, \tau)})$  for all  $i$ -symmetric spaces  $(N_\bullet, \psi)$  in the triangulated category with duality  $(\mathbb{D}_c^b(\mathcal{M}_{qc}(\tilde{R}' \otimes_R A)), \mathfrak{D}_{\beta^*(\alpha^*(E))}^{\tilde{R}' \otimes (A, \tau)})$ .

*Proof.* The last assertion follows from the first, see 5.3. To prove that the diagram commutes we recall first that by Lemma 9.3 (f) the following diagram commutes

$$\begin{array}{ccc}
\tilde{R} \otimes_C (C \otimes_R A) & \xrightarrow{\text{id}_{\tilde{R}} \otimes \chi} & \tilde{R} \otimes_C (C \otimes_{\tilde{R}} \tilde{R} \otimes_R A) \\
& \searrow & \swarrow g_2 \\
& \tilde{R} \otimes_R A &
\end{array}$$

where the diagonal arrows are the natural isomorphisms. In particular we have

$$g_2((\text{id}_{\tilde{R}} \otimes \chi)(\tilde{r} \otimes c \otimes a)) = (\tilde{r} \cdot \Delta_C(c)) \otimes a.$$

Using this we compute for  $\tilde{c} \otimes c \otimes a \in \tilde{C} \otimes_C C \otimes_R A$ :

$$\begin{aligned}
& \xi \left[ \ell \left( (\text{id}_{\tilde{C}} \otimes \chi)(\tilde{c} \otimes (c \otimes a)) \right) \right] \\
&= \xi \left[ \ell \left( (\tilde{c} \cdot (l \circ \pi_C)(c)) \otimes \chi(1_C \otimes a) \right) \right] \\
&= \xi \left[ (\text{id}_{\tilde{C}} \otimes g_2) \left( (\tilde{c} \cdot (l \circ \pi_C)(c)) \otimes 1_{\tilde{R}} \otimes \chi(1_C \otimes a) \right) \right] \\
&= \xi \left[ (\tilde{c} \cdot (l \circ \pi_C)(c)) \otimes 1_{\tilde{R}} \otimes a \right] \\
&= \beta \left( \tilde{c} \cdot (l \circ \pi_C)(c) \right) \otimes a,
\end{aligned}$$

where we denote for clarity by  $1_S$  the one of a ring  $S$ .

On the other hand we have

$$\zeta \left[ g(\tilde{c} \otimes (c \otimes a)) \right] = \zeta \left[ (\tilde{c} \cdot (l \circ \pi_C)(c)) \otimes (1_{R'} \otimes a) \right] = \beta(\tilde{c} \cdot (l \circ \pi_C)(c)) \otimes a,$$

hence the lemma.  $\square$

**9.6.** We are now in position to prove Lemma 8.3. Let for this  $(M_\bullet, \varphi)$  be a  $i$ -symmetric space in  $(D_c^b(\mathcal{M}_{qc}(A'))^{(p)}, \mathfrak{D}_{\pi^\natural(I)}^{A', \tau'})$ .

The pull-back  $\gamma'^*(M_\bullet, \varphi)$  is a  $i$ -symmetric space in  $D_c^b(\mathcal{M}_{qc}(\tilde{R}' \otimes_R A))^{(p)}$  for the duality  $\mathfrak{D}_{\tilde{\pi}^\natural(\tilde{I})}^{\tilde{R}' \otimes_R(A, \tau)}$ , which is isomorphic to the duality  $\mathfrak{D}_{\beta^\natural(\alpha^*(E))}^{\tilde{R}' \otimes_R(A, \tau)}$ , see 9.4. For ease of notation we denote by  $\gamma'^*(M_\bullet, \varphi)^\diamond$  the space corresponding to  $\gamma'^*(M_\bullet, \varphi)$  under this isomorphism of triangulated categories, *i.e.*

$$\gamma'^*(M_\bullet, \varphi)^\diamond := (\text{id}_{D_c^b(\mathcal{M}_{qc}(\tilde{R}' \otimes_R A))}, \hat{\gamma}(\alpha, \beta))_*(\gamma'^*(M_\bullet, \varphi)),$$

see 6.4 for notation.

We apply now the zero theorem, see 5.7. By this result

$$\text{tr}_{(\beta, \zeta)}(\gamma'^*(M_\bullet, \varphi)^\diamond)$$

is a neutral  $i$ -symmetric space in the triangulated category with duality

$$(D_c^b(\mathcal{M}_{qc}(\tilde{C} \otimes_{R'} A'))^{(p)}, \mathfrak{D}_{\alpha^*(E)}^{\tilde{C} \otimes_{R'}(A', \tau')}).$$

(Note here that since  $\tilde{I}_\bullet$  is a minimal injective resolution of the  $\tilde{R}$ -module  $\tilde{R}$  living in degrees  $0, -1, \dots, -\dim \tilde{R}$ , the complex  $\tilde{\pi}^\natural(\tilde{I}_\bullet)$  is a minimal injective resolution of  $\tilde{R}'$  living in degrees  $-1, \dots, -\dim \tilde{R}$ , *cf.* Example 4.5 (ii).)

By the lemma in 9.5 above this implies

**Lemma.** *The push-forward  $\text{tr}_{(\beta, \xi)}(\gamma'^*(M_\bullet, \varphi)^\diamond)$  is a neutral space in the triangulated category with duality  $(D_c^b(\mathcal{M}_{qc}(\tilde{C} \otimes_{\tilde{R}} \tilde{A}))^{(p)}, \mathfrak{D}_{\alpha^*(E)}^{\tilde{C} \otimes_{\tilde{R}}(\tilde{A}, \tilde{\tau})})$ .*

We compute now:

$$\begin{aligned} \gamma^*(\text{tr}_\pi(M_\bullet, \varphi)) &= \text{tr}_{\tilde{\pi}}(\gamma'^*(M_\bullet, \varphi)) && \text{by Lemma 5.6} \\ &= \text{tr}_\delta [\text{tr}_{\beta \circ \alpha}(\gamma'^*(M_\bullet, \varphi))] \\ &= \text{tr}_\delta [(\alpha_*, \theta)_*(\text{tr}_{(\beta, \xi)}(\gamma'^*(M_\bullet, \varphi)^\diamond))] && \text{by Lemma 6.5} \end{aligned}$$

It follows that  $\gamma^*(\text{tr}_\pi(M_\bullet, \varphi))$  is neutral in  $(D_c^b(\mathcal{M}_{qc}(\tilde{A}))^{(p)}, \mathfrak{D}_{\tilde{I}}^{\tilde{A}, \tilde{\tau}})$  since the space  $\text{tr}_{(\beta, \xi)}(\gamma'^*(M_\bullet, \varphi)^\diamond)$  is neutral in  $(D_c^b(\mathcal{M}_{qc}(\tilde{C} \otimes_{\tilde{R}} \tilde{A}))^{(p)}, \mathfrak{D}_{\alpha^*(E)}^{\tilde{C} \otimes_{\tilde{R}}(\tilde{A}, \tilde{\tau})})$  by the lemma above. We are done.

**9.7. Remark.** In the article [12] by the first named author it was not observed that if  $(\tilde{A}, \tilde{\tau})$  is not extended from the base ring then the  $R \otimes_\Gamma R$ -algebras with involutions  $p^*(A, \tau)$  and  $q^*(\tilde{A}, \tilde{\tau})$  are not necessarily isomorphic (using the notation of (6)). Hence [12] proves the Gersten conjecture only in the constant case, *i.e.* in case  $\tilde{R}$  is a regular local ring which contains a field  $V$  and  $(\tilde{A}, \tilde{\tau})$  is extended from  $V$ .

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