

# The extension of the finite-dimensional version of Krivine's theorem to quasi-normed spaces.

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Recently, a number of results of the Local Theory have been extended to the quasi-normed spaces. There are several works ([Kal1], [Kal2], [D], [GL], [KT], [GK], [BBP1], [BBP2], [M2]) where such results as Dvoretzky-Rogers lemma ([DvR]), Dvoretzky theorem ([Dv1], [Dv2]), Milman's subspace-quotient theorem ([M1]), Krivine's theorem ([Kr]), Pisier's abstract version of Grothendick's theorem ([P1], [P2]), Gluskin's theorem on Minkowski compactum ([G]), Milman's reverse Brunn-Minkowski inequality ([M3]), and Milman's isomorphic regularization theorem ([M4]) are extended to quasi-normed spaces after they were established for normed spaces. It is somewhat surprising since the first proofs of these facts substantially used convexity and duality.

In [AM2] D. Amir and V.D. Milman proved the local version of Krivine's theorem (see also [Gow], [MS]). They studied quantitative estimates appearing in this theorem. We extend their result to the  $q$ - and quasi-normed spaces.

Recall that the quasi-norm on a real vector space  $X$  is a map  $\|\cdot\| : X \rightarrow \mathbb{R}^+$  such that

- 1)  $\|x\| > 0 \quad \forall x \neq 0$ ,
- 2)  $\|tx\| = |t| \|x\| \quad \forall t \in \mathbb{R}, x \in X$ ,
- 3)  $\exists C \geq 1$  such that  $\forall x, y \in X \quad \|x + y\| \leq C(\|x\| + \|y\|)$ .

If 3) is substituted by

- 3a)  $\forall x, y \in X \quad \|x + y\|^q \leq \|x\|^q + \|y\|^q$  for some fixed  $q \in (0, 1]$

then  $\|\cdot\|$  is called a  $q$ -norm on  $X$ . Note that 1-norm is the usual norm. It is obvious that every  $q$ -norm is a quasi-norm with  $C = 2^{1/q-1}$ . However, not every quasi-norm is  $q$ -norm for some  $q$ . Moreover, it is even not necessary

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continuous. It can be shown by the following simple example. Let  $f$  be a positive function on the Euclidean sphere  $S^{n-1}$  defined by

$$f(x) = \begin{cases} |x| & \text{for } x \in A, \\ 2|x| & \text{otherwise.} \end{cases}$$

Here  $A$  is a subset of  $S^{n-1}$  such that both  $A$  and  $S^{n-1} \setminus A$  are dense in  $S^{n-1}$ . Denote  $\|x\| = |x|f(x/|x|)$ . Because  $f$  is not continuous it is clear that  $\|\cdot\|$  is not  $q$ -norm for any  $q$  though it is the quasi-norm.

The next lemma is Aoki-Rolewicz Theorem ([KPR], [R], see also [K], p.47).

**Lemma 1** *Let  $\|\cdot\|$  be a quasi-norm with the constant  $C$  in the quasi-triangle inequality. Then there exists a  $q$ -norm  $\|\cdot\|_q$  for which*

$$\|x\|_q \leq \|x\| \leq 2C\|x\|_q$$

with  $q$  satisfying  $2^{1/q-1} = C$ . This  $q$ -norm can be defined as follows

$$\|x\|_q = \inf \left\{ \left( \sum_{i=1}^n \|x_i\|^q \right)^{1/q} : n > 0, x = \sum_{i=1}^n x_i \right\}.$$

We refer to [KPR] for further properties of the quasi- and  $q$ -norms.

Next, we prove the following theorem.

**Theorem 1** *Let  $\{e_i\}_1^n$  be a unit vector basis in  $\mathbb{R}^n$ ,  $\|\cdot\|_p$  be a  $l_p$ -norm on  $\mathbb{R}^n$ , i.e.  $\|\sum_{i=1}^n a_i e_i\|_p = (\sum_i |a_i|^p)^{1/p}$ , for  $0 < p < \infty$ . Let  $\|\cdot\|$  be a  $q$ -norm on  $\mathbb{R}^n$  such that*

$$C_1^{-1}\|x\|_p \leq \|x\| \leq C_2\|x\|_p \quad (*)$$

for every  $x \in \mathbb{R}^n$ . Then for every  $\varepsilon > 0$  and  $C = C_1 C_2$  there exists a block sequence  $u_1, u_2, \dots, u_m$  of  $e_1, e_2, \dots, e_n$  which satisfies

$$(1 - \varepsilon) \left( \sum_{i=1}^m |a_i|^p \right)^{1/p} \leq \left\| \sum_{i=1}^m a_i u_i \right\| \leq (1 + \varepsilon) \left( \sum_{i=1}^m |a_i|^p \right)^{1/p} \quad (**)$$

for all  $a_1, a_2, \dots, a_m$  and  $m \geq C(\varepsilon, p, q) (n/\log n)^\nu$ , where

$$\nu = \frac{\alpha \varepsilon_0}{\varepsilon_0 + p + \alpha \varepsilon_0}, \text{ for } p < 1 \text{ and } \nu = \frac{\varepsilon_0}{2\varepsilon_0 + 1}, \text{ for } p \geq 1;$$

$$\alpha = \min\{p, q\}, \quad \varepsilon_0 = \left( \frac{q\varepsilon/2}{1 + C^q 12^{q/p}} \right)^{p/q}.$$

**Remark 1.** If  $p \geq 1$  in this theorem, then we have the well-known finite-dimensional version of Krivine's theorem with some modifications concerning change of the usual norm to the  $q$ -norm. In this case for small enough  $q$  we get  $\varepsilon_0 \approx \left(\frac{q\varepsilon}{4}\right)^{p/q}$  and  $\nu \approx \varepsilon_0$ .

The case  $p < 1$  is more interesting. We get an extension of the finite-dimensional version of Krivine's theorem. To provide an intuition for the behavior of the constant in the theorem we point out that for small enough  $p$  and  $q$  with  $p = q$  we can take  $\varepsilon_0 \approx \frac{q\varepsilon}{30}$  and  $\nu \approx \varepsilon_0$ .

**Remark 2.** By Lemma 1 in the case of quasi-norm with the constant  $C_0$  the inequality (\*\*) is substituted with

$$(1 - \varepsilon) \left( \sum_{i=1}^m |a_i|^p \right)^{1/p} \leq \left\| \sum_{i=1}^m a_i u_i \right\| \leq 2(1 + \varepsilon) C_0 \left( \sum_{i=1}^m |a_i|^p \right)^{1/p}.$$

Due to the example above, we can not remove the constant  $C_0$  in this inequality.

The proof of the theorem consists of two lemmas.

**Lemma 2** *For every  $\eta > 0$  there exists a constant  $C(\eta) > 0$  such that if  $\|\cdot\|$  is a  $q$ -norm on  $\mathbb{R}^n$  satisfying (\*) then there exists a block sequence  $y_1, y_2, \dots, y_k$  of  $e_1, e_2, \dots, e_n$  which is  $(1 + \eta)$ -symmetric and  $k \geq C(\eta, q, p) \frac{n}{\log n}$ .*

**Lemma 3** *If  $y_1, y_2, \dots, y_k$  is a 1-symmetric sequence in a normed space satisfying*

$$C_1^{-1} \|a\|_p \leq \left\| \sum_{i=1}^k a_i y_i \right\| \leq C_2 \|a\|_p$$

*for all  $a = (a_1, a_2, \dots, a_k) \in \mathbb{R}^k$  then for every  $\varepsilon > 0$  there exists a block sequence  $u_1, u_2, \dots, u_m$  of  $y_1, y_2, \dots, y_k$  such that*

$$(1 - \varepsilon) \|a\|_p \leq \left\| \sum_{i=1}^m a_i u_i \right\| \leq (1 + \varepsilon) \|a\|_p$$

*for all  $a = (a_1, a_2, \dots, a_m) \in \mathbb{R}^m$ , where  $m \geq C(p, q) \varepsilon^{p/q} k^\nu$ ,  $\nu = \frac{\alpha \varepsilon_0}{\varepsilon_0 + p + \alpha \varepsilon_0}$ , for  $p < 1$  and  $\nu = \frac{\varepsilon_0}{2\varepsilon_0 + 1}$ , for  $p \geq 1$ ,  $\alpha = \min\{p, q\}$ ,  $\varepsilon_0 = \left(\frac{q\varepsilon}{1 + C^q 12^{q/p}}\right)^{p/q}$ .*

At first, D. Amir and V.D. Milman ([AM2], see also [MS]) proved Lemma 2 for  $q = 1$ ,  $p \geq 1$  with the estimate  $k \geq C(\eta, q, p) n^{1/3}$ . Their proof can be modified to obtain result for  $0 < p < \infty$ ,  $q \leq 1$ . Afterwards, W.T. Gowers ([Gow]) showed that the estimate of  $k$  can be improved to  $k \geq C(\eta, q, p) n / \ln n$ . In fact, he gave two different, though similar, proofs for cases  $p = 1$  and  $p > 1$ . The proof given for case  $p = 1$  strongly used the convexity of the norm and the fact that  $p$  is equal to 1. However, the method used for  $p > 1$  actually works for every  $0 < p < \infty$  and even for  $q$ -norms. Let us recall the idea of W.T. Gowers. First we will introduce some definition.

Let  $\Omega$  be the group  $\{-1, 1\}^n \times S_n$ , where  $S_n$  is the permutation group. Let  $\Psi$  be the group  $\{-1, 1\}^k \times S_k$ . For

$$b = \sum_{i=1}^n b_i e_i \in \mathbb{R}^n, \quad a = \sum_{i=1}^k a_i e_i \in \mathbb{R}^k, \quad (\varepsilon, \pi) \in \Omega, \quad (\eta, \sigma) \in \Psi$$

denote

$$b_{\varepsilon\pi} = \sum_{i=1}^n \varepsilon_i b_i e_{\pi(i)}, \quad a_{\eta\sigma} = \sum_{i=1}^k \eta_i a_i e_{\sigma(i)}.$$

Let  $h \cdot k = n$ . For  $i \leq k$ ,  $j \leq h$  put

$$e_{ij} = e_{(i-1)h+j}, \quad \varepsilon_{ij} = \varepsilon_{(i-1)h+j}, \quad \pi_{ij} = \pi((i-1)h+j).$$

Define an action of  $\Psi$  on  $\Omega$  by

$$\Psi_{\eta\sigma}((\varepsilon, \pi)) = (\varepsilon^1, \pi^1), \quad \text{where } \varepsilon_{ij}^1 = \eta_i \varepsilon_{\sigma(i)j}, \quad \pi_{ij}^1 = \pi_{\sigma(i)j}.$$

For any  $(\varepsilon, \pi) \in \Omega$  define the operator

$$\Phi_{\varepsilon\pi} : \mathbb{R}^k \longrightarrow \mathbb{R}^n \quad \text{by} \quad \Phi_{\varepsilon\pi} \left( \sum_{i=1}^k a_i e_i \right) = \sum_{i=1}^k \sum_{j=1}^h \varepsilon_{ij} a_i e_{\pi_{ij}}.$$

For every  $a \in \mathbb{R}^k$  by  $M_a$  denote the median of  $\Phi_{\varepsilon\pi}(a)$  taken over  $\Omega$ . Finally, let  $A = \{a \in l_p^k : \|a\|_p \leq 1, a_1 \geq a_2 \geq \dots \geq a_k \geq 0\}$ .

The following claim, which W.T. Gowers proved for case  $p > 1$  and  $q = 1$ , is the main step in the proof of Lemma 2.

**Claim 1** *Let  $\|\cdot\|$  be a  $q$ -norm on  $\mathbb{R}^n$  satisfying  $\|x\|_p \leq \|x\| \leq B\|x\|_p$ . There is a constant  $C_0 = C(p, q, \delta, B)$  such that given  $\lambda > 0$  for every  $a \in A$*

$$\mathbf{Prob}_{\Omega} \left\{ \exists (\eta, \sigma) : \left| \|\Phi_{\varepsilon\pi}(a_{\eta\sigma})\|^q - M_a^q \right|^{1/q} > \frac{1}{2^{1/q}} \delta \|a\|_p h^{1/p} \right\} < 1/N$$

with  $k = C_0 \frac{n}{\lambda \log n}$  and  $N = k^\lambda$ .

The proof of this claim can be equally well applied for all  $0 < p < \infty$  and  $0 < q \leq 1$ . The only change that we have to do is to replace the triangle inequality

$$|\|x\| - \|y\|| \leq \|x - y\| \quad \text{by} \quad |\|x\|^q - \|y\|^q|^{1/q} \leq \|x - y\|.$$

The following two claims are technical and can be proved using ideas of [Gow] with small changes, connected with replacing  $p \geq 1$  by  $p < 1$  and the norm by  $q$ -norm.

**Claim 2** *Let  $0 < p < \infty$  and  $\delta > 0$ . There exist a constant  $\lambda$ , depending on  $p$  and  $\delta$  only, such that for every integer  $k$  the set  $A$  contains a  $\delta$ -net  $K$  of cardinality  $k^\lambda$ .*

**Claim 3** *Let  $\|\cdot\|$  be a  $q$ -norm on  $\mathbb{R}^n$  satisfying  $\|x\|_p \leq \|x\| \leq B\|x\|_p$ . If there is  $(\varepsilon, \pi) \in \Omega$  such that for every  $a$  in some  $\delta$ -net  $K$  of  $A$*

$$|\|\Phi_{\varepsilon\pi}(a_{\eta\sigma})\|^q - \|\Phi_{\varepsilon\pi}(a_{\eta_1\sigma_1})\|^q|^{1/q} \leq \delta\|a\|_p h^{1/p}$$

for every  $(\eta, \sigma), (\eta_1, \sigma_1) \in \Psi$  then the block basis

$$\{\Phi_{\varepsilon\pi}(e_i)\}_{i=1}^k$$

of  $(\mathbb{R}^k, \|\cdot\|)$  is  $(1 + 6(B\delta)^q)^{1/q}$ -symmetric.

These three claims imply Lemma 2 in the standard way (see [Gow] for the details).

*Proof of Lemma 3:*

Our method of proof is close to the method used in [AM1], but our notation follows that of [MS] (ch. 10).

First, we will give the Krivine's construction of block basis. Let  $a$  and  $N$  be some integers which will be specified later. Let us introduce some set of numbers  $\{\lambda_j\}_J$ . We will say that set

$$\{B_{j,i}\}_{j \in J, i \in I}$$

(if  $\text{card } I = 1$  then we have only one index  $j$ ) is  $\{\lambda_j\}_J$ -set if

1)  $B_{j,i} \subset \{1, \dots, n\}$  for every  $j \in J, i \in I$ ,

- 2)  $B_{j,i}$  are mutually disjoint,  
3)  $\text{card } B_{j,i} = \lambda_j$  for every  $j \in J, i \in I$ .  
Let us fix some  $\{[\rho^j]\}$ -set

$$\{A_{j,s}\}_{0 \leq j \leq N-1, 1 \leq s \leq m}$$

for  $\rho = 1 + 1/a$ .

For  $0 \leq j \leq N-1, 1 \leq s \leq m$  denote

$$Y_{j,s} = \sum_{i \in A_{j,s}} y_i$$

and define

$$z_s = \sum_{j=0}^{N-1} \rho^{(N-j)/p} Y_{j,s}.$$

Clearly,  $\|z_1\| = \|z_2\| = \dots = \|z_m\|$ . The integer  $m$  will be defined from

$$k \approx m \sum_{j=0}^{N-1} [\rho^{(N-j)/p}] \approx m \rho^N (\rho - 1)^{-1} = ma \left( \frac{a+1}{a} \right)^N.$$

Finally, we define the block sequence  $\{u_s\}_{s=1}^m$  by

$$u_s = z_s / \|z_s\|.$$

Now, as in [MS], we will establish the necessary estimates.

Fix  $N, M \in \{T+1, T+2, \dots, m\}$  and  $t_s \in \{0, \dots, T\}$  for  $s \in \{1, \dots, m\}$  such that

$$\sum_{s=1}^M \rho^{-t_s} = 1 + \eta, \quad |\eta| = 1.$$

Then

$$\begin{aligned} & \sum_{s=1}^M \rho^{-t_s/p} z_s = \sum_{s=1}^M \sum_{j=0}^{N-1} \rho^{(N-j-t_s)/p} Y_{j,s} = \\ & = \sum_{i=0}^{N-1+T} \rho^{(N-i)/p} \sum_{s \leq M, j \leq N-1, j+t_s=i} \sum_{l \in A_{j,s}} y_l = \sum_{i=0}^{N-1+T} \rho^{(N-i)/p} \sum_{l \in B_i} y_l \end{aligned}$$

for some  $\{a_i\}$ -set  $\{B_i\}_{i=0}^{N-1+T}$ , where

$$a_i = \sum_{s \leq M, j \leq N-1, j+t_s=i} [\rho^{i-t_s}], \quad 0 \leq i \leq N-1+T.$$

Therefore, we can choose a vector  $z$  which has the same structure as  $z_s$  (i.e.  $z = \sum_{j=0}^{N-1} \rho^{(N-j)/p} \sum_{i \in A_j} y_i$  for some  $\{[\rho^j]\}$ -set  $\{A_j\}_{0 \leq j \leq N-1}$ ) such that the difference  $\Delta$  is

$$\Delta = \sum_{s=1}^M \rho^{-t_s/p} z_s - z = \sum_{s=1}^{N-1} \rho^{(N-i)/p} \sum_{l \in C_i} y_l + \sum_{s=N}^{N-1+T} \rho^{(N-i)/p} \sum_{l \in C_i} y_l$$

for some  $\{b_j\}$ -set  $\{C_j\}_{i=0}^{N-1+T}$ , where

$$b_j = \begin{cases} |[\rho^j - a_j]| & \text{for } 0 \leq j \leq N-1, \\ a_j & \text{for } N \leq j \leq N-1+T. \end{cases}$$

Using technique of [MS] (pp. 66-67) we obtain

$$\|\Delta\| \leq C_2 \rho^{N/p} (4T + N|\eta| + NM\rho^{-T})^{1/p} \quad \text{and} \quad \|z\| \geq (1/C_1) \rho^{N/p} (N/2)^{1/p}.$$

Hence

$$\begin{aligned} & \left| \left\| \sum_{s=1}^M \rho^{-t_s/p} u_s \right\|^q - 1 \right| \leq \left\| \sum_{s=1}^M \rho^{-t_s/p} u_s - \frac{z}{\|z\|} \right\|^q = \\ & = \left( \frac{\|\Delta\|}{\|z\|} \right)^q \leq (C_1 C_2)^q \left( \frac{8T}{N} + 2|\eta| + 2M\rho^{-T} \right)^{q/p}. \end{aligned}$$

Thus

$$\left| \left\| \sum_{s=1}^M \rho^{-t_s/p} u_s \right\|^q - 1 \right| \leq C^q (12\varepsilon_0)^{q/p},$$

provided  $T \leq N\varepsilon_0$ ,  $|\eta| \leq \varepsilon_0$ ,  $M\rho^{-T} \leq m\rho^{-T} \leq \varepsilon_0$ , for some  $\varepsilon_0$ .

Assume  $T = [N\varepsilon_0]$ .

**CASE 1.**  $p < 1$ .

Let  $\sum_{s=1}^m |\alpha_s|^p = 1$  and  $a_s = |\alpha_s|$ . Let  $\alpha = \min\{p, q\}$  and  $\delta = \varepsilon_0^{1/p} / m^{1/\alpha}$ . Take  $\beta_s = \rho^{-t_s/p}$  or  $\beta_s = 0$ ,  $t_s \in \{0, 1, \dots, T\}$  such that  $|a_s - \beta_s| \leq \delta$  for every  $s$ . It is possible if  $\rho^{-T/p} \leq \delta$  and  $1 - \rho^{-1/p} \leq \delta$ . Since  $p \leq 1$  it is enough to take  $a$  such that it satisfies following the inequalities

$$\left( \frac{a}{a+1} \right)^{[N\varepsilon_0]} \leq \delta^p = \frac{\varepsilon_0}{m^{p/\alpha}} \quad \text{and} \quad \delta \geq \frac{1}{p(a+1)}.$$

Take  $a = \left[ \frac{1}{\delta p} \right] = \left[ \frac{m^{1/\alpha}}{p\varepsilon_0^{1/p}} \right]$ . Thus  $\delta \geq \frac{1}{p(a+1)}$ ,

$$\left| \sum \rho^{-t_s} - 1 \right| = \left| \sum \beta_s^p - 1 \right| \leq \left| \sum (a_s + \delta)^p - 1 \right| \leq$$

$$\leq \left| \sum (a_s^p + \delta^p) - 1 \right| = \delta^p m \leq \varepsilon_0,$$

and

$$\begin{aligned} \left| \left\| \sum_{s=1}^m \beta_s u_s \right\|^q - \left\| \sum_{s=1}^m \alpha_s u_s \right\|^q \right| &\leq \left\| \sum_{s=1}^m |\beta_s - \alpha_s| u_s \right\|^q \leq \\ &\leq \delta^q \left\| \sum_{s=1}^m u_s \right\|^q \leq \delta^q m \leq \varepsilon_0^{q/p}. \end{aligned}$$

Hence

$$\left| \left\| \sum_{s=1}^m \alpha_s u_s \right\|^q - 1 \right| \leq \varepsilon_0^{q/p} (1 + C^q 12^{q/p}),$$

if  $m^{p/\alpha} \leq \varepsilon_0 \left(\frac{1+a}{a}\right)^{[N\varepsilon_0]}$  and  $ma \left(\frac{1+a}{a}\right)^N \leq k$ , when  $a = \left[\frac{m^{1/\alpha}}{p\varepsilon_0^{1/p}}\right]$ . Choose  $N$  such that  $\left(\frac{a}{1+a}\right)^{N\varepsilon_0}$  is of the order  $\varepsilon_0/m^{p/\alpha}$ . Then

$$m \frac{m^{1/\alpha}}{p\varepsilon_0^{1/p}} \left(\frac{m^{p/\alpha}}{\varepsilon_0}\right)^{1/\varepsilon_0} = \frac{m^{1+1/\alpha+p/(\alpha\varepsilon_0)}}{\varepsilon_0^{1/p} p\varepsilon_0^{1/\varepsilon_0}} \sim k.$$

Thus, since  $1/\alpha \geq \max\{1/p, 1/q\}$ ,

$$m \sim \varepsilon_0 (pk)^{\frac{\alpha\varepsilon_0}{\varepsilon_0+p+\alpha\varepsilon_0}} \sim \varepsilon_0 k^{\frac{\alpha\varepsilon_0}{\varepsilon_0+p+\alpha\varepsilon_0}}$$

and for  $\varepsilon_1 = \varepsilon_0^{q/p} (1 + c^q 12^{q/p})$

$$(1 - \varepsilon_1)^{1/q} \|(\alpha_s)\|_p \leq \left\| \sum \alpha_s u_s \right\| \leq (1 + \varepsilon_1)^{1/q} \|(\alpha_s)\|_p$$

holds. For  $\varepsilon_1$  small enough ( $\varepsilon_1 < 2^q - 1$ ) we obtain  $1 - \varepsilon_1/q \leq (1 - \varepsilon_1)^{1/q}$  and  $1 + 2\varepsilon_1/q \geq (1 + \varepsilon_1)^{1/q}$ . Take  $\varepsilon = 2\varepsilon_1/q$ , then

$$\varepsilon_0 = \left( \frac{q\varepsilon/2}{1 + C^q 12^{q/p}} \right)^{p/q}$$

and

$$m \geq C(p, q) \varepsilon^{p/q} k^{\frac{\alpha\varepsilon_0}{\varepsilon_0+p+\alpha\varepsilon_0}}.$$

**CASE 2.**  $p \geq 1$ .

We use the same idea. Let  $\sum_{s=1}^m |\alpha_s|^p = 1$  and  $a_s = |\alpha_s|$ . Let  $\delta = \varepsilon_0/(C^p m)$ . Take  $\beta_s = \rho^{-t_s/p}$  or  $\beta_s = 0$ ,  $t_s \in \{0, 1, \dots, T\}$  such that  $|a_s^p - \beta_s^p| \leq$

$\delta$  for every  $s$ . It is possible if  $\rho^{-T} \leq \delta$  and  $1 - \rho^{-1} \leq \delta$ . These two conditions are met if

$$\left(\frac{a}{a+1}\right)^{[N\varepsilon_0]} \leq \delta = \frac{\varepsilon_0}{C^p m} \quad \text{and} \quad \delta \geq \frac{1}{a+1}.$$

Take  $a = \left\lfloor \frac{1}{\delta} \right\rfloor = \left\lfloor \frac{C^p m}{\varepsilon_0} \right\rfloor$ . Thus

$$\left| \sum \rho^{-t_s} - 1 \right| = \left| \sum \beta_s^p - 1 \right| \leq \left| \sum (a_s^p + \delta) - 1 \right| = \delta m \leq \varepsilon_0.$$

Since

$$\left\| \sum_{s=1}^m u_s \right\| \leq C_1 C_2 \frac{\left\| \sum_{s=1}^m u_s \right\|_p}{\|z\|_p} \leq C_1 C_2 \left( \frac{m \sum \rho^{N-j} [\rho^j]}{\|z\|_p^p} \right)^{1/p} = C m^{1/p}$$

and

$$|\beta_s - a_s| \leq |\beta_s^p - a_s^p|^{1/p} \leq \delta^{1/p},$$

we obtain

$$\begin{aligned} \left| \left\| \sum_{s=1}^m \beta_s u_s \right\|^q - \left\| \sum_{s=1}^m \alpha_s u_s \right\|^q \right| &\leq \left\| \sum_{s=1}^m |\beta_s - \alpha_s| |u_s| \right\|^q \leq \\ &\leq \delta^{q/p} \left\| \sum_{s=1}^m u_s \right\|^q \leq \delta^{q/p} C^q m^{q/p} \leq \varepsilon_0^{q/p}. \end{aligned}$$

Hence

$$\left| \left\| \sum_{s=1}^m \alpha_s u_s \right\|^q - 1 \right| \leq \varepsilon_0^{q/p} (1 + C^q 12^{q/p}),$$

if  $m \leq \frac{\varepsilon_0}{C^p} \left(\frac{1+a}{a}\right)^{[N\varepsilon_0]}$  and  $ma \left(\frac{1+a}{a}\right)^N \leq k$ , when  $a = \left\lfloor \frac{C^p m}{\varepsilon_0} \right\rfloor$ . Choose  $N$  such that  $\left(\frac{a}{1+a}\right)^{N\varepsilon_0}$  is of the order  $\varepsilon_0/(C^p m)$ . Then

$$m \frac{C^p m}{\varepsilon_0} \left(\frac{C^p m}{\varepsilon_0}\right)^{1/\varepsilon_0} = \left(\frac{C^p}{\varepsilon_0}\right)^{1+1/\varepsilon_0} m^{2+1/\varepsilon_0} \sim k.$$

Thus

$$m \geq \frac{\varepsilon_0}{C^p} k^{\frac{\varepsilon_0}{2\varepsilon_0+1}}$$

and for  $\varepsilon_1 = \varepsilon_0^{q/p} (1 + C^q 12^{q/p})$

$$(1 - \varepsilon_1)^{1/q} \|(\alpha_s)\|_p \leq \left\| \sum \alpha_s u_s \right\| \leq (1 + \varepsilon_1)^{1/q} \|(\alpha_s)\|_p$$

holds. For  $\varepsilon_1$  small enough ( $\varepsilon_1 < 2^q - 1$ ) we obtain  $1 - \varepsilon_1/q \leq (1 - \varepsilon_1)^{1/q}$  and  $1 + 2\varepsilon_1/q \geq (1 + \varepsilon_1)^{1/q}$ . Take  $\varepsilon = 2\varepsilon_1/q$ , then

$$\varepsilon_0 = \left( \frac{q\varepsilon/2}{1 + C^q 12^{q/p}} \right)^{p/q}$$

and

$$m \geq C(p, q) \varepsilon^{p/q} k^{\frac{\varepsilon_0}{2\varepsilon_0+1}}.$$

□

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