

”Strictly singular operators between $L_p - L_q$ spaces and interpolation ”

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joint work with E. Semenov and P. Tradacete

1 Preliminary

2 Strictly singular non-compact operator sets $V_{p,q}$

3 Extensions of Krasnoselskii compact interpolation theorem to \mathcal{S}

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$T : E \rightarrow F$ strictly singular \Leftrightarrow for every subspace $E_1 \subset E$ there exists $E_2 \subset E_1$ s.t. $T|_{E_2}$ compact

- Spectral properties of strictly singular op. like compact:

$$\sigma(T) = \{\lambda_n\}, \quad \sigma(T) \setminus \{0\} = \sigma_p(T) \setminus \{0\}, \quad (\sigma(T))' \subset \{0\}$$

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- \mathcal{S} is not suitable for interpolation

(some results for operators with the same domain

(*Heinrich 1980, Beucher*))

- $K(L^p) = S(L^p) \iff p = 2$

. -Ex: if $p > 2$, $P_{rad}(f) = \sum_n (\int_0^1 f(x)r_n(x)dx) r_n$

$$\begin{array}{ccc}
 T : L^p & \mapsto & L^p \\
 \downarrow & & \uparrow \\
 Rad \approx \ell_2 & \hookrightarrow & \ell_p
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- $K(L_p, L_q) = S(L_p, L_q) ?$

M. Riesz-Thorin convexity theorem

$$\frac{1}{p_\theta} = \frac{1-\theta}{p_0} + \frac{\theta}{p_1} \quad , \quad \frac{1}{q_\theta} = \frac{1-\theta}{q_0} + \frac{\theta}{q_1}$$

$$\|T\|_{p_\theta, q_\theta} \leq \|T\|_{p_0, q_0}^{1-\theta} \|T\|_{p_1, q_1}^\theta$$

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Theorem (Krasnoselskii 1960)

Let $1 \leq p_0, p_1, q_0, q_1 \leq \infty$.

If $T : L_{p_0} \rightarrow L_{q_0}$ compact , $T : L_{p_1} \rightarrow L_{q_1}$ bounded

$\implies T : L_{p_\theta} \rightarrow L_{q_\theta}$ compact , for $0 < \theta < 1$.

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QUESTION :

- extensions of K.T to the class of strictly singular operators ?
- what about endomorphisms ?

-the case of endomorphisms:

Theorem (H.-Semenov-Tradacete, 2010)

Let $1 \leq p \neq q \leq \infty$

If $T : L_p \rightarrow L_p$ bounded, $T : L_q \mapsto L_q$ strictly singular \implies

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• **extrapolation** property:

If $1 < q, p < \infty$ and $T : L_q \rightarrow L_q$, $T : L_p \rightarrow L_p$ bounded. Then
 $T \in S(L_r)$ for some $r \in (q, p) \iff T \in K(L_s)$ for some $s \in (q, p)$.

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Rigidity of special operator classes :

-regular operators in L_p -spaces (Caselles-Gonzalez 1987)

- composition operators on H_p , Volterra operators (Tylli et al. 2016)

The proof uses properties of the sets $S(L_p) \setminus K(L_p)$,
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Theorem (Dor, 1975)

Let $1 \leq p \neq 2 < \infty$, $0 < \theta \leq 1$, and $(f_i)_{i=1}^\infty$ in $L_p[0, 1]$. Assume that either:

- 1 $1 \leq p < 2$, $\|f_i\| \leq 1$ and $\|\sum_{i=1}^n a_i f_i\| \geq \theta(\sum_{i=1}^n |a_i|^p)^{1/p}$ for scalars $(a_i)_{i=1}^n$, and every n , or
- 2 $2 < p < \infty$, $\|f_i\| \geq 1$ and $\|\sum_{i=1}^n a_i f_i\| \leq \theta^{-1}(\sum_{i=1}^n |a_i|^p)^{1/p}$ for scalars $(a_i)_{i=1}^n$ and every n .

Then there exist disjoint measurable sets $(A_i)_{i=1}^\infty$ s.t.

$$\|f_i \chi_{A_i}\| \geq \theta^{2/|p-2|}.$$

the general case $T : L_p \mapsto L_q$ for $p \neq q$:

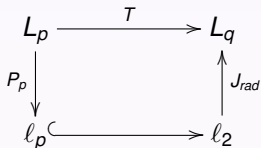
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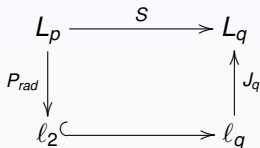
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(★) If F is a Banach lattice with lower 2-estimate.

If $T : \ell_2 \mapsto F$ strictly singular \Rightarrow compact

(Flores,H.,Kalton,Tradacete 2009)

-If $q \leq 2 \leq p$.

Assume $T \notin K(L_p, L_q)$, there is (x_j) s.t. $\|x_j\|_p = 1$, $x_j \xrightarrow{w} 0$ and $\|Tx_j\|_q \geq \lambda > 0$. By Kadec-Pelczynski m. there is (x_{i_k}) equivalent to the basis of ℓ_p or ℓ_2 .

If (x_{i_k}) equivalent to basis of ℓ_p ,

$$\lambda n^{\frac{1}{2}} \leq \int_0^1 \left\| \sum_{k=1}^n r_k(t) Tx_{i_k} \right\|_q dt \leq \|T\| \int_0^1 \left\| \sum_{k=1}^n r_k(t) x_{i_k} \right\|_p dt \leq n^{\frac{1}{p}}.$$

Thus, (x_{i_k}) must be equivalent to basis of ℓ_2 . Now by (\star) , T is not strictly singular

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- If $q \leq 2 \leq p$, every $T : L_p \mapsto L_q$ is either compact or fixes a ℓ_2 -isomorphic copy
- If E B. lattice with type 2 and unconditional basis and F B. lattice with a lower 2-estimate $\Rightarrow K(E, F) = S(E, F)$

Given $1 \leq p, q < \infty$ $V_{p,q} := S(L_p, L_q) \setminus K(L_p, L_q)$.

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• the case $2 < q \leq p < \infty$.

If $T : L_p \rightarrow L_q$ and $T \in V_{p,q} \implies$ there exists normalized (y_k) in L_p s.t.:

- (y_k) is equivalent to the basis of ℓ_2 with $(|y_k|)$ equi-measurable,
- (Ty_k) is equivalent to the basis of ℓ_q ,
- $[(y_k)]$ is complemented in L_p .

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 $\iff 2 < q < p$.

extrapolation property :

Theorem

Let $1 < p_0, p_1, q_0, q_1 < \infty$ with $q_0 \neq q_1$, $p_0 \neq p_1$. Suppose

- $\min\left\{\frac{q_0}{p_0}, \frac{q_1}{p_1}\right\} \leq 1$, or
- $\min\left\{\frac{q_0}{p_0}, \frac{q_1}{p_1}\right\} > 1$ and $\frac{q_1 - q_0}{p_1 - p_0} < 0$.

If $T : L_{p_0} \mapsto L_{q_0}$ bounded, $T : L_{p_1} \mapsto L_{q_1}$ bounded,
and $T : L_{p_\theta} \mapsto L_{q_\theta}$ strictly singular for some $0 < \theta < 1$

$\implies T \in K(L_{p_\tau}, L_{q_\tau})$ for every $0 < \tau < 1$.

- Given $T : L_\infty \rightarrow L_1$, the **V-characteristic** set ,

$$V(T) := \left\{ \left(\frac{1}{p}, \frac{1}{q} \right) : T \in V_{p,q} = S(L_p, L_q) \setminus K(L_p, L_q) \right\}.$$

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$$V(T) \subseteq L(T)$$

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Corollary

$$V(T) \subseteq \partial L(T).$$

interpolation property (extension of Krasnoselskii Thm.):

Theorem

Let $1 \leq p_0, p_1, q_0, q_1 < \infty$.

If $T : L_{p_0} \rightarrow L_{q_0}$ strictly singular, $T : L_{p_1} \rightarrow L_{q_1}$ bounded \implies
 $T : L_{p_\theta} \rightarrow L_{q_\theta}$ strictly singular, for $0 < \theta < 1$ and

$$\frac{1}{p_\theta} = \frac{1-\theta}{p_0} + \frac{\theta}{p_1}, \quad \frac{1}{q_\theta} = \frac{1-\theta}{q_0} + \frac{\theta}{q_1}$$

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$p_0 < \infty$ is a necessary condition

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Proposition

Let $1 < p_0, p_1, q_0, q_1 < \infty$ with $p_0 \neq p_1, q_0 \neq q_1$

Suppose

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or

- $\min\left\{\frac{q_0}{p_0}, \frac{q_1}{p_1}\right\} > 1$ and $\frac{q_1 - q_0}{p_1 - p_0} < 0.$

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Domain restrictions are necessary:

Fixed $0 < \lambda < 1$, consider the segment $S_\lambda = \{(p, q) : \frac{1}{q} = \frac{1}{p} - \lambda\}$

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Take the fractional op. $T_\lambda = T$, for a pairwise disjoint $(A_k)_{k \in \mathbb{N}}$

$$T(f) = \sum_{k \in \mathbb{N}} \left(\frac{1}{\mu(A_k)^{1-\lambda}} \int_{A_k} f d\mu \right) \chi_{A_k}.$$

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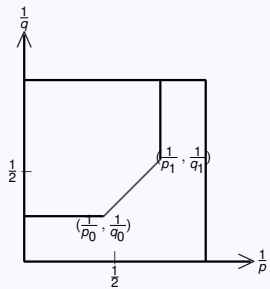
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T factors through $l_p \hookrightarrow l_q$ (so it is strictly singular), since

$$\begin{array}{ccc} L_p & \xrightarrow{T} & L_q \\ P \downarrow & & \uparrow Q \\ l_p & \xrightarrow{i} & l_q \end{array}$$

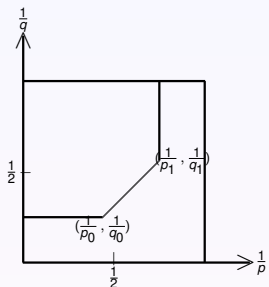
T is not compact ($T(\mu(A_k)^{-\frac{1}{p}} \chi_{A_k}) = \mu(A_k)^{-\frac{1}{q}} \chi_{A_k}$)

Example: operators $T : L_\infty \mapsto L_1$ with $V(T)$ is



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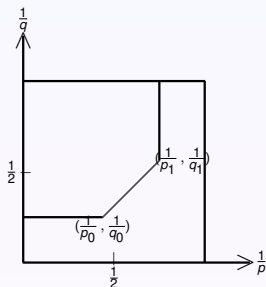
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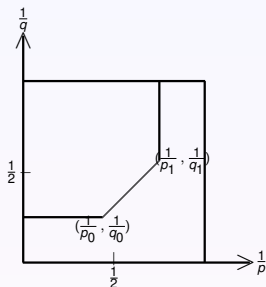
$$T = T_1 + T_2 + T_3$$

$$T_1 : L_p[0, 1/3] \mapsto L_{q_0}[0, 1/3] \text{ factorizing by } i_{2, q_0} : \ell_2 \rightarrow \ell_{q_0}$$

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Question: which is the shape of $V(T)$ in general ??

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Bernstein numbers

$$b_n(T) = \sup \left\{ \inf \left\{ \frac{\|Tx\|}{\|x\|} : x \neq 0 \in F \right\} : F \subset X, \dim(F) = n \right\}.$$

T super strictly singular $\iff b_n(T) \xrightarrow{n \rightarrow \infty} 0$

$T : X \rightarrow Y$ super strictly singular \iff ultraoperator $T_{\mathcal{U}} : X_{\mathcal{U}} \rightarrow Y_{\mathcal{U}}$ is strictly singular for every ultrafilter \mathcal{U} ,