

# Convergence of symmetrization processes

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joint research with R.J. Gardner and P. Gronchi

# Introduction

Let

- $H$  be an hyperplane
- $\diamond_H$  be Steiner or Minkowski symmetrization wrt  $H$

It is well known that there exist sequences  $(H_m)$  of hyperplanes such that for each convex body  $K$

$$(\diamond_{H_m} \diamond_{H_{m-1}} \cdots \diamond_{H_1} K) \rightarrow \text{ball.}$$

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- type of symmetrization  $\diamond_H$
- sequence of subspaces  $(H_m)$  (and their dimension)
- class of subset of  $\mathbb{R}^n$  on which the symmetrization operates

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Interested in studying this process for different symmetrizations, classes of sets and to understand more about which sequences are "rounding"

# 1) $i$ -symmetrizations and classes of sets

Let  $i \in \mathbb{N}$ ,  $1 \leq i \leq n-1$  and let  $H \subset \mathbb{R}^n$  be linear subspace of **dimension  $i$** .

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## $i$ -symmetrization

A map  $\diamond_H : \mathcal{E} \rightarrow \mathcal{E}_H$

where

- $\mathcal{E} = \{\text{convex bodies}\}$  or  $\mathcal{E} = \{\text{compact sets}\}$ ,
- $\mathcal{E}_H = \{\text{elements of } \mathcal{E} \text{ which are symmetric wrt } H\}$

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- $\mathcal{E}$  **which symmetries**

Let  $R_H$  denote **reflection wrt  $H$**

- $K$  is **reflection** symmetric wrt  $H$  if

$$R_H K = K$$

- $K$  is **rotationally** symmetric wrt  $H$  if  $\forall x \in H$

$$K \cap (H^\perp + x) = (n-i)\text{-dimensional ball centred at } x$$



## 2) *universal* sequences

Coupiér, Davydov (2014)

$(H_m)$  is called  $\diamond$ -*universal sequence* in the class  $\mathcal{E}$  if

$$\forall K \in \mathcal{E}, \quad \forall j \in \mathbb{N} \quad (\diamond_{H_m} \diamond_{H_{m-1}} \dots \diamond_{H_j} K) \rightarrow \text{ball},$$

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We will deal only with universal sequences

- results of probabilistic type: Mani-Levitska, Volčič, Van Shaftingen, Fortier, Burchard, Coupier and Davidov

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- speed of convergence to sphere: Bourgain, Lindestrauss, Milman, Klartag, Florentin and Segal

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- ▶ Fiber **symm.**  $F_H K = \bigcup_{x \in H} \left( \frac{1}{2}(K \cap (H^\perp + x)) + \frac{1}{2}R_H(K \cap (H^\perp + x)) \right)$

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- ▶ Schwarz **symm.**  $S_H K$ : sections of  $K$  orthogonal to  $H$  are transformed in balls centered in  $H$  and with same  $(n - i)$ -volume

- ▶ Minkowski-Blaschke **symm.**  $\overline{M}_H K$ :

$$h_{\overline{M}_H K}(u) = \frac{1}{\mathcal{H}^{n-i}(S^{n-1} \cap (H^\perp + u))} \int_{S^{n-1} \cap (H^\perp + u)} h_K(v) dv$$

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- many more examples:  $i$ -symmetrization is a very general definition




# A negative example

Let  $\diamond = \text{Steiner}$ .

There exists a convex body  $K \subset \mathbb{R}^2$  and a sequence  $(H_m)$  of lines, **dense in  $S^1$** , such that

$(\diamond_{H_m} \diamond_{H_{m-1}} \dots \diamond_{H_1} K)$  does not converge at all,

 Bianchi, Klain, Lutwak, Yang and Zhang (2011)

universal sequences are indeed universal

## Couplier and Davidov (2014)

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### Theorem

Let  $1 \leq i \leq n - 1$  and let the class be  $\{\text{convex bodies}\}$ . Then:

- A sequence is Minkowski-universal if and only if it is Fiber-universal
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## Theorem

Let  $1 \leq i \leq n - 1$  and let the class be {convex bodies}.

Let  $\diamond_H$  be a  $i$ -symmetrization (with reflection symmetry) which is

- monotone wrt inclusion,
- identity on sets which are already  $H$ -symmetric,
- invariant wrt translations orthogonal to  $H$  of  $H$ -symmetric sets

Then, a sequence is  $\diamond$ -universal if and only if it is Minkowski-universal

Is it more difficult to "round" compact sets than convex bodies?

# Rounding compact sets

## Compact sets need not become convex

There exist compact set  $C \subset \mathbb{R}^2$  and a sequence  $(H_m)$  of lines "very close to being dense in  $S^1$ " such that

$$(\diamond_{H_m} \diamond_{H_{m-1}} \dots \diamond_{H_1} C) \rightarrow \text{a non-convex set.}$$



Bianchi, Burchard, Gronchi and Volcic (2012)

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## Theorem

Let  $1 \leq i \leq n - 1$  and let  $\diamond$  be Steiner or Minkowski or Schwarz.

A sequence is  $\diamond$ -universal in {compact sets} IFF it is  $\diamond$ -universal in {convex bodies}



**constructing universal sequence / how to generate  $O(n)$  via finitely many  $i$ -reflections**

# sequences built from a finite alphabet

- Assume that each subspace in the sequence  $(H_m)$  belongs to a finite set of subspaces  $\mathcal{F} = \{F_1, \dots, F_j\}$

Example:  $(H_m) = F_3, F_3, F_1, F_4, F_2, F_3, F_1, F_3, F_1, F_1, F_4, \dots$

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## Klain (2012)

Let  $\diamond$  be Steiner and let  $K$  be a convex body.

The sequence  $(\diamond_{H_m} \diamond_{H_{m-1}} \dots \diamond_{H_1} K)$  always converges.

The limit body is symmetric wrt each  $F_r$  which appears infinitely many times in  $(H_m)$ .

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 Bianchi, Burchard, Gronchi and Volcic (2013),

Result extended to Minkowski symmetrization and to compact sets

## Theorem

Same conclusion hold for all 5 symmetrizations: Fiber, Schwarz and Minkowski-Blaschke

# sequences built from a finite alphabet 2

## Theorem

Same conclusion hold for all 5 symmetrizations: Fiber, Schwarz and Minkowski-Blaschke

## Theorem

Same conclusion holds for any  $\diamond$  (with reflection symmetry) which satisfies the following properties:

- 1 monotone wrt inclusion
- 2 identity on sets which are already  $H$ -symmetric
- 3 invariant wrt translations orthogonal to  $H$  of  $H$ -symmetric sets
- 4 continuous

# Create universal sequences using finite alphabet

- Let  $\mathcal{F} = \{F_1, \dots, F_j\}$  be a finite set of *i-dimensional* subspaces in  $\mathbb{R}^n$
- Let  $K$  be a convex body

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## Problem 1

For which choices of  $\mathcal{F}$  does the *reflection* symmetry of  $K$  wrt each  $F_r \in \mathcal{F}$  forces  $K$  to be a ball?



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## Problem 1 rephrased

For which choices of  $\mathcal{F}$  the closure of the subgroup of  $O(n)$  generated by  $R_{F_1}, \dots, R_{F_j}$  acts transitively on  $S^{n-1}$ ?

## Problem 2

For which choices of  $\mathcal{F}$  does the **rotational** symmetry of  $K$  wrt each  $F_r \in \mathcal{F}$  forces  $K$  to be a ball?

# An IFF answer to Problem 2

## Theorem

Let  $K \subset \mathbb{R}^n$  be a convex body and let  $F_1, \dots, F_j$  be subspaces in  $\mathbb{R}^n$  of dimension  $\leq n - 2$ .

Being radially symmetric wrt each  $F_r$  forces  $K$  to be a ball IFF the following conditions hold

- 1  $F_1^\perp + \dots + F_j^\perp = \mathbb{R}^n$
- 2  $\{F_1^\perp, \dots, F_j^\perp\}$  cannot be partitioned into two mutually orthogonal nonempty subsets

$F_1, \dots, F_j$  need not have equal dimension

# Partial constructive answers to Problem 1

(implicit) answer when  $F_1, \dots, F_j$  are hyperplanes:



Eaton and Perlman (1977)



Burchard, Chambers and Dranovski (2017),

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## Theorem

Description of how to construct sets  $\mathcal{F}$  of  $i$ -dimensional subspaces which "force full radial symmetry" and consist of

$$\left\lceil \frac{n}{\min\{i, n-i\}} \right\rceil + 1$$

elements.

# Partial constructive answers to Problem 1

## Theorem

Let  $2 \leq i \leq n/2$ , let  $j \geq 3$ , and let  $F_m \in \mathcal{G}(n, i)$ ,  $m = 1, \dots, j$ , be such that

(i)  $F_1$ ,  $F_2$ , and  $F_3$  “form irrational angles”;

(ii)  $F_1 + \dots + F_j = \mathbb{R}^n$ .

(iii) for each  $m = 3, \dots, j - 1$ ,

$$F_{m+1} \cap (F_1 + \dots + F_m)^\perp = \{0\};$$

Then the reflection symmetries wrt these subspaces “force full radial symmetry”