

## DIFFERENT BALLS, IDENTICAL BOXES.

The boxes are not allowed to be empty.

- The problem is equivalent to dividing  $\{1, 2, \dots, n\}$  into  $m$  subsets.
- We denote the answer by  $S(n, m)$ , known as the Stirling number of the second kind.
- For example, when  $n = 4$ ,  $m = 2$  there holds  $S(4, 2) = 7$ :
  - First notice that there are only two situations if the balls are also identical: One set three, the other one; or one set one, the other three.
  - Thus we can list all seven different ways as

$$\{1, 2\}, \{3, 4\}; \quad \{1, 3\}, \{2, 4\}; \quad \{1, 4\}, \{2, 3\}; \quad (1)$$

$$\{1, 2, 3\}, \{4\}; \quad \{1, 2, 4\}, \{3\}; \quad \{1, 3, 4\}, \{2\}; \quad \{2, 3, 4\}, \{1\}. \quad (2)$$

- To solve the general situation, we notice that if we start to label the boxes, then each division in can be turned into  $m!$  different distributions. Consequently we have

$$T(n, m) = m! \cdot S(n, m). \quad (3)$$

- $S(n, m)$  enjoy similar identities as  $C(n, k)$ .
  - $S(n, 1) = 1 = S(n, n)$ . The proof is trivial.
  - $S(n, m) = S(n - 1, m - 1) + m S(n - 1, m)$ .

We give a combinatorial proof here. We note that  $n$  balls into  $m$  identical boxes can be done in two mutually exclusive ways.

1. Ball 1 has its own box and the remaining  $n - 1$  balls are distributed into the remaining  $m - 1$  boxes, leaving no box empty. There are  $S(n - 1, m - 1)$  ways doing this.
2. Ball 1 does not have its own box. This could be achieved through putting balls  $2, 3, \dots, n$  into the  $m$  boxes, leaving no box empty, and then choose one of the boxes to put ball 1 in. Note that after balls  $2, 3, \dots, n$  have been put in, the boxes are not identical anymore. Thus ball 1 has  $m$  choices. There are  $m S(n - 1, m)$  ways of doing this.

So overall there are  $S(n - 1, m - 1) + m S(n - 1, m)$  different ways.

**Exercise 1.** Prove  $S(n, m) = S(n - 1, m - 1) + m S(n - 1, m)$  using (3) and the formula for  $T(n, m)$ .

- $S(n, n - 1) = C(n, 2)$ .  
We notice that putting  $n$  balls into  $n - 1$  boxes with no empty box must result in one box with two balls and all other  $n - 2$  boxes with one ball each.

We do this in three steps.

1. Choose two balls from the  $n$  different balls. There are  $C(n, 2)$  different ways to do this.
2. Put these two balls into one box. There is one way to do this as all the boxes are identical.
3. Put the remaining  $n - 2$  balls into the remaining  $n - 2$  boxes. As the boxes are identical, there is only one possible outcome, that is each ball has its own box.

By the product rule we see that  $S(n, n - 1) = C(n, 2) \times 1 \times 1$  and the conclusion follows.

**Exercise 2.** Give a similar proof for  $S(n, n - 2) = C(n, 3) + 3 C(n, 4)$ .

**Example 1.** In how many ways can  $n \geq 2$  travelers share two identical cabs with no cab empty?

**Solution.** We see that the answer is

$$\begin{aligned}
 S(n, 2) &= S(n-1, 1) + 2S(n-1, 2) \\
 &= 1 + 2[S(n-2, 1) + 2S(n-2, 2)] \\
 &= 1 + 2[1 + 2[S(n-3, 1) + 2S(n-3, 2)]] \\
 &\quad \vdots \\
 &= 2^{n-1} - 1.
 \end{aligned} \tag{4}$$

**Remark 2.** Alternatively, we have

$$\begin{aligned}
 S(n, 2) &= \frac{1}{2!} T(n, 2) \\
 &= \frac{1}{2!} [2^n - 2 \cdot 1^n] = 2^{n-1} - 1.
 \end{aligned} \tag{5}$$

**Example 3.** In how many ways can we factor the integer 30,030 into three positive integers if the order of the factors is unimportant and each factor is greater than 1?

**Solution.** We have

$$30,030 = 2 \times 3 \times 5 \times 7 \times 11 \times 13. \tag{6}$$

Thus the number of factorizations is

$$\begin{aligned}
 S(6, 3) &= S(5, 2) + 3S(5, 3) \\
 &= S(4, 1) + 2S(4, 2) + 3[S(4, 2) + 3S(4, 3)] \\
 &= 1 + 2(2^3 - 1) + 3[2^3 - 1 + 3[S(3, 2) + 3S(3, 3)]] \\
 &= 1 + 14 + 3[7 + 3 \cdot (3 + 3)] \\
 &= 1 + 14 + 75 = 90.
 \end{aligned} \tag{7}$$

**Remark 4.** Alternatively, we could use

$$\begin{aligned}
 S(6, 3) &= \frac{1}{3!} T(6, 3) \\
 &= \frac{1}{6} [3^6 - 3 \times 2^6 + 3 \times 1^6] \\
 &= \frac{1}{6} [729 - 192 + 3] = 90.
 \end{aligned} \tag{8}$$

**Exercise 3.** Show by a combinatorial argument that

$$S(n+1, m) = C(n, m-1)S(m-1, m-1) + \dots + C(n, n)S(n, m-1). \tag{9}$$

### The boxes are allowed to be empty.

If we allow cells to be empty, then clearly the answer is

$$S(n, 1) + \dots + S(n, m). \tag{10}$$

We define the Bell number  $B_n$  as the number of partitions of a set of  $n$  different elements into nonempty, indistinguishable cells. Thus

$$B_n = S(n, 0) + S(n, 1) + \dots + S(n, n). \tag{11}$$

**Exercise 4.** ([?]) Show that

$$B_n = \binom{n-1}{0} B_0 + \binom{n-1}{1} B_1 + \dots + \binom{n-1}{n-1} B_{n-1}. \tag{12}$$