

REVIEW FOR MIDTERM 2: THEORY OF SURFACES

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Warning.

This review only covers the basics. You should also go over the notes for lectures 8–15 as well as the sections in the textbook as listed on the course website.

- Let S be a surface parametrized by $\sigma(u, v)$.
- $p = \sigma(u_0, v_0) \in S$.

1. Measurement on surfaces

1.1. First fundamental form

- First fundamental form: $w, \tilde{w} \in T_p S$, then

$$\langle w, \tilde{w} \rangle_{p,S} := w \cdot \tilde{w} \tag{1}$$

where the inner product on the right hand side is the inner product in \mathbb{R}^3 .

- Restricted to $T_p S$ (forget about the ambient \mathbb{R}^3), $w = \alpha \sigma_u + \beta \sigma_v, \tilde{w} = \tilde{\alpha} \sigma_u + \tilde{\beta} \sigma_v$, then

$$\langle w, \tilde{w} \rangle_{p,S} = \mathbb{E}(u_0, v_0) \alpha \tilde{\alpha} + \mathbb{F}(u_0, v_0) (\alpha \tilde{\beta} + \tilde{\alpha} \beta) + \mathbb{G}(u_0, v_0) \beta \tilde{\beta}. \tag{2}$$

- Alternative notation of first fundamental form.

$$\mathbb{E}(u, v) du^2 + 2 \mathbb{F}(u, v) du dv + \mathbb{G}(u, v) dv^2. \tag{3}$$

- Calculation.

$$\mathbb{E}(u, v) := \|\sigma_u(u, v)\|^2, \tag{4}$$

$$\mathbb{F}(u, v) := \sigma_u(u, v) \cdot \sigma_v(u, v), \tag{5}$$

$$\mathbb{G}(u, v) := \|\sigma_v(u, v)\|^2. \tag{6}$$

1.2. Measurement using the first fundamental form

- Arc length for the curve $x(t) := \sigma(u(t), v(t))$ from $t = a$ to $t = b$.

$$L = \int_a^b \sqrt{\mathbb{E}(x(t)) u'(t)^2 + 2 \mathbb{F}(x(t)) u'(t) v'(t) + \mathbb{G}(x(t)) v'(t)^2} dt. \tag{7}$$

- Angle between $x_1(t) := \sigma(u_1(t), v_1(t))$ and $x_2(t) := \sigma(u_2(t), v_2(t))$. Assume the two curves intersect at $p = \sigma(u_0, v_0) = x_1(t_1) = x_2(t_2)$.

$$\cos \theta = \frac{\mathbb{E} u'_1(t_1) u'_2(t_2) + \mathbb{F} (u'_1(t_1) v'_2(t_2) + u'_2(t_1) v'_1(t_2)) + \mathbb{G} v'_1(t_1) v'_2(t_2)}{\sqrt{\mathbb{E} u'_1(t_1)^2 + 2 \mathbb{F} u'_1(t_1) v'_1(t_1) + \mathbb{G} v'_1(t_1)^2} \sqrt{\mathbb{E} u'_2(t_2)^2 + 2 \mathbb{F} u'_2(t_2) v'_2(t_2) + \mathbb{G} v'_2(t_2)^2}}. \tag{8}$$

Here $\mathbb{E} = \mathbb{E}(u_0, v_0), \mathbb{F} = \mathbb{F}(u_0, v_0), \mathbb{G} = \mathbb{G}(u_0, v_0)$.

- Area of $\sigma(U)$.

$$\int_U \sqrt{\mathbb{E}(\sigma(u, v)) \mathbb{G}(\sigma(u, v)) - \mathbb{F}(\sigma(u, v))^2} du dv. \tag{9}$$

2. Curvatures

2.1. Second fundamental form

- Second fundamental form: $w, \tilde{w} \in T_p S$, $w = \alpha \sigma_u + \beta \sigma_v$, $\tilde{w} = \tilde{\alpha} \sigma_u + \tilde{\beta} \sigma_v$, then we define the second fundamental form as

$$\langle\langle w, \tilde{w} \rangle\rangle_{p,S} = \mathbb{L}(u_0, v_0) \alpha \tilde{\alpha} + 2 \mathbb{M}(u_0, v_0) (\alpha \tilde{\beta} + \tilde{\alpha} \beta) + \mathbb{N}(u_0, v_0) \beta \tilde{\beta}. \quad (10)$$

Alternative notation:

$$\mathbb{L}(u, v) du^2 + 2 \mathbb{M}(u, v) du dv + \mathbb{N}(u, v) dv^2. \quad (11)$$

- Geometric meaning.
 - Gauss map.

$$p \in S \mapsto \mathcal{G}(p) \text{ the unit normal at } p. \quad (12)$$

Alternatively,

$$\mathcal{G}(\sigma(u, v)) = N(u, v). \quad (13)$$

- Weingarten map.

$$\mathcal{W}_{p,S} := -D\mathcal{G}. \quad (14)$$

More specifically,

$$\mathcal{W}(\alpha \sigma_u + \beta \sigma_v) = -\alpha N_u - \beta N_v. \quad (15)$$

- Calculation. Let N be the unit normal of S at p .

$$\mathbb{L} := \sigma_{uu} \cdot N = -\sigma_u \cdot N_u, \quad (16)$$

$$\mathbb{M} := \sigma_{uv} \cdot N = -\sigma_u \cdot N_v = -\sigma_v \cdot N_u, \quad (17)$$

$$\mathbb{N} := \sigma_{vv} \cdot N = -\sigma_v \cdot N_v. \quad (18)$$

- Relation to the first fundamental form.

- Abstract:

$$\langle\langle w, \tilde{w} \rangle\rangle_{p,S} = \langle \mathcal{W}_{p,S}(w), \tilde{w} \rangle_{p,S} = \langle w, \mathcal{W}_{p,S}(\tilde{w}) \rangle_{p,S}. \quad (19)$$

- Concrete:

$$-N_u = a_{11} \sigma_u + a_{12} \sigma_v, \quad -N_v = a_{21} \sigma_u + a_{22} \sigma_v, \quad (20)$$

$$\begin{pmatrix} a_{11} & a_{21} \\ a_{12} & a_{22} \end{pmatrix} = \begin{pmatrix} \mathbb{E} & \mathbb{F} \\ \mathbb{F} & \mathbb{G} \end{pmatrix}^{-1} \begin{pmatrix} \mathbb{L} & \mathbb{M} \\ \mathbb{M} & \mathbb{N} \end{pmatrix}. \quad (21)$$

- What is $\begin{pmatrix} a_{11} & a_{21} \\ a_{12} & a_{22} \end{pmatrix}$. We have

$$\begin{aligned} \mathcal{W}_{p,S}(\alpha \sigma_u + \beta \sigma_v) &= -\alpha N_u - \beta N_v \\ &= \alpha (a_{11} \sigma_u + a_{12} \sigma_v) + \beta (a_{21} \sigma_u + a_{22} \sigma_v) \\ &= (a_{11} \alpha + a_{21} \beta) \sigma_u + (a_{12} \alpha + a_{22} \beta) \sigma_v. \end{aligned} \quad (22)$$

Thus we see that

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} \mapsto \begin{pmatrix} a_{11} & a_{21} \\ a_{12} & a_{22} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix}. \quad (23)$$

So $\begin{pmatrix} a_{11} & a_{21} \\ a_{12} & a_{22} \end{pmatrix}$ is the matrix representation of the Weingarten map with respect to the basis $\{\sigma_u, \sigma_v\}$.

2.2. Curvatures

- Normal curvature. $w \in T_p S$.
 - Curvature of the intersection between S and the plane spanned by $\{w, N\}$.
 - Calculation of the normal curvature of S at p along w is

$$\kappa_n(w) = \frac{\langle \langle w, w \rangle \rangle_{p,S}}{\langle w, w \rangle_{p,S}}. \quad (24)$$

- Principal curvatures.
 - κ_1 : maximal normal curvature; κ_2 : minimal normal curvature.
 - Principal directions: $t_1 \perp t_2$.
 - $(\kappa_1, t_1), (\kappa_2, t_2)$: (Eigenvalue, eigenvector) of $\mathcal{W}_{p,S}$.
 - Calculation.

$$\det \begin{pmatrix} \mathbb{L} - \kappa_i \mathbb{E} & \mathbb{M} - \kappa_i \mathbb{F} \\ \mathbb{M} - \kappa_i \mathbb{F} & \mathbb{N} - \kappa_i \mathbb{G} \end{pmatrix} = 0, \quad (25)$$

$$\left[\begin{pmatrix} \mathbb{L} & \mathbb{M} \\ \mathbb{M} & \mathbb{N} \end{pmatrix} - \kappa_i \begin{pmatrix} \mathbb{E} & \mathbb{F} \\ \mathbb{F} & \mathbb{G} \end{pmatrix} \right] \begin{pmatrix} a_i \\ b_i \end{pmatrix} = 0, \quad (26)$$

$$t_i = a_i \sigma_u + b_i \sigma_v. \quad (27)$$

- Mean curvature.
 - Average of κ_n over all directions. $H = \frac{1}{2\pi} \int_0^{2\pi} \kappa_n(\theta) d\theta$.
 - Calculation.

$$H = \frac{\mathbb{E}\mathbb{N} + \mathbb{L}\mathbb{G} - 2\mathbb{M}\mathbb{F}}{2(\mathbb{E}\mathbb{G} - \mathbb{F}^2)} = \text{Tr} \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}. \quad (28)$$

- Gaussian curvature.

$$K = \lim_{r \rightarrow 0} \frac{\text{Area of } N(B_r)}{\text{Area of } \sigma(B_r)} = \frac{\mathbb{L}\mathbb{N} - \mathbb{M}^2}{\mathbb{E}\mathbb{G} - \mathbb{F}^2} = \det \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}. \quad (29)$$

- Relations between curvatures.

$$H = \frac{\kappa_1 + \kappa_2}{2}, \quad K = \kappa_1 \kappa_2, \quad \kappa_{1,2} = \frac{H \pm \sqrt{H^2 - 4K}}{2}. \quad (30)$$

$$\kappa_n((\cos \theta) t_1 + (\sin \theta) t_2) = \kappa_1 \cos^2 \theta + \kappa_2 \sin^2 \theta. \quad (31)$$

2.3. Geodesics

- A parametrized curve $x(t)$ on the surface S is called a geodesic if $\nabla_\gamma x'(t) = 0$.

- Geodesic equations: $x(t) = \sigma(u(t), v(t))$.

$$\begin{aligned} (\mathbb{E} u' + \mathbb{F} v')' &= (x'(t) \cdot \sigma_u)' = \frac{1}{2} (u' \ v') \begin{pmatrix} \mathbb{E} & \mathbb{F} \\ \mathbb{F} & \mathbb{G} \end{pmatrix}_u \begin{pmatrix} u' \\ v' \end{pmatrix}, \\ (\mathbb{F} u' + \mathbb{G} v')' &= (x'(t) \cdot \sigma_v)' = \frac{1}{2} (u' \ v') \begin{pmatrix} \mathbb{E} & \mathbb{F} \\ \mathbb{F} & \mathbb{G} \end{pmatrix}_v \begin{pmatrix} u' \\ v' \end{pmatrix}. \end{aligned} \tag{32}$$

Alternative formulation.

$$u'' + (u' \ v') \begin{pmatrix} \Gamma_{11}^1 & \Gamma_{12}^1 \\ \Gamma_{12}^1 & \Gamma_{22}^1 \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix} = 0, \tag{33}$$

and

$$v'' + (u' \ v') \begin{pmatrix} \Gamma_{11}^2 & \Gamma_{12}^2 \\ \Gamma_{12}^2 & \Gamma_{22}^2 \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix} = 0. \tag{34}$$

- Christoffel symbols.

$$\begin{aligned} \sigma_{uu} &= \Gamma_{11}^1 \sigma_u + \Gamma_{11}^2 \sigma_v + \mathbb{L} N, \\ \sigma_{uv} &= \Gamma_{12}^1 \sigma_u + \Gamma_{12}^2 \sigma_v + \mathbb{M} N, \\ \sigma_{vv} &= \Gamma_{22}^1 \sigma_u + \Gamma_{22}^2 \sigma_v + \mathbb{N} N. \end{aligned} \tag{35}$$

- Make sure you know how to solve (35) to obtain

$$\begin{aligned} \Gamma_{11}^1 &= \frac{\mathbb{G} \mathbb{E}_u - 2 \mathbb{F} \mathbb{F}_u + \mathbb{F} \mathbb{E}_v}{2(\mathbb{E} \mathbb{G} - \mathbb{F}^2)}, & \Gamma_{11}^2 &= \frac{2 \mathbb{E} \mathbb{F}_u - \mathbb{E} \mathbb{E}_v + \mathbb{F} \mathbb{E}_u}{2(\mathbb{E} \mathbb{G} - \mathbb{F}^2)}, \\ \Gamma_{12}^1 &= \frac{\mathbb{G} \mathbb{E}_v - \mathbb{F} \mathbb{G}_u}{2(\mathbb{E} \mathbb{G} - \mathbb{F}^2)}, & \Gamma_{12}^2 &= \frac{\mathbb{E} \mathbb{G}_u - \mathbb{F} \mathbb{E}_v}{2(\mathbb{E} \mathbb{G} - \mathbb{F}^2)}, \\ \Gamma_{22}^1 &= \frac{2 \mathbb{G} \mathbb{F}_v - \mathbb{G} \mathbb{G}_u - \mathbb{F} \mathbb{G}_v}{2(\mathbb{E} \mathbb{G} - \mathbb{F}^2)}, & \Gamma_{22}^2 &= \frac{\mathbb{E} \mathbb{G}_v - 2 \mathbb{F} \mathbb{F}_v + \mathbb{F} \mathbb{G}_u}{2(\mathbb{E} \mathbb{G} - \mathbb{F}^2)}. \end{aligned} \tag{36}$$

2.4. Parallel transport

- Covariant derivative.

$$\nabla_\gamma w = w' - (w' \cdot N) N. \tag{37}$$

Projection of w' onto $T_p S$.

- $w(t) = \alpha(t) \sigma_u + \beta(t) \sigma_v$ is parallel along $x(t)$:

$$\alpha' + \left[\begin{pmatrix} \Gamma_{11}^1 & \Gamma_{12}^1 \\ \Gamma_{12}^1 & \Gamma_{22}^1 \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix} \right] \cdot \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = 0, \tag{38}$$

$$\beta' + \left[\begin{pmatrix} \Gamma_{11}^2 & \Gamma_{12}^2 \\ \Gamma_{12}^2 & \Gamma_{22}^2 \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix} \right] \cdot \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = 0. \tag{39}$$

3. An Example.

Consider the surface patch $\sigma(u, v) := (u \cos v, u \sin v, u)$ defined on $u > 0, 0 < v < 2\pi$. We try to understand as much of this surface as possible.

- What does this surface look like?

Cone.

b) First fundamental form.

We calculate

$$\sigma_u = (\cos v, \sin v, 1), \quad \sigma_v = (-u \sin v, u \cos v, 0). \quad (40)$$

Therefore

$$\mathbb{E} = 2, \quad \mathbb{F} = 0, \quad \mathbb{G} = u^2. \quad (41)$$

c) Measurements.

- Arc length of the curve $u = 1, v \in (0, 2\pi)$.

1. First parametrize: $u(t) = 1, v(t) = t$.

2. Calculate

$$\begin{aligned} L &= \int_0^{2\pi} \sqrt{\mathbb{E}(u')^2 + 2\mathbb{F}u'v' + \mathbb{G}(v')^2} dt \\ &= \int_0^{2\pi} \sqrt{2 \cdot 0^2 + 2 \cdot 0 \cdot 0 \cdot 1 + 1^2 \cdot 1^2} dt = 2\pi. \end{aligned} \quad (42)$$

- Angle between $u = 1$ and $v = \pi$.

1. First parametrize:

○ $x(t): u = 1: u(t) = 1, v(t) = t;$

○ $\tilde{x}(\tilde{t}): v = \pi: \tilde{u}(\tilde{t}) = \tilde{t}, \tilde{v}(\tilde{t}) = \pi.$

2. Find intersection point.

○ The point is $u = 1, v = \pi$.

○ On $x(t)$ it is at $t_0 = \pi;$

○ On $\tilde{x}(\tilde{t})$ it is at $\tilde{t}_0 = 1.$

3. Calculate first fundamental form.

At $u = 1, v = \pi$, we have $\mathbb{E} = 2, \mathbb{F} = 0, \mathbb{G} = 1.$

4. Calculate the angle.

$$u'(t_0) = 0, \quad v'(t_0) = 1; \quad \tilde{u}'(\tilde{t}_0) = 1, \quad \tilde{v}'(\tilde{t}_0) = 1. \quad (43)$$

$$\cos \theta = 0 \implies \theta = \frac{\pi}{2}. \quad (44)$$

- Area for $U = \{0 < u < 1, 0 < v < 2\pi\}$.

$$\begin{aligned} A &= \int_U \sqrt{\mathbb{E}\mathbb{G} - \mathbb{F}^2} du dv \\ &= \int_U \sqrt{2} |u| du dv \\ &= \int_0^{2\pi} \left[\int_0^1 \sqrt{2} u du \right] dv = \sqrt{2} \pi. \end{aligned} \quad (45)$$

d) Second fundamental form.

- Calculate $N(u, v)$.

$$N(u, v) = \frac{\sigma_u \times \sigma_v}{\|\sigma_u \times \sigma_v\|} = \frac{(-\cos v, -\sin v, 1)}{\sqrt{2}}. \quad (46)$$

- Calculate $\sigma_{uu}, \sigma_{uv}, \sigma_{vv}$.

$$\sigma_{uu} = (0, 0, 0); \quad \sigma_{uv} = (-\sin v, \cos v, 0); \quad \sigma_{vv} = (-u \cos v, -u \sin v, 0). \quad (47)$$

- Therefore

$$\mathbb{L} = 0; \quad \mathbb{M} = 0; \quad \mathbb{N} = \frac{u}{\sqrt{2}}. \quad (48)$$

e) Normal curvature along $w = \alpha \sigma_u + \beta \sigma_v$.

$$\kappa_n(w) = \frac{\mathbb{L} \alpha^2 + 2 \mathbb{M} \alpha \beta + \mathbb{N} \beta^2}{\mathbb{E} \alpha^2 + 2 \mathbb{F} \alpha \beta + \mathbb{G} \beta^2} = \frac{1}{\sqrt{2}} \frac{u \beta^2}{2 \alpha^2 + u^2 \beta^2}. \quad (49)$$

f) Principal curvatures and principal directions.

- $\kappa_{1,2}$:

$$\det \begin{pmatrix} \mathbb{L} - \kappa \mathbb{E} & \mathbb{M} - \kappa \mathbb{F} \\ \mathbb{M} - \kappa \mathbb{F} & \mathbb{N} - \kappa \mathbb{G} \end{pmatrix} = 2 \kappa \left(u^2 \kappa - \frac{u}{\sqrt{2}} \right). \quad (50)$$

Therefore

$$\kappa_1 = \frac{1}{\sqrt{2} u}, \quad \kappa_2 = 0. \quad (51)$$

- $t_{1,2}$: Solving

$$\left[\begin{pmatrix} \mathbb{L} & \mathbb{M} \\ \mathbb{M} & \mathbb{N} \end{pmatrix} - \kappa_i \begin{pmatrix} \mathbb{E} & \mathbb{F} \\ \mathbb{F} & \mathbb{G} \end{pmatrix} \right] \begin{pmatrix} a_i \\ b_i \end{pmatrix} = 0 \quad (52)$$

we obtain

$$\begin{pmatrix} a_1 \\ b_1 \end{pmatrix} \parallel \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad \begin{pmatrix} a_2 \\ b_2 \end{pmatrix} \parallel \begin{pmatrix} 1 \\ 0 \end{pmatrix}. \quad (53)$$

Thus

$$t_1 \parallel \sigma_v, \quad t_2 \parallel \sigma_u. \quad (54)$$

We can solve

$$t_1 = (-\sin v, \cos v, 0), \quad t_2 = \frac{1}{\sqrt{2}} (\cos v, \sin v, 1). \quad (55)$$

g) Mean and Gaussian curvatures.

- Mean curvature.

$$H = \frac{\kappa_1 + \kappa_2}{2} = \frac{1}{2 \sqrt{2} u}. \quad (56)$$

- Gaussian curvature.

$$K = \kappa_1 \kappa_2 = 0. \quad (57)$$

h) Christoffel symbols. Recall

$$\begin{aligned}\sigma_{uu} &= \Gamma_{11}^1 \sigma_u + \Gamma_{11}^2 \sigma_v + \mathbb{L} N, \\ \sigma_{uv} &= \Gamma_{12}^1 \sigma_u + \Gamma_{12}^2 \sigma_v + \mathbb{M} N, \\ \sigma_{vv} &= \Gamma_{22}^1 \sigma_u + \Gamma_{22}^2 \sigma_v + \mathbb{N} N.\end{aligned}\tag{58}$$

- $\Gamma_{11}^1, \Gamma_{11}^2$. We have

$$\begin{aligned}0 = \sigma_{uu} \cdot \sigma_u &= \mathbb{E} \Gamma_{11}^1 + \mathbb{F} \Gamma_{11}^2 = 2 \Gamma_{11}^1, \\ 0 = \sigma_{uu} \cdot \sigma_v &= \mathbb{F} \Gamma_{11}^1 + \mathbb{G} \Gamma_{11}^2 = u^2 \Gamma_{11}^2.\end{aligned}\tag{59}$$

Thus $\Gamma_{11}^1 = \Gamma_{11}^2 = 0$.

- Similarly, solve the other four.

$$\Gamma_{12}^1 = 0, \quad \Gamma_{12}^2 = \frac{1}{u}, \quad \Gamma_{22}^1 = -\frac{u}{2}, \quad \Gamma_{22}^2 = 0.\tag{60}$$

i) Geodesics. Recall the geodesics equations:

$$u'' + \begin{pmatrix} u' & v' \end{pmatrix} \begin{pmatrix} \Gamma_{11}^1 & \Gamma_{12}^1 \\ \Gamma_{12}^1 & \Gamma_{22}^1 \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix} = 0,\tag{61}$$

and

$$v'' + \begin{pmatrix} u' & v' \end{pmatrix} \begin{pmatrix} \Gamma_{11}^2 & \Gamma_{12}^2 \\ \Gamma_{12}^2 & \Gamma_{22}^2 \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix} = 0.\tag{62}$$

They become

$$u'' - \frac{u}{2} (v')^2 = 0, \quad v'' + \frac{2u'v'}{u} = 0.\tag{63}$$

We see that $v = \text{constant}$ are geodesics but $u = \text{constant}$ are not.

Exercise 1. If we substitute $u = v = t$ into (63), we see that the equations are not satisfied. Does this mean $u = v$ is not a geodesic? If not, how should we check whether $u = v$ is a geodesic?